
The DPLL algorithm

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Logic and Algebra in Computer Science

Session 2

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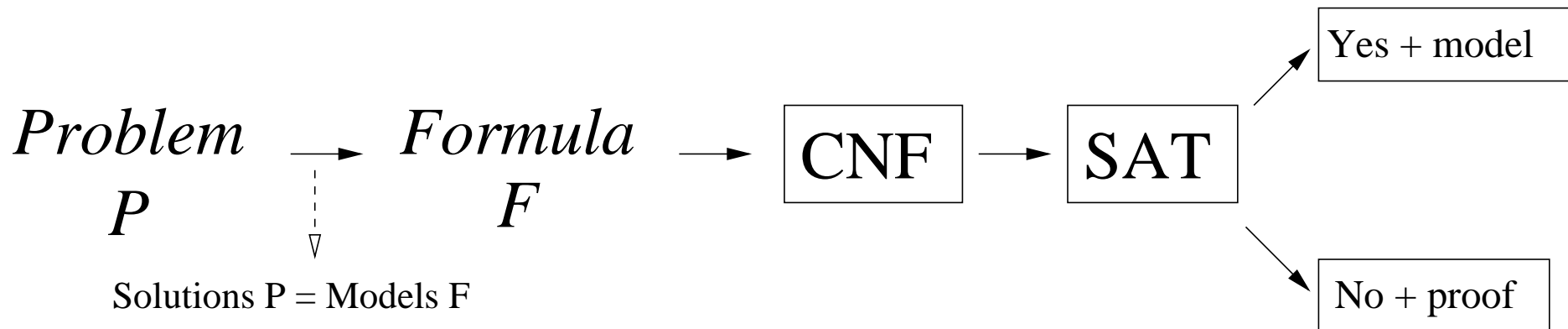


Overview of the session

- Problem Solving w./ Prop. Logic
- DPLL: A Bit of History
- Abstract DPLL:
 - Rules
 - Examples
 - Theoretical Results



Problem Solving with Propositional Logic



- This is the **standard flow** used for problem solving
- **Transformation** P to F will be seen **later** in the course
Some examples already seen (e.g. pigeon-hole problem)
- **CNF conversion** already explained
- Course mainly based on **designing** efficient **SAT boxes**

Designing an efficient SAT box

INPUT: formula F in *CNF*

OUTPUT:

- If F is SAT: YES + model
- If F is UNSAT: NO + proof

In this course we will describe two possible methods:

- **DPLL-based:**
 - + **easy** to obtain model
 - **difficult** to give proof
- **resolution-based:**
 - **difficult** to obtain model
 - + **easy** to give proof

Due to their efficiency, **DPLL**-based solvers are the **method of choice**



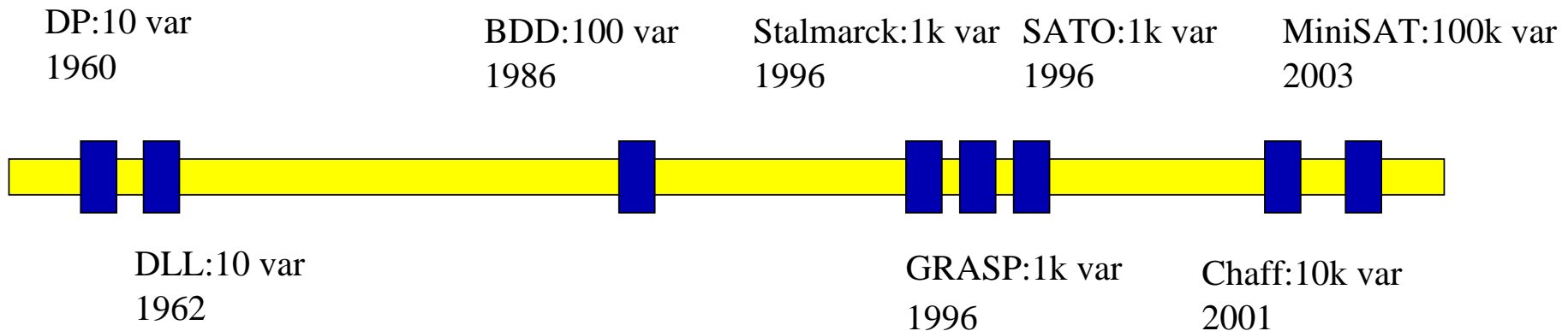
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- Problem Solving w./ Prop. Logic
- **DPLL: A Bit of History**
- Abstract DPLL:
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DPLL - A Bit of History

- Originally, DPLL was incomplete method for SAT in FO logic
- First paper (Davis and Putnam) in 1960: memory problems
- Second paper (Davis, Logemann and Loveland) in 1962:
Depth-first-search with backtracking
- Late 90's and early 00's improvements make DPLL efficient:
 - Break-through systems: GRASP, SATO, Chaff, MiniSAT



Overview of the session

- Problem Solving w./ Prop. Logic

- DPLL: A Bit of History

- **Abstract DPLL:**

- Rules

- Examples

- Theoretical Results



Our Abstraction of DPLL

- Given formula F in CNF, DPLL tries to build a model M for F
- Each step of the algorithm modifies M (not F in this case)
- Interpretations M will be represented as sequences of literals:
 - **Order** in M does **matter**
 - **No literals** appear **twice** in M
 - **No contradictory** literals in M

EXAMPLE: $M(p) = 1, M(q) = 0, M(r) = 1$ is $p\bar{q}r$

- Sequences might have **decision literals**, denoted l^d .
- We will introduce a **transition system** modelling DPLL
- **States** in the trans. system are **pairs** $M \parallel F$, where F is a CNF
- The **rules** in the transition system indicate which **steps**

$$M \parallel F \Longrightarrow M' \parallel F'$$

are **allowed**.



Abstract DPLL - Rules

Extending the model:

UnitProp

$$M \parallel F, C \vee l \implies M l \parallel F, C \vee l \quad \mathbf{if} \quad \left\{ \begin{array}{l} M \models \neg C \\ l \text{ is undefined in } M \end{array} \right.$$

Decide

$$M \parallel F \implies M l^d \parallel F \quad \mathbf{if} \quad \left\{ \begin{array}{l} l \text{ or } \neg l \text{ occurs in } F \\ l \text{ is undefined in } M \end{array} \right.$$



Abstract DPLL - Rules (2)

Repairing the model:

Fail

$$M \parallel F, C \implies \text{fail} \text{ if } \begin{cases} M \models \neg C \\ M \text{ contains no decision literals} \end{cases}$$

Backtrack

$$M l^d N \parallel F, C \implies M \neg l \parallel F, C \text{ if } \begin{cases} M l^d N \models \neg C \\ N \text{ contains no dec. lits} \end{cases}$$



Abstract DPLL - Example 1

$$\emptyset \parallel \bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2} \implies$$



Abstract DPLL - Example 1

$\emptyset \parallel \bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2} \implies (\text{Decide})$



Abstract DPLL - Example 1

$$\begin{aligned} \emptyset & \parallel \bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2} \implies (\text{Decide}) \\ 1^d & \parallel \bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2} \implies \end{aligned}$$



Abstract DPLL - Example 1

$$\begin{array}{l} \emptyset \parallel \bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2} \implies (\text{Decide}) \\ 1^d \parallel \bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2} \implies (\text{UnitProp}) \end{array}$$



Abstract DPLL - Example 1

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$1^d 2$	\parallel	$\bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2}$	\implies	(Decide)
$1^d 2 3^d$	\parallel	$\bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2}$	\implies	(UnitProp)
$1^d 2 3^d 4$	\parallel	$\bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2}$	\implies	

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$1^d 2 3^d 4 5^d$	\parallel	$\bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2}$	\implies	

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Abstract DPLL - Example 1

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$1^d 2 3^d 4 \bar{5}$	\parallel	$\bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2}$	\implies	

Abstract DPLL - Example 1

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$1^d 2 3^d 4 \bar{5}$	\parallel	$\bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2}$	\implies	(Decide)
$1^d 2 3^d 4 \bar{5} 6^d$	\parallel	$\bar{1} \vee 2, \bar{3} \vee 4, \bar{5} \vee \bar{6}, 6 \vee \bar{5} \vee \bar{2}$		

Abstract DPLL - Example 2

$$\emptyset \parallel \bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3} \implies$$



Abstract DPLL - Example 2

$$\emptyset \parallel \bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3} \implies (\text{UnitProp})$$



Abstract DPLL - Example 2

$$0 \parallel \bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3} \implies (\text{UnitProp})$$

$$1 \parallel \bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3} \implies$$



Abstract DPLL - Example 2

$0 \parallel \bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3} \implies (\text{UnitProp})$

$1 \parallel \bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3} \implies (\text{Decide})$



Abstract DPLL - Example 2

$$\begin{array}{llllllll} \emptyset & \parallel & \bar{1} \vee 2 \vee 3, & 1, & \bar{2} \vee 3, & \bar{2} \vee \bar{3}, & 2 \vee 3, & 2 \vee \bar{3} & \implies & (\text{UnitProp}) \\ 1 & \parallel & \bar{1} \vee 2 \vee 3, & 1, & \bar{2} \vee 3, & \bar{2} \vee \bar{3}, & 2 \vee 3, & 2 \vee \bar{3} & \implies & (\text{Decide}) \\ 1 \ 2^d & \parallel & \bar{1} \vee 2 \vee 3, & 1, & \bar{2} \vee 3, & \bar{2} \vee \bar{3}, & 2 \vee 3, & 2 \vee \bar{3} & \implies & \end{array}$$



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1	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Decide)
1 2 ^d	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 2 ^d 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	

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1 2 ^d	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 2 ^d 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Backtrack)

Abstract DPLL - Example 2

\emptyset	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Decide)
1 2 ^d	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 2 ^d 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Backtrack)
1 $\bar{2}$	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	

Abstract DPLL - Example 2

\emptyset	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Decide)
1 2 ^d	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 2 ^d 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Backtrack)
1 $\bar{2}$	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)

Abstract DPLL - Example 2

\emptyset	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Decide)
1 2 ^d	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 2 ^d 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Backtrack)
1 $\bar{2}$	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 $\bar{2}$ 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	

Abstract DPLL - Example 2

\emptyset	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Decide)
1 2 ^d	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 2 ^d 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Backtrack)
1 $\bar{2}$	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 $\bar{2}$ 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Fail)

Abstract DPLL - Example 2

\emptyset	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Decide)
1 2 ^d	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 2 ^d 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Backtrack)
1 $\bar{2}$	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(UnitProp)
1 $\bar{2}$ 3	\parallel	$\bar{1} \vee 2 \vee 3, 1, \bar{2} \vee 3, \bar{2} \vee \bar{3}, 2 \vee 3, 2 \vee \bar{3}$	\implies	(Fail)

fail



Abstract DPLL - Theoretical Results

- There are **no infinite sequences** of the form $\emptyset \parallel F \Longrightarrow \dots$
- If $\emptyset \parallel F \Longrightarrow^* M \parallel F$ with state $M \parallel F$ final, then
 - F is **satisfiable**
 - M is a model of F
- If $\emptyset \parallel F \Longrightarrow^* \text{fail}$ then F is **unsatisfiable**

Hence the transition system gives a **decision procedure** for SAT

EXERCISE: prove the three properties



Bibliography - Some further reading

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