

3. Recursion, part 1

Programming and Algorithms II

Degree in Bioinformatics

Fall 2018

```
>>> f(1)
```

```
#
```

```
>>>
```

```
>>> f(2)
```

```
#
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```
##
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>>>
```

>>> f(3)

#

##

#

###

#

##

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>>>

```
>>> f(4)
```

(TRY GUESSING!)

```
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```
>>>
```

A recursive function

```
def f(n):  
    if n == 1:  
        print("#")  
    else:  
        f(n-1)  
        print("#"*n)  
        f(n-1)
```

A recursive function

```
def f(n):  
    if n > 0:  
        f(n-1)  
        print("#"*n)  
        f(n-1)
```

Recursive factorial

$$n! = n * (n-1) * (n-2) * \dots * 3 * 2 * 1$$

But also:

$$n! = n * (n-1)!$$

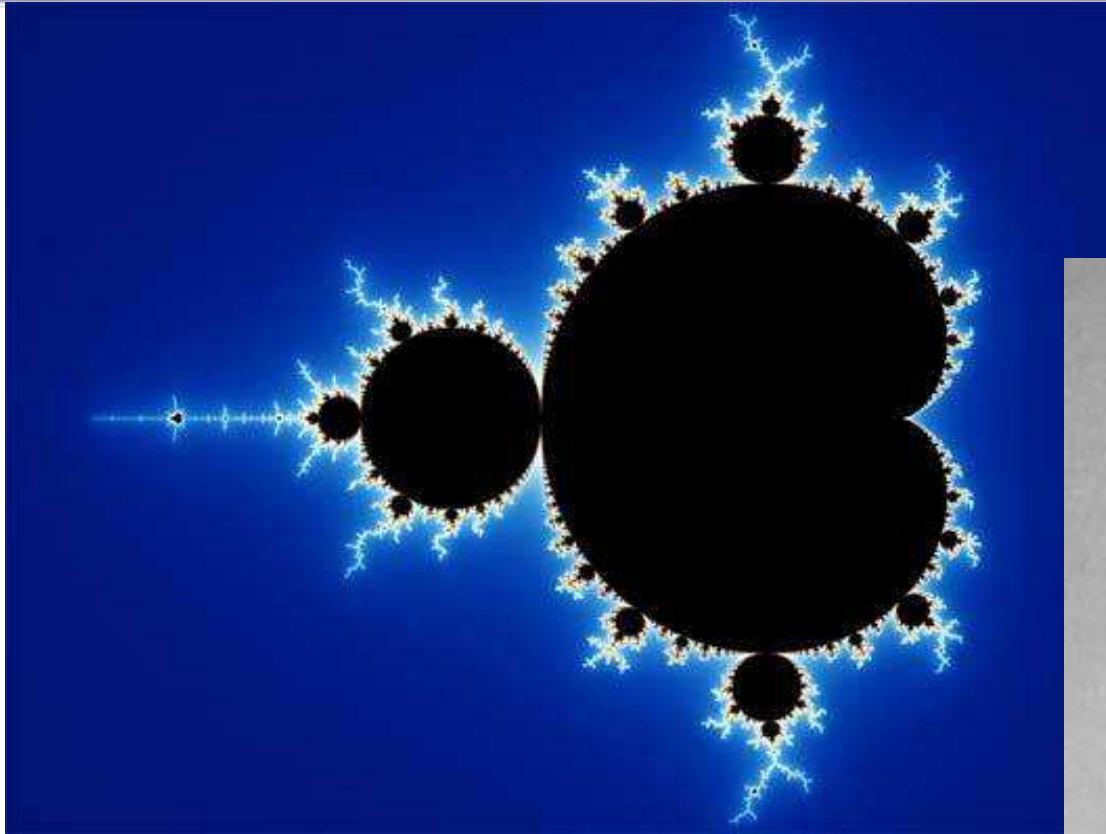
$$0! = 1$$

- “...” leads to a loop
- defining a function in terms of itself leads to recursion

Recursive factorial

```
def factorial(n):  
    "returns n!, for any natural n>=0"  
    if n == 0:  
        return 1  
    else:  
        return n * factorial(n-1)
```

Defining in terms of itself?



Mandelbrot's set



Emmy Noether (1892-1935)

Base and recursive cases

Every recursive function must have:

- One or more **base cases** (no more calls)
- One or more **recursive cases** (more calls)

Conditions:

- If “size” is ≤ 0 we must be in a base case
- A recursive call must decrease “size” by at least 1

Base and recursive cases

Size decreases by 1 or more at each call

When size is ≤ 0 , we are in base case

This **guarantees termination** by the following property of natural numbers:

Every strictly decreasing sequence of natural numbers is finite

Not true for integers and real numbers

Base and recursive cases

$n! = (n+1)! / (n+1)$ True but not good

$n! = n * (n-1) * (n-2)!$ Correct but watch out:

```
def fact(n):
```

```
    if (n == 0): return 1
```

```
    else: return n*(n-1)*fact(n-2)
```

Computing integer powers

$\text{pow}(x,y) = x * x * x * \dots * x$ (y times)

$= x * \text{pow}(x,y-1)$ (assume x nonzero, $y > 0$; 0^0 undef.)

Base case?

Running time $O(y)$

Observation:

$$x^{(2y)} = (x^2)^y$$

$\text{pow}(x,y) = \text{pow}(x*x,y//2)$ if y is even

Slow powering

```
def pow(x,y):  
    if y == 0:  
        return 1  
    else:  
        return x * pow(x,y-1)
```

y decreases by 1 at each call

running time $O(y)$

Fast powering

```
def pow(x,y):  
    if y == 0:  
        return 1  
    elif y % 2 == 1:  
        return x * pow(x,y-1)  
    else:  
        return pow(x*x,y//2)
```

y is divided by 2 every 2 calls

2 log y calls maximum, O(1) ops per call

running time $O(\log y)$

(alternatively, y in binary loses 1 bit at every /2)

Finding a zero of a continuous function

Given:

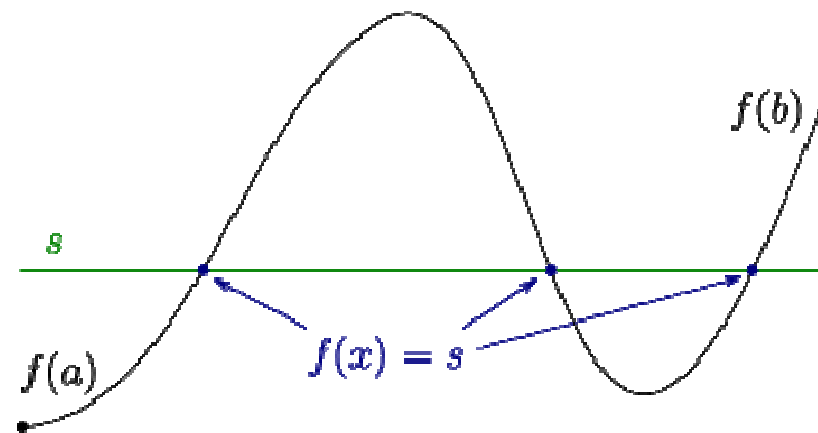
- a function f promised to be continuous
- a range $[a,b]$ such that $f(a) < 0 < f(b)$
- a margin ϵ

Compute:

- a value x in $[a,b]$ such that f has a zero in $[x-\epsilon, x+\epsilon]$

Such x exists

by Bolzano's theorem



Source: https://en.wikipedia.org/wiki/Intermediate_value_theorem

Finding zeros

```
def f(x): return x*x - 2
```

```
>>> print(solver(f,0,4,0.000001))
```

```
1.4142136573791504
```

```
import math
```

```
>>> print(solver(math.sin,1,4,0.000001))
```

```
3.1415926218032837
```

```
>>> print(solver(math.cos,0,4,0.000001))
```

```
1.5707964897155762
```

Finding a zero of a continuous function

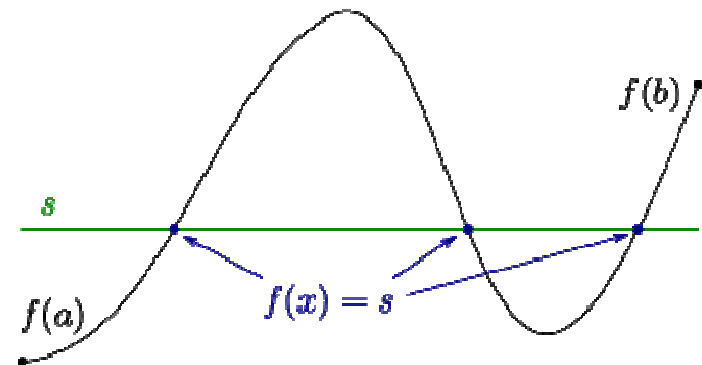
```
def solver(f, a, b, epsilon)
```

We keep the promise that $f(a)$ and $f(b)$ have different signs. So there must be a point in $[a,b]$ where $f(x) = 0$

Similar to binary search:

Check the sign of $f((a+b)/2)$

Discard half the interval



Termination:

what decreases by at least 1 at each call?

Finding a zero of a continuous function

```
def solver(f, a, b, epsilon):
    c = (a+b)/2
    if abs(b-a) <= epsilon:
        return c
    else:
        if f(c) * f(a) < 0:
            return solver(f,a,c,epsilon)
        else:
            return solver(f,c,b,epsilon)
```

If we hit $f(c) == 0$, is there a problem?

Termination

Suppose that $|b-a|$ is approx $\epsilon * 2^k$

Then $|b-a|$ at the next call is

$$\epsilon * 2^k / 2 = \epsilon * 2^{(k-1)}$$

Number of calls = number of times we can divide $(\epsilon * 2^k)$ by 2 before we get to ϵ

... which is k

Isolating, we have $|b-a| = \epsilon * 2^k$ iff

$$k = \log_2 (|b-a| / \epsilon)$$

Exercise: Binary search

Give a recursive function of binary search

`search(lst,x)`

You need to generalize to

`search(lst,i,j)`

1. What is/are the base case/s?
2. How do you make recursive calls with “smaller” inputs?

Product of a list

$$\text{prod}([v_1, v_2, v_3, \dots, v_n]) = v_1 * v_2 * v_3 * \dots * v_n$$

$$= v_1 * \text{prod}([v_2, \dots, v_n])$$

$$= \text{prod}([v_1, \dots, v_{n-1}]) * v_n$$

Product of a list

```
def prod(lst):  
    “returns the product of lst”  
    if len(lst) == 0:  
        return 1  
    else:  
        return lst[0] * prod(lst[1:])
```

Inefficiency: extracting & copying `lst[1:]`

Product of a list

```
def prod(lst):  
    return rprod(lst,0)  
  
def rprod(lst,i):  
    "returns the product of lst[i..len(lst)-1]"  
    if (i == len(lst)):  
        return 1  
    else:  
        return lst[i] * rprod(lst,i+1)
```

Product of a list

```
def prod(lst):  
    return rprod(lst, len(lst)-1)  
  
def rprod(lst, i):  
    "returns the product of lst[0..i]"  
    if (i == -1):  
        return 1  
    else:  
        return rprod(lst, i-1) * lst[i]
```

How is recursion executed?

Stack of calls made, with parameters

(example in blackboard)

Local variables local to the call

A new copy is created at each call

To note: recursive factorial uses memory $O(n)$ while iterative factorial uses memory $O(1)$

What is better, loops or recursion?

Every loop can be simulated with recursion

(next slides)

Recursion can be simulated with a loop and a stack

(not trivial with multiple recursion)

Tail recursion can be replaced with a loop, with no stack

(next slides)

Conclusions

- Loops and recursion have the same power in theory (if you can add a stack to loops)
- Often choice depends on elegance / naturality
- But some problems have natural multiple recursion solutions, complex iterative solutions
- Some languages automatically turn tail recursion to a loop
- Python DOES NOT optimize for tail recursion. You have to do the transformation by hand, if you want
- Remember that recursion may have “hidden memory usage”: stack of calls $O(1)$ in loop may turn to $O(n)$ in recursion
- So if tail recursive, in Python probably prefer loops
- Python has other ways (continuations, iterators, generators...)

Exercises

- Given a list of digits, compute the integer it represents
[3,7,2,9] -> the int 3279
- Write a recursive version of binary search
- Write a recursive version of selection sort (auxiliary function to find min also recursive)
- Given a list of integers, say if there is a number that equals the sum of all numbers before it in the list
 - First version is probably $O(\text{len}(\text{lst})^2)$. Why?
 - **Plus:** Think of a second version $O(\text{len}(\text{lst}))$. Add new parameter or result

Optional for
theoretically-minded people

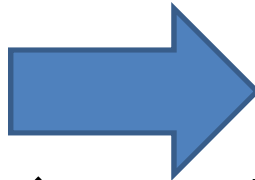
Loop to recursion

```
def f(x):
```

```
    y = a(x)
```

```
    while cond(x,y):  
        (x,y) = b(x,y)
```

```
    return c(x,y)
```



```
def f(x):
```

```
    y = a(x)
```

```
    return rec_f(x,y)
```

```
def rec_f(x,y):
```

```
    if cond(x,y):
```

```
        return c(x,y)
```

```
    else:
```

```
        (x,y) = b(x,y)
```

```
        return rec_f(x,y)
```


Loop to recursion

```
def fact(n):
```

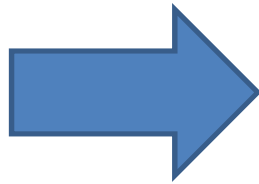
```
    f = 1
```

```
    while n > 0:
```

```
        f = f * n
```

```
        n = n - 1
```

```
    return f
```



```
def fact(n):
```

```
    f = 1
```

```
    return rec_fact(n, f)
```

```
def rec_fact(n, f):
```

```
    if n == 0:
```

```
        return f
```

```
    else:
```

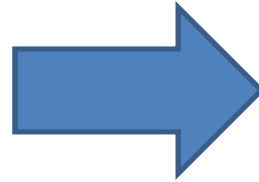
```
        (f, n) = (f*n, n-1)
```

```
    return
```

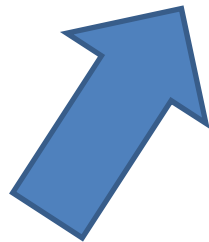
```
        rec_fact(n, f)
```

Tail recursion to loop

```
def f(x):  
    if cond(x):  
        return c(x)  
    else:  
        z = b(x)  
        return f(z)
```



```
def f(x):  
    while cond(x):  
        x = b(x)  
    return c(x)
```



Tail recursion:
Nothing done after
recursive call

Some languages (& modern compilers) do this transformation for you when they see tail recursion.

Not Python. It complicates recursion

Exercise: do the transformation “by hand” for binary search and selection sort