

1. Efficiency of Algorithms

Programming and Algorithms II

Degree in Bioinformatics

Fall 2018

What is a Good Program?

External:

- Correct – does what it's supposed to do
- Efficient – uses few resources
 - Time, memory, bandwidth, disk...
- Robust – does not explode if something not quite right
- Easy to install, to use, to learn
- Goes along with other programs
- Works in many environments

- Internal
 - Easy to understand its code
 - Easy to modify - to do something different
 - Parts can be reused

Efficient – uses few resources

What is “to run fast”?

1 sec? 1 minute? 1 hour? 1 week?

That depends on machine, interpreter, O.S.....

Also, we expect larger inputs to take more time

Efficient – uses few resources

Proposal:

Efficient = “it scales well to larger data”

Orders of magnitude

Let f be a function from \mathbb{N} to \mathbb{N} .

“Big-Oh” notation

“ g is in the order of f ” if

$$g \in O(f) \text{ iff } \lim_{n \rightarrow \infty} \left(\frac{g(n)}{f(n)} \right) < \infty$$

Equivalently:

$$O(f) = \{ g : \exists c \exists m \forall n > m \ g(n) < c * f(n) \}$$

Orders of magnitude

$$3n^2 \in O(0.01 n^2)$$

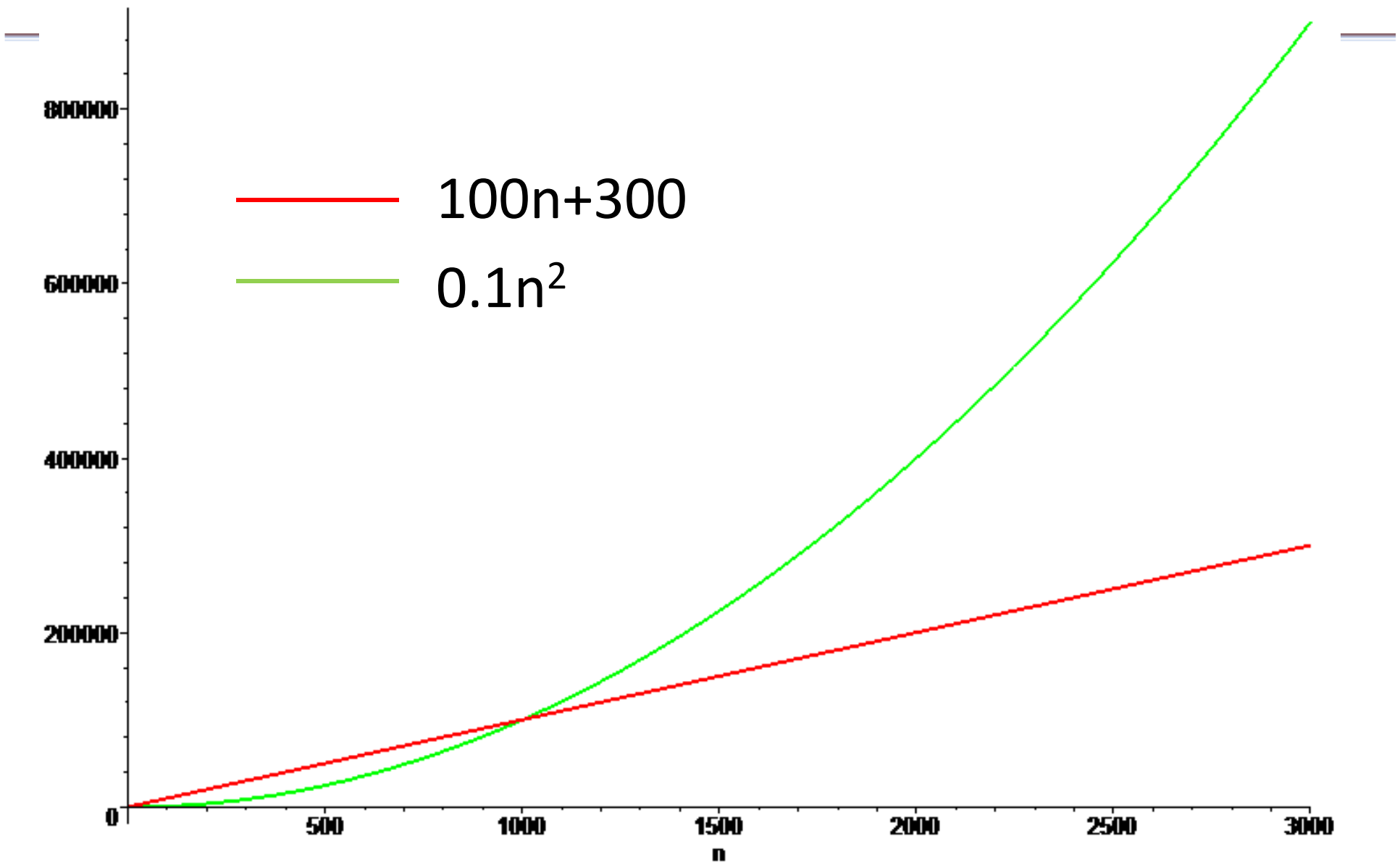
$$1000 n \in O(0.01 n^2)$$

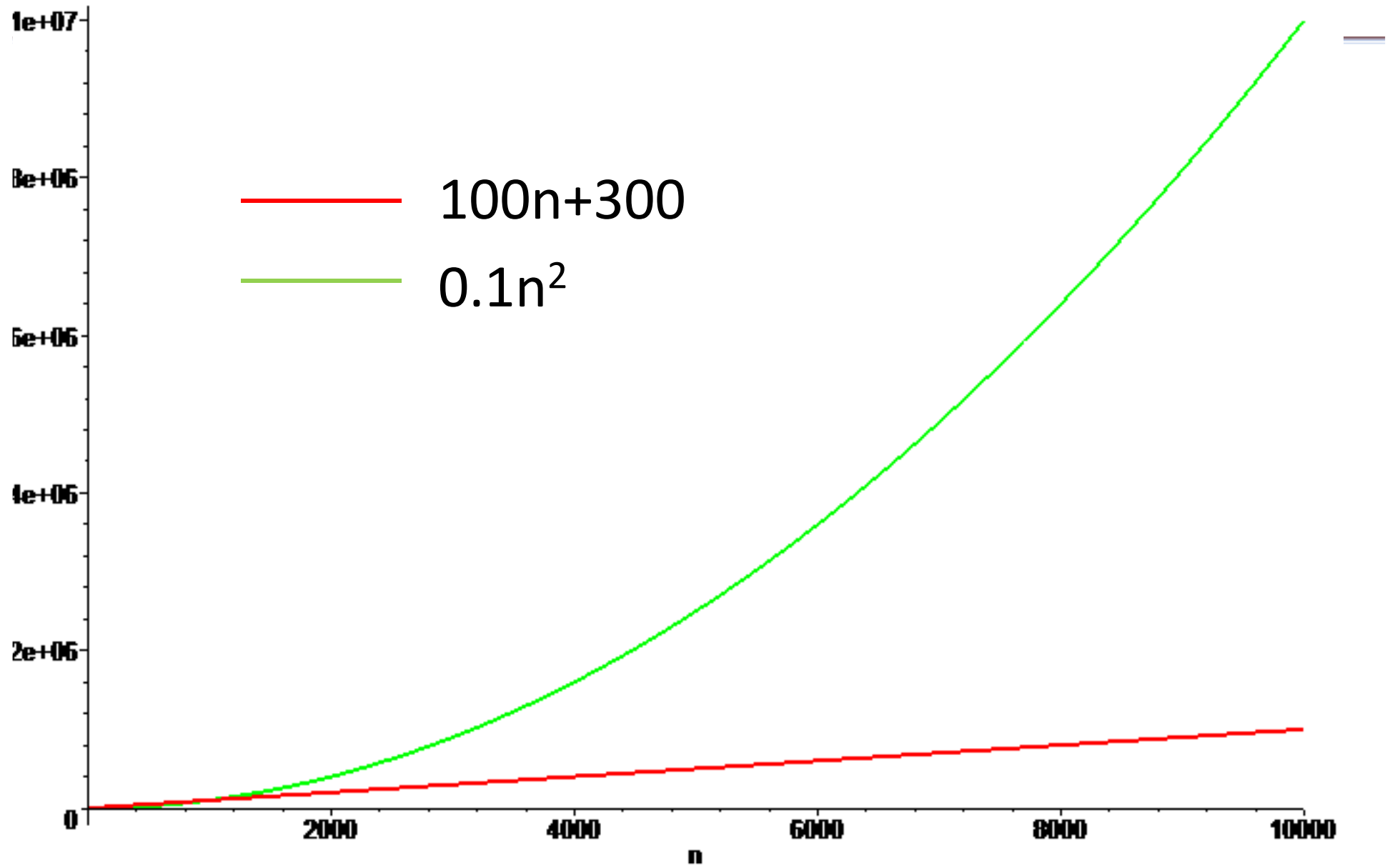
$$3n^2 + 100 n + 1000 \in O(n^2)$$

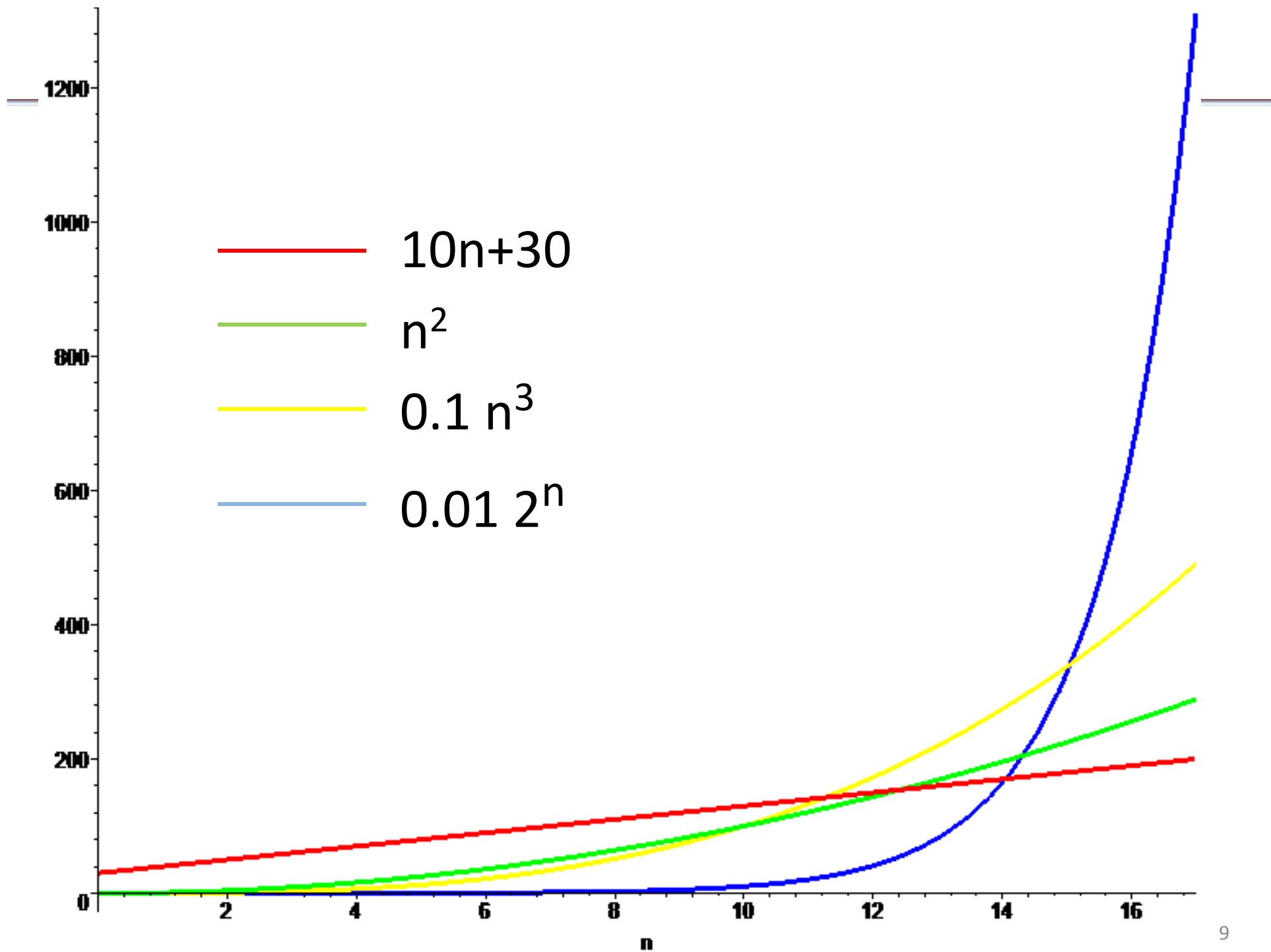
$$20 \in O(1)$$

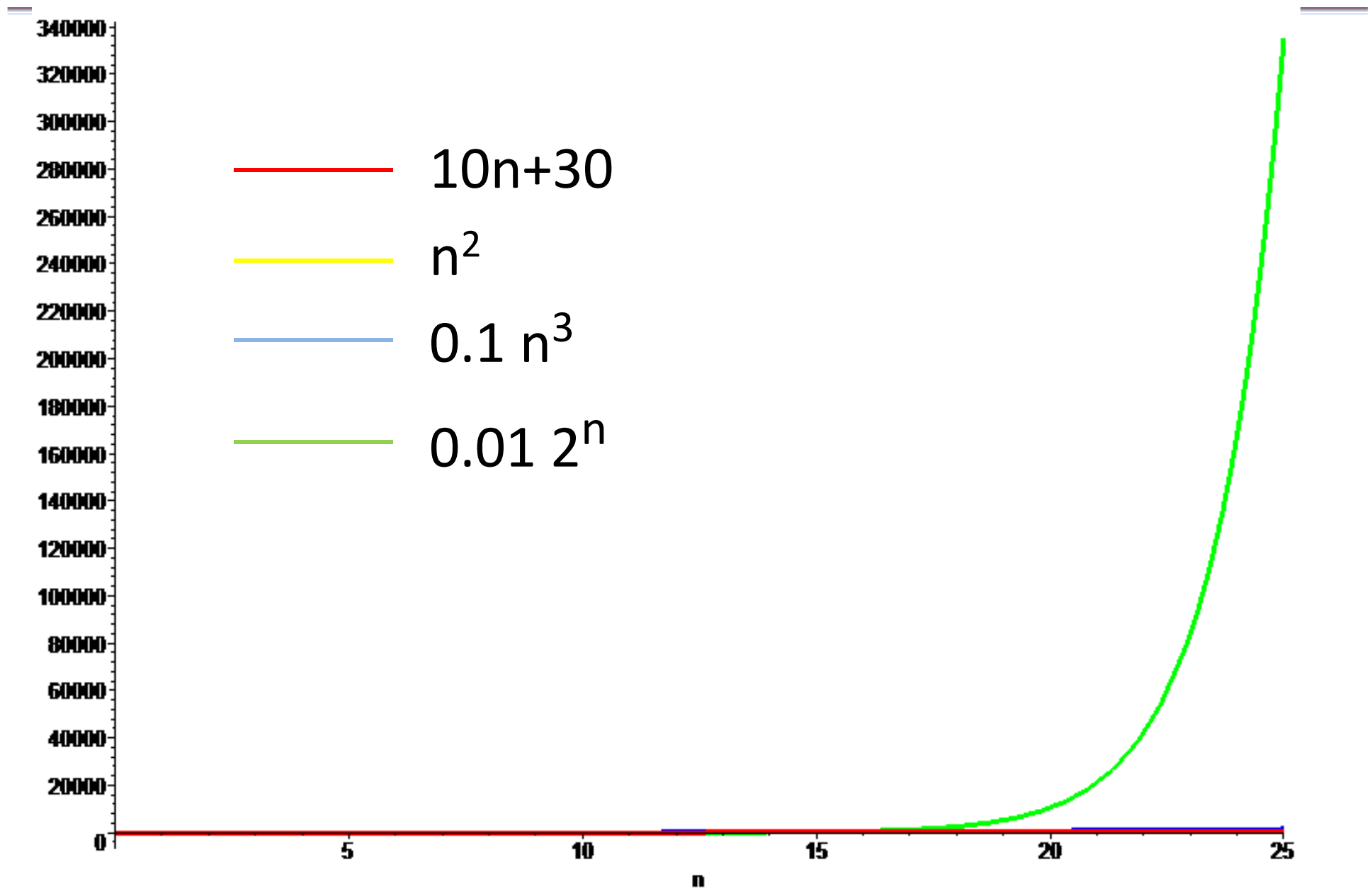
It is wrong, but we write

$$3n^2 + 10 n + 100 = O(n^2)$$









Common orders of magnitude

1

$\log(n)$

$n^{1/2}$

n

$n \log(n)$

n^2

n^3

2^n

3^n

$n!$

Stirling's approximation $\approx (2\pi n)^{1/2} (n/e)^n$

Orders of magnitude: Scaling

Scaling

Linear:

$$f = O(n): \quad f(2n) \approx 2 f(n) \quad f(10n) \approx 10 f(n)$$

Quadratic

$$f = O(n^2): \quad f(2n) \approx 4 f(n) \quad f(10n) \approx 100 f(n)$$

Exponential

$$f = O(2^n): \quad f(n+1) \approx 2 f(n) \quad f(n+10) \approx 1000 f(n)$$

Worst-case analysis

We take the worst-case input of every size

We say

“the running time of algorithm A is $O(n)$ ”

if the function

$n \rightarrow \max\{ \text{running time of } A(x) : x \text{ input of size } n \}$
is $O(n)$

Bounding running time of programs

time(simple expression) =

“number of elementary instructions executed”

time(I1 then I2) = time(I1) + time(I2)

time(if(cond): I1 else: I2) ≤
time(cond) + max(time(I1), time(I2))

time(f(arg1, arg2, arg3)) =
time(arg1) + time(arg2) + time(arg3) +
+ time(f(val1, val2, val3))

Bounding running time of programs

time(**while cond: body**) =

$$\sum_i \text{time}(\text{cond}_i) + \text{time}(\text{body}_i)$$

where i ranges over the iteration number and cond_i and body_i are the executions of cond and body in the i th iterations

If all iterations take roughly the same time, a good bound is:

time(**while cond: body**) \leq

$$(\text{number of iterations}) * (\text{time}(\text{cond}) + \text{time}(\text{body}))$$

Example 1

```
a = int(input("a: "))
```

```
b = int(input("b: "))
```

```
x = 3*a + 10
```

```
y = 9*b - 5
```

```
if (x > y):
```

```
    theMax = x
```

```
else:
```

```
    theMax = y
```

```
print(theMax)
```

$O(1)$

Example 2

- Given a natural number n , print all natural numbers that divide n exactly
- E.g., for 12 print 1, 2, 3, 4, 6, 12

```
for i in range(1,n+1):  
    if (n % i == 0): print(i)
```

- Value vs. number of digits
- Time $\approx 10^{\text{size of the input}}$

Example 3

```
s = 0  
for x in lst:  
    s += x
```

$O(\text{len}(\text{lst}))$

```
s=0  
for i in range(0, len(lst)):  
    s += lst[i]
```

$O(\text{len}(\text{lst}))$

```
print(sum(lst))
```

$O(\text{len}(\text{lst}))$

```
print(sum(lst[i:j]))
```

$O(j-i)$

Python lists ($n = \text{len}(\text{lst})$)

Operation	Call	Cost
creating	<code>lst = [v1,v2,...,vn]</code>	$O(n)$
access by position	<code>lst[i]</code>	$O(1)$
append at end	<code>lst.append(x)</code> or <code>lst.extend(lst2)</code>	$O(1)$ (on average...) $O(\text{len}(\text{lst2}))$
remove from end	<code>lst.pop()</code>	$O(1)$ (on average...)
Insert at position	<code>lst.insert(pos,x)</code>	$O(n)$
delete at position	<code>lst.pop(pos)</code>	$O(n)$
count occurrences	<code>l.count(x)</code>	$O(n)$
make empty	<code>l.clear()</code>	$O(1)$
reverse	<code>lst.reverse()</code>	$O(n)$
copy	<code>lst.copy()</code>	$O(n)$
sort	<code>lst.sort()</code>	$O(n \log n)$
Iterate / membership	<code>for x in lst</code> <code>x in/not in lst</code>	$O(n)$
copy slice	<code>lst[a:b]</code>	$O(b-a)$
check equality	<code>lst1 == lst2</code>	$O(n)$

Sorting a list

Given:

- a binary comparison operator “<”
(with what we expect from “<”... details omitted)
- a list whose elements are comparable with <

Do: permute the elements in L so that they are increasingly ordered w.r.t. <

[5,2,9,0,4] → [0,2,4,5,9]

["dog","fish","ant","frog"] →
["ant","dog","fish","frog"]

Sorting a list

General rule: Don't write your sorting algorithm

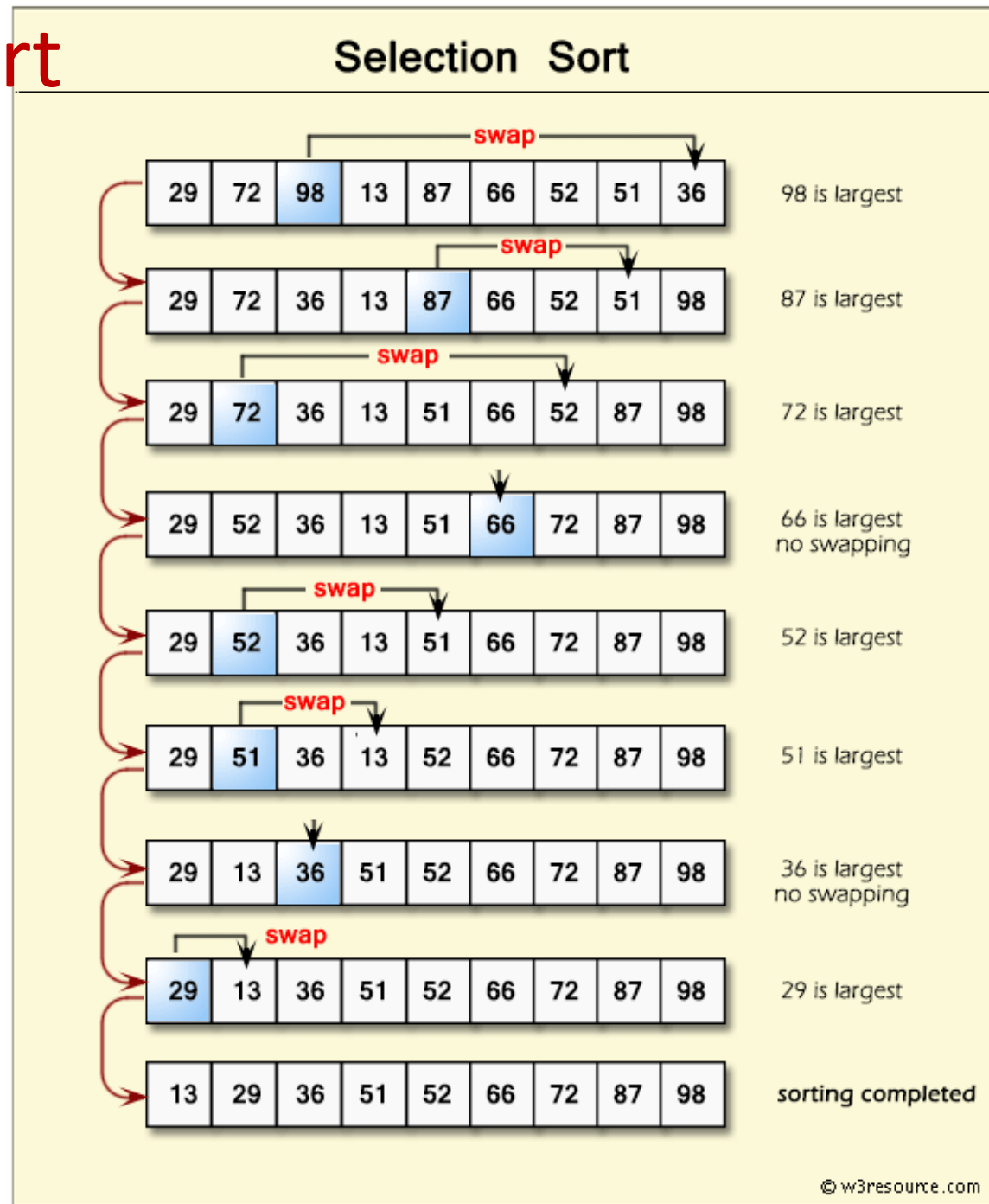
Almost always: `list.sort()`

But we'll see some sorting algorithms:

- Selection
- Insertion
- Mergesort
- Quicksort
- ~~Bubblesort~~ just don't!

Selection sort

<https://www.w3resource.com/python-exercises/data-structures-and-algorithms/python-search-and-sorting-exercise-5.php> LIST




Selection sort

```
def selection_sort(lst):
    for i in range(0, len(lst)-1):
        pos_min = i
        for pos in range(i+1, len(lst)):
            if lst[pos] < lst[pos_min]:
                pos_min = pos
        lst[i], lst[pos_min] = lst[pos_min], lst[i]
```

Selection sort

```
def selection_sort(lst):  
    for i in range(0, len(lst)-1):  
        pos_min = i  
        for pos in range(i+1, len(lst)):  
            if lst[pos] < lst[pos_min]:  
                pos_min = pos  
        lst[i], lst[pos_min] = lst[pos_min], lst[i]
```

Cost $O(\text{len}(\text{lst})-i)$



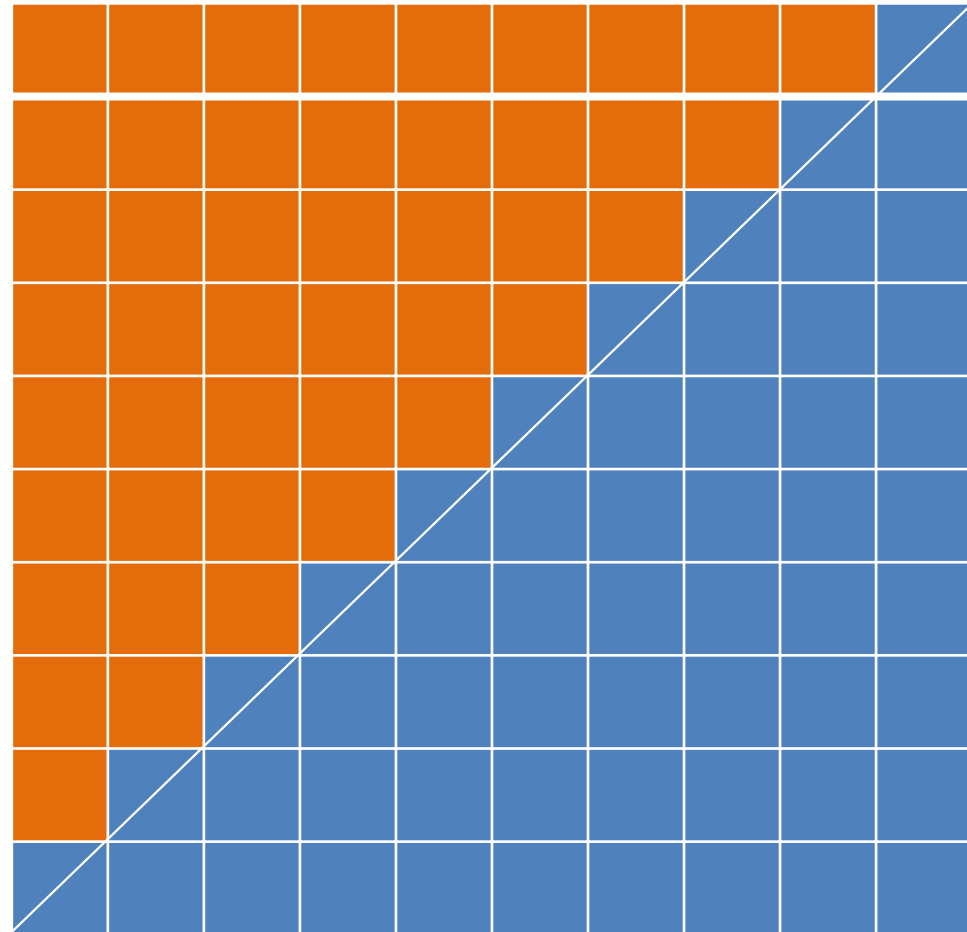
If we call $N = \text{len}(\text{lst})$, cost is

$$O((N-2) + (N-3) + (N-4) + \dots + 3 + 2 + 1)$$

Selection sort: Running time

$$1 + 2 + \dots + (N-1) + N = N(N+1)/2$$

- By induction
- Or geometrically



Selection sort: Running time

Selection sort on a list of length N

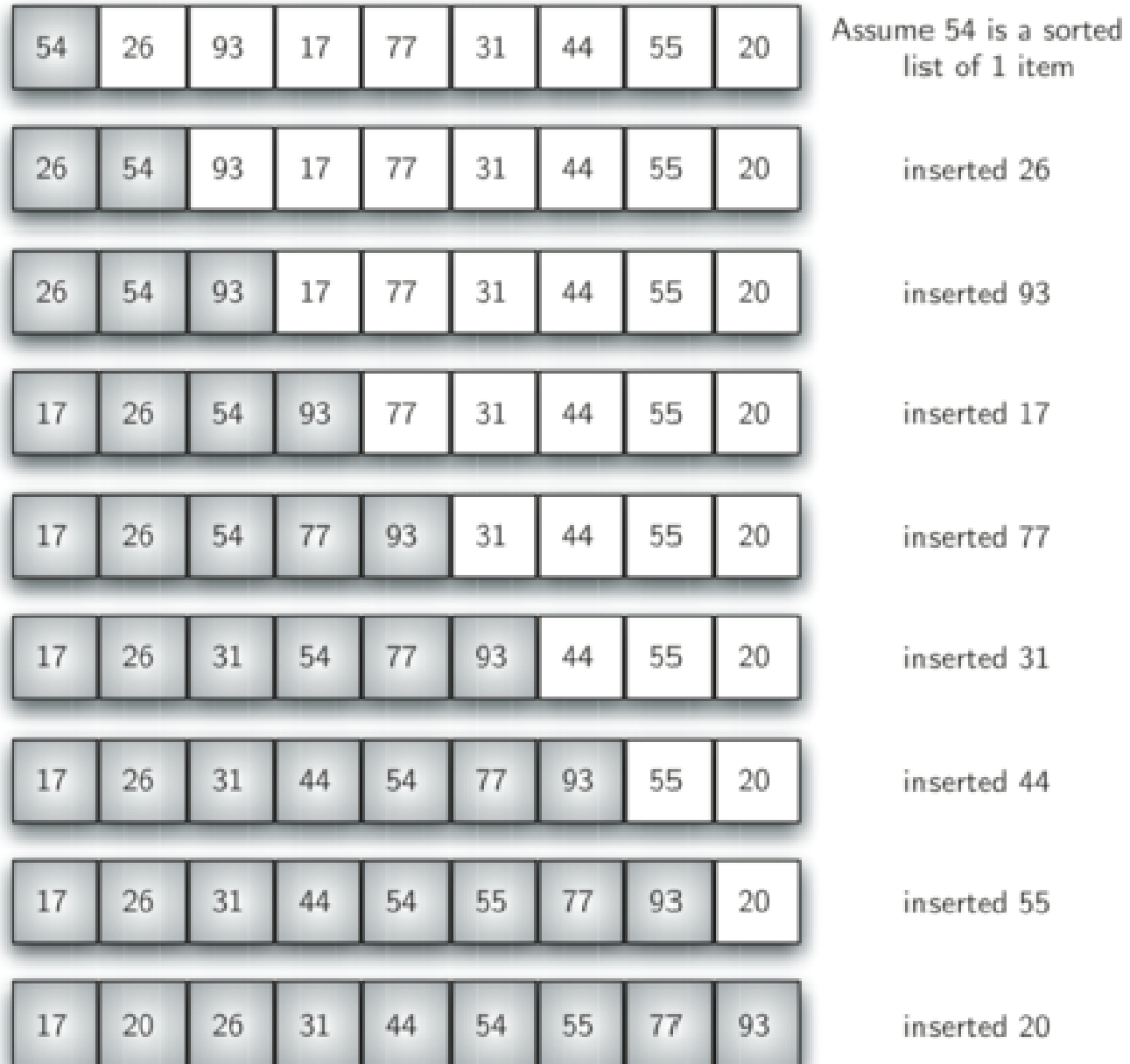
- Running time $O(N^2)$
- $3(N-1)$ element assignments
- $N(N-1)/2$ element comparisons

Selection sort

- <https://www.cs.usfca.edu/~galles/visualization/ComparisonSort.html>

Insertion sort

<http://interactivepython.org/courselib/static/pythonds/SortSearch/TheInsertionSort.html>



Insertion sort

```
def insertion_sort(lst):  
    for i in range(1, len(lst)):  
        x = lst[i]  
        pos = i  
        while pos > 0 and lst[pos-1] > x:  
            lst[pos] = lst[pos-1]  
            pos = pos-1  
        lst[pos] = x
```


If we call $N = \text{len}(\text{lst})$, cost is

$$O(1+2+3+\dots+(N-1)+(N-2)+(N-1)) = O(N^2)$$

Insertion sort

```
def insertion_sort(lst):  
    for i in range(1, len(lst)):  
        x = lst[i]  
        pos = i  
        while pos > 0 and lst[pos-1] > x:  
            lst[pos] = lst[pos-1]  
            pos = pos-1  
        lst[pos] = x
```

Cost $O(i)$ – at most



If we call $N = \text{len}(lst)$, cost is

$$O(1+2+3+\dots+(N-1)+(N-2)+(N-1)) = O(N^2)$$

Insertion sort

- <https://www.cs.usfca.edu/~galles/visualization/ComparisonSort.html>

Insertion sort: Running time

Potentially $O(N^2)$ movements and comparisons

- If in reverse order

But faster **when elements near their place**
(almost sorted): short inner loop!

Stable: “Equal” elements (neither $<$ nor $>$)
remain in the same order as they were

To remember

Selection sort:

- + Easy to remember
- + $O(N)$ movements
- - Not stable

Insertion sort:

- - Potentially $O(N^2)$ movements
- + Faster if most elements near their place
- + But usually faster than selection sort
- + Stable

(note: in Python, “movement” = reference change, cheap;
In othr languages, “moving” an element can mean copy, expensive)

To remember

$O(\dots)$ useful to discuss the running time of algorithms as data gets large

If two algorithms differ in $O(\dots)$, one is faster than the other for large enough inputs

We know two algorithms that sort lists in time $O(n^2)$
Later we will see faster algorithms with time $O(n \log n)$

Some links

- Sorting algorithms

<https://www.youtube.com/watch?v=h-QYzgTmgVI>

- Selection sort folk dance:

<https://www.youtube.com/watch?v=Ns4TPTC8whw>

- Insertion sort folk dance:

<https://www.youtube.com/watch?v=ROaIU379I3U>