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9. Public-key cryptography

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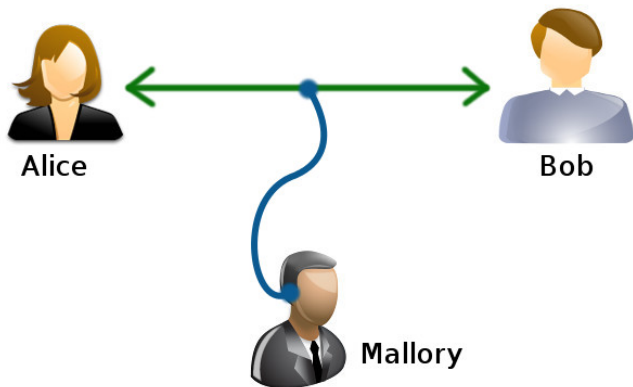
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The problem

Alice and Bob want to exchange messages privately.
But malicious Eve may be listening (insecure channel)



Private-key encryption

Solution: Encryption and decryption functions E and D .
 E and D fixed and possibly known to everybody.

- ▶ Alice and Bob agree on a secret key k
- ▶ Alice sends a message m to Bob by computing $E(m, k)$
- ▶ Bob decodes the message by computing $D(m, k)$

Private-key encryption

Assumptions:

- ▶ $D(E(m, k), k) = m$ for all m
- ▶ Computing $E(m, k)$ and $D(m, k)$ is easy
- ▶ Computing x from $E(x, k)$ is impossible (or very hard) without knowing k .

We often we have $E(D(x, k), k) = x$ too.

Then we speak of *symmetric key encryption*.

Private-key encryption

Example: Choose $k \in \{0..25\}$

$$E(x, k) = (x + k) \bmod 26, \quad D(x, k) = (x - k) \bmod 26.$$

Example: If messages have n bits, then k is a random n -bit string and use exclusive-or:

$$E(x, k) = D(x, k) = x \oplus k.$$

If k is used repeatedly and messages are in English, these schemes is easy to attack using statistical properties of English text.

Private-key encryption, better schemes

- ▶ DES (56 bit keys) and 3DES (168 bits):
 - ▶ 16 iterations of substitutions + shiftings (3x for 3DES)
 - ▶ 70's-90's. Not considered safe against Big Brother any more
 - ▶ Still useful for weak attackers, and used in some chip cards.

- ▶ AES (Advanced Encryption Standard)
 - ▶ US standard from 2001
 - ▶ 128 - 256 bit keys

Private-key encryption, problems

Three problems:

1. Alice and Bob must keep k secret.
2. Alice and Bob must physically meet to agree on k , or use a secure channel, or use a trusted third party.
3. If Alice wants to talk to many people, a distinct k must be agreed for each.

Public-key cryptography solves 2 and 3.

What is a public-key encryption scheme?

- ▶ A way of generating “random” pairs of keys (e, d)
- ▶ An encryption function $E(x, e)$
- ▶ A decryption function $D(y, d)$

with the properties:

- ▶ Generating pairs and computing E and D must be feasible (poly-time)
- ▶ $D(E(x, e), d) = x$
- ▶ Obtaining x from $E(x, e)$ **knowing e but not d** must be unfeasible (non poly-time)

E and D fixed and possibly known to everybody.

Encrypting and decrypting keys are different \rightarrow “asymmetric encryption scheme”.

Why is this wonderful?

Alice wants *anybody* to be able to send her private messages:

- ▶ Alice generates her pair (e, d)
- ▶ Keeps d private, but publishes e *to the world*
- ▶ Bob sends her message m by using Alice's public key e :
sends her $y = E(m, e)$
- ▶ Alice retrieves m by computing $D(y, d)$
- ▶ Mallory sees y and e , but can't obtain m

Glitch

Mallory can:

- ▶ Generate (e, d)
- ▶ Tell the world “I am Alice and my public key is e ”
- ▶ Unsuspecting Bob sends Alice a private message $E(m, e)$, that Mallory hears.
- ▶ Mallory can decode m using d !

This is why **Certification Authorities** exist: Parties trusted by everybody (conventionally) that certify that “Alice” is truly Alice, and where Alice’s e can be obtained.

But, do such wonderful schemes exist?

Not if $P=NP$. Proof sketch:

We are Mallory and know e but not d . We want to decrypt.

- ▶ Get y (by listening in the insecure channel)
- ▶ Guess d
- ▶ Compute $x = D(y, d)$
- ▶ Verify that $E(x, e) = y$
- ▶ So x is “the right” one

So specific public key schemes must rely on some computational problem assumed to be in NP but not in P.

Diffie-Hellman key exchange protocol

- ▶ Alice and Bob (publicly) agree on a prime p and a generator g of Z_p^*
- ▶ Alice secretly chooses x , then (insecurely) sends $g^x \bmod p$ to Bob
- ▶ Bob secretly chooses y , then (insecurely) sends $g^y \bmod p$ to Alice
- ▶ Alice privately computes $k = (g^x)^y \bmod p = g^{xy} \bmod p$
- ▶ Bob privately computes $k = (g^y)^x \bmod p = g^{xy} \bmod p$
- ▶ Alice and Bob now use k as a private key to communicate

Diffie-Hellman exchange: Example

- ▶ Alice and Bob agree, in the clear, to use $p = 17$ and $g = 3$
- ▶ Alice secretly and randomly chooses $x = 15$
- ▶ Alice computes $3^{15} \bmod 17 = 6$ and sends it to Bob
- ▶ Bob secretly and randomly chooses $y = 13$
- ▶ Bob computes $3^{13} \bmod 17 = 12$ and sends it to Alice
- ▶ Alice computes $12^{15} \bmod 17 = 10$
- ▶ Bob computes $6^{13} \bmod 17 = 10$
- ▶ Now Alice and Bob have agreed to use secret key 10

Exercise: Check these computations.

Note: Of course in practice one does not use 17, but a prime with > 1000 digits.

Key step: Modular exponentiation

$$g^x \bmod p = \begin{cases} (g^2 \bmod p)^{x/2} \bmod p & \text{if } x \text{ even} \\ (g \cdot (g^{x-1} \bmod p)) \bmod p & \text{if } x \text{ odd} \end{cases}$$

$O(N)$ multiplications of $O(N)$ -bit numbers if p has N bits.

Discrete Logarithm

Modular exponentiation: given g, p, x , compute fast

$$s = g^x \bmod p$$

Discrete logarithm: given g, p, s , find x such that $s = g^x \bmod p$

$g^x \bmod p$ for $p = 19, g = 14, x = 0..18$:

[1, 14, 6, 8, 17, 10, 7, 3, 4, 18, 5, 13, 11, 2, 9, 12, 16, 15, 1]

Brute force is $O(p) = O(2^N)$ time, or precomputed huge table.

Diffie-Hellman exchange: Assumptions

Discrete Logarithm assumption: The following is hard:

Given p , g and s , find x such that $g^x = s \pmod p$

In the previous example, computing x from 6, or y from 12, faster than brute force.

Clearly, if we can do this, we can break Diffie-Hellman.

Diffie-Hellman exchange: Assumptions

Diffie-Hellman assumption: The following is hard:

Given p , g , s and t , find u such that if $s = g^x \pmod p$ and $t = g^y \pmod p$, then $t = g^{xy} \pmod p$

In the previous example, computing 10 having seen 6 and 12, faster than brute force.

One way is to first get x from 6 and y from 12, i.e., solve the Discrete Logarithm problem. But perhaps not the only way.

Diffie-Hellman reduces to Discrete Logarithm, but no reduction the other way is known.

RSA - Rivest, Shamir, Adleman (1977)

Key generation:

- ▶ Choose two large primes p and q (about $N/2$ bits each)
- ▶ Compute $n = p \cdot q$ (so n has about N bits)
- ▶ Choose e such that $\gcd(e, (p - 1)(q - 1)) = 1$
- ▶ The public key is the pair (n, e)
- ▶ Let d be the multiplicative inverse of e , $\text{mod}(p - 1)(q - 1)$

$$d \cdot e = 1 \pmod{(p - 1)(q - 1)}$$

- ▶ The private key is the pair (n, d) ; keep p and q secret too

RSA - Rivest, Shamir, Adleman (1977)

Encrypting function $E(x, (n, e)) = x^e \bmod n$

Decrypting function $D(y, (n, d)) = y^d \bmod n$

Ingredients

How to find primes p, q

How to find and verify e

omitted; in practice, fixed, well studied e 's used)

How to find d from e, p, q

Why is $D(E(x, (n, e)), (n, d)) = x$

Finding and verifying primes

Prime number theorem: The number of primes less than n is

$$\frac{n}{\log n} (1 + o(1))$$

So to look for a prime of about N digits:

- ▶ Picking one at random.
- ▶ With probability about $1/N$, it is a prime.
- ▶ Check for primality.
- ▶ If not prime, try again. You will find one soon.

Finding and verifying primes

There is a probabilistic poly-time algorithm A that, for each n ,

- ▶ If n is prime, $A(n)$ says “prime” with probability $1 - \exp(-N)$, “?” otherwise.
- ▶ If n is composite, $A(n)$ says “composite” with probability $1 - \exp(-N)$, “?” otherwise.

where N is the number of digits of n .

Serious number theory involved.

How to find secret key d

We know e, p, q , let $\phi = (p - 1)(q - 1)$. We know $\gcd(e, \phi) = 1$.

Want to find d such that $e \cdot d \bmod \phi = 1$.

i.e. such that there is k such that

$$d \cdot e + k \cdot \phi = 1$$

Can be done with Extended Euclidean algorithm

NOTE: We can do this *because* we know ϕ .

If we only know $n = pq$, but not p, q (or at least ϕ), we cannot do this - that would be breaking RSA!

Extended Euclidean Algorithm

Regular Euclidean algorithm: Given x, y , find $r = \gcd(x, y)$.

Extended Euclidean algorithm: find also α, β such that

$$\alpha x + \beta y = r$$

(This is called Bézout's identity)

Extended Euclidean Algorithm

Idea for Euclid's algorithm: If $x > y$, apply repeatedly

$$\gcd(x, y) = \gcd(y, x \bmod y)$$

Suppose inductively that call to $\gcd(y, x \bmod y)$ also returns α , β such that:

$$\alpha y + \beta(x \bmod y) = r$$

Then

$$\begin{aligned} r &= \alpha y + \beta(x \bmod y) \\ &= \alpha y + \beta(x - (x \operatorname{div} y)y) \\ &= \beta x + (\alpha - (x \operatorname{div} y))y \end{aligned}$$

Program this iteratively (“forward”) rather than recursively (“backwards”), if desired.

Why do we decrypt well?

- ▶ If p and q primes, and
- ▶ $E(x, (n, e)) = x^e \pmod n$, and
- ▶ $D(y, (n, d)) = y^d \pmod n$, and
- ▶ $ed = 1 \pmod{(p-1)(q-1)}$, then
- ▶ E and D inverse permutations.

This uses Euler and Fermat's theorems, plus Chinese remainder theorem, plus the fact that Euler's totient function $\phi(n)$ = number of integers $< n$ that are coprime with n , plus $\phi(p) = p - 1$, $\phi(pq) = \phi(p - 1)\phi(q - 1)$.

Explained in many sources out there.

Is RSA secure?

Primality: Given an integer n , is n prime?

Trivial algorithm takes $O(\sqrt{n})$ time = $O(2^{N/2})$

Poly-time probabilistic algorithms since the 70's.

Proven to be in P in 2002. $O(N^{12})$. Exponent lowered since then.

Is RSA secure?

Factoring: Given n , get the prime decomposition of n .

In binary form, given n , m , does n have a factor less than m ?

No polynomial algorithm is known. Pretty hard in practice for largish n

Think: Thousands of years even for Big Brother for n 2000 digits of so

But not expected to be NP-complete either, for at least two reasons:

- ▶ subexponential algorithms are known
- ▶ its complement is also in NP (guess true prime decomposition)

Is RSA secure?

The RSA problem: given $n = pq$ and e such that $\gcd(e, (p-1)(q-1)) = 1$ and c , find m such that $me = c \pmod{n}$. I.e., recover m from ciphertext c and public key (n, e) by taking e -th root of c

There is no known efficient algorithm for doing this.

If factoring is easy, then RSA is easy (RSA reduces to factoring):

- ▶ If an adversary can factor n , then it can compute p, q , then the private key (n, d) from the public key (n, e) in the same way that Alice did.

But no reduction from factoring to RSA is known:

- ▶ Breaking RSA does not require obtaining d , which would enable not just decyphering one message but the key to decypher all message.
- ▶ RSA could be breakable without factoring.

Comparisons

Pros of private key encryption:

- ▶ Encoding N bits with private key much faster than DH or RSA.
- ▶ Paritcularly, generating RSA key pairs quite slow.
- ▶ No complexity assumptions.

Comparisons

Pros of DH

- ▶ p and g precomputed, public and verified (“strong p ”)
- ▶ Key generation much faster (compute $g^x \bmod p$)
- ▶ Perfect Forward Secrecy:
 - ▶ Once a session terminates, throw away the secret parts (x , y , g^{xy})
 - ▶ If someone enters your system later, can’t decrypt previous conversations.
 - ▶ In plain RSA exchanges, if someone enters your system and steals your private key, it can decrypt all your previous conversations.
 - ▶ (but solution: Alice creates random key, RSA-encrypts it with Bob’s public key, sends to Bob).
 - ▶ (Distinction short-term (session) key, long-term key.)

Comparisons

Pros of RSA

- ▶ Alice and Bob can **verify** each other: Know who they are talking to.
- ▶ Mallory can pretend to be Alice or Bob during the DH exchange.
- ▶ “Man in the middle” attack
- ▶ Allows for **Digital Signature**: I can sign message m and
 - ▶ Everybody is sure it's me who signed it
 - ▶ I can't deny I signed it

Digital Signature

(Not specific to RSA. Works for any public-key scheme such that not only $D(E) = id$ but $E(D) = id$.)

- ▶ I want to sign message m undeniably. My keys are e, d .
- ▶ I publish $(m, D(m, d))$.
- ▶ People see (m, σ) .
- ▶ They check (with my public e) that $E(\sigma, e) = m$.

Only I could create this from m

Digital Signature, anti-fakes

Glitch: People can generate fakes:

- ▶ Generate σ at random
- ▶ Publish $(E(\sigma, e), \sigma)$ in my name

(Not a big problem for signing PDF's; the fake will be garbage. But problematic in other uses).

Trick: Use a hash function h for which finding preimages is hard. Encoding:

- ▶ I publish $(m, D(h(m), d))$.
- ▶ People see (m, σ) .
- ▶ They check (with my public e) that $E(\sigma, e) = h(m)$.

It seems hard to create a fake (x, σ) in this scheme.

TLS (and predecessor SSL)

Alice and Bob want to use keys of N bits

They want to exchange a message $m = m_1m_2 \dots m_\ell$ where the $len(m_i) = N$

Option 1: Use public-key encoding on each m_i , so ℓ times complex calculations

Option 2, much faster:

1. Exchange ONCE a secret key k via DH (or RSA if you want to verify party's identity).
2. This is the *session key*, one per session.
3. Use private-key protocol (E, D) to send each $E(m_i, k)$ in the session.

(TLS protocol is this and much more engineering stuff)

Quantum

- ▶ Shor's algorithm (1994) solves the Discrete Logarithm and Factorization in quadratic time in an Ideal Quantum Computer.
- ▶ So DH and RSA can be broken by quantum computers.
- ▶ Intense work on Post-Quantum public-key cryptography scheme.
- ▶ They seem to exist. But less convenient (longer keys, less tested assumptions. . .).

Remember: Even if we succeed in **building** Ideal Quantum Computers, there is no theoretical evidence that they can solve NP-complete problems in polynomial time.

No reason to believe that $NP \subseteq \text{"Quantum P"}$ (QBP, technically)