

# Syntactic Analysis (Parsing)

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## Summary

- Objectives of Syntax Analysis
- Context Free Grammars. Applications
- Parsing in Compilers / Interpreters
- Syntax vs. Semantics
- Derivation. Parse Tree
- Cocke-Younger-Kasami Parsing Algorithm
- Parse Tree and Abstract Syntax Tree (AST)
- Ambiguous Grammars
- Linear Parsing Algorithms
  - Top-down  $LL(1)$  parsers
  - Bottom-up  $LR(1)$  parsers



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# Objectives of Syntax Analysis

- Given a CFG (Context Free Grammar)  $G$ , with start symbol  $S$ , and a word  $w$  (a sequence of tokens), can  $w$  be generated by  $G$ ?

$$w \in \mathcal{L}(G) ? \quad S \Rightarrow^* w ?$$

- Analyze the sequence of tokens to determine their grammatical structure with respect to  $G$ .  
Compute the *parse tree* corresponding to the input
- Detect, diagnose, and recovery from *syntax errors*
- Accepts some invalid constructs, filtered out by the semantic analysis



## Context Free Grammars

- Expressive power
  - $\mathcal{L}_1 = \{ a^n b^n \mid n \geq 0 \}$
  - $\mathcal{L}_2 = \{ w c w^{-1} \mid w \in (a|b)^* \}$
  - $\mathcal{L}_3 = \{ w c w \mid w \in (a|b)^* \}$
  - $\mathcal{L}_4 = \{ a^n b^m c^n d^m \mid n, m \geq 0 \}$
  - Algebraic expressions involving numbers, operations  $+$  and  $*$ , and left and right parentheses
  - Constructions of programming languages such as declarations, statements (assignment, `if`, `while`, ...), expressions, etc.



# Context Free Grammars

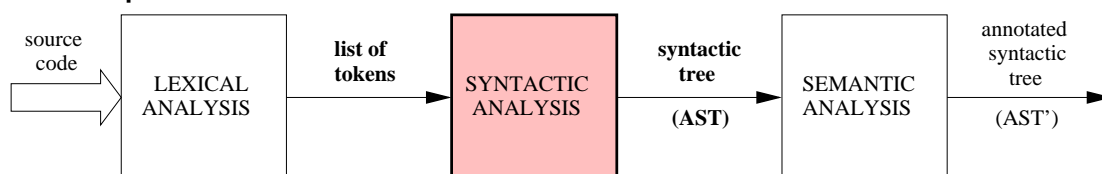
## Applications

- Natural language processing (NLP)
- Type-setting languages (nroff, postscript), document and extensible markup languages ( $\text{\LaTeX}$ , SGML, XML, ...)
- Query for databases and information systems (SQL, DataLog, LDAP, ...)
- Logical synthesis and simulation of electronic circuits (VHDL)
- Graphical or modelling languages (UML, Energy Systems Language)
- **Many applications come with their built-in language.** For example, the scripting language for Adobe Flash (ActionScript)

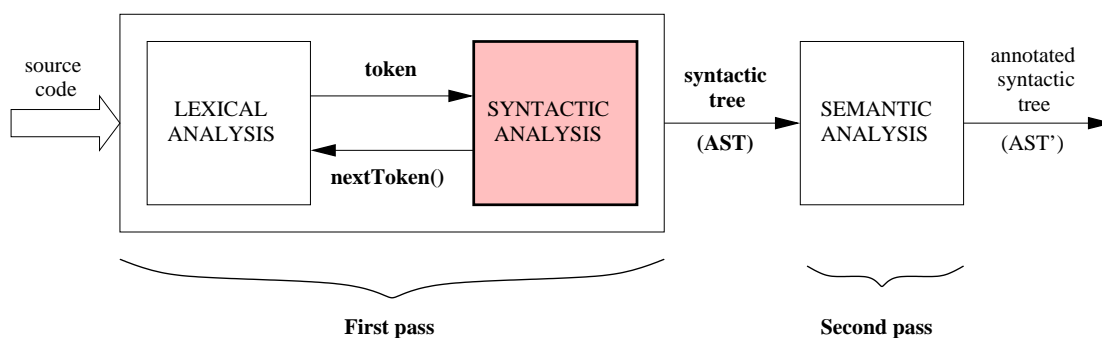


## Parsing in Compilers / Interpreters

Conceptual structure:

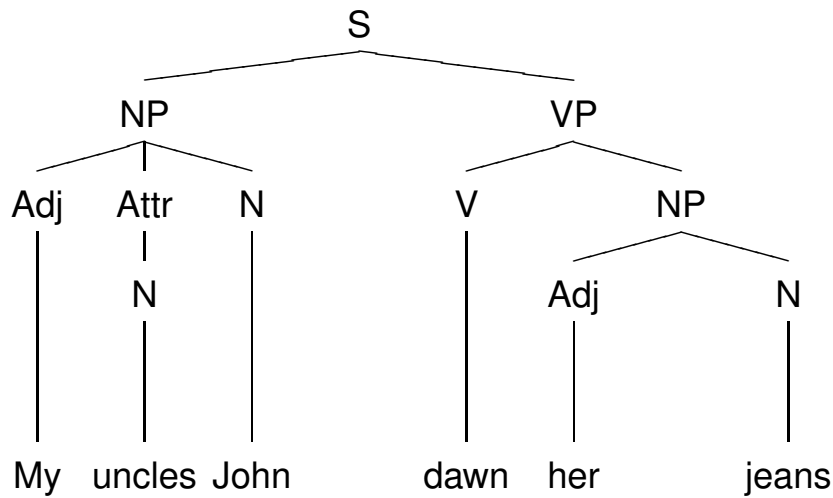


Usual structure:



# Syntax vs. Semantics

- “My uncles John dawn her jeans”



- “Marketplace for : input the a .”

- “They are hunting dogs”



# Syntax vs. Semantics

- Programming languages.

Syntax errors:

```
public class {
    int static i;
    boolean j;
    public double i(UnknownClass k {
        i..x = "hello";
        if (i / 2)
            i + 1 = j;
        return i > ;
    }
}
```



# Syntax vs. Semantics

- Programming languages.

Semantic errors:

```
public class MyFirstClass {
    static int i;
    boolean j;
    public double i(UnknownClass k) {
        i.x[10] = "hello";
        if (i / 2)
            i + 1 = j;
        return i > 3.14;
    }
}
```



# Syntax vs. Semantics

- Programming languages.

Ambiguity:

```
class Bar {
    public float foo( ) {
        return 1;
    }

    public int foo( ) {
        return 2;
    }
};

float x = Bar::foo( );
```

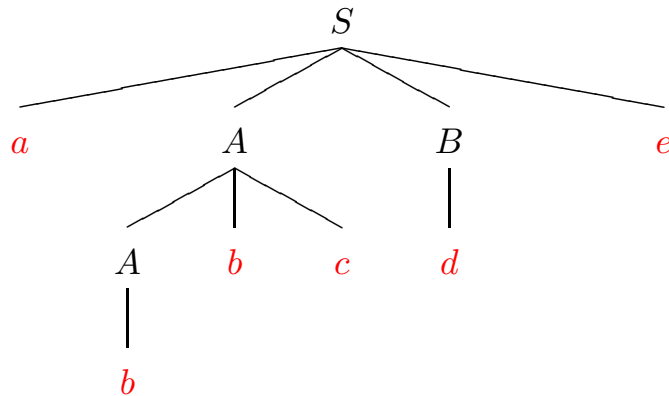


# Derivation. Parse Tree

$$\text{Grammar } G : \begin{cases} S \rightarrow aABe \\ A \rightarrow Abc \mid b \\ B \rightarrow d \end{cases} \quad w = abcde$$

$$S \Rightarrow aABe \Rightarrow aAbcBe \Rightarrow abbcBe \Rightarrow abcde = w$$

Parse tree:



# Cocke-Younger-Kasami Algorithm

Input : A CFG in *Chomsky Normal Form*  $G$ , and a word  $w = a_1 \dots a_n$

Only rules of the form  $A \rightarrow a$  or  $A \rightarrow BC$

Output : An  $n \times n$  table  $T$ , where  $T[i, l]$  is the set of nonterminals  $A$  that generate the substring  $a_i \dots a_{i+l-1}$

Example :  $w = a_1 a_2 \dots a_8$

	1	2	3	4	1=5	6	7	8
1								
2								.
i = 3			B		A		.	.
4						.	.	.
5				C		.	.	.
6					.	.	.	.
7					.	.	.	.
8					.	.	.	.

$$A \rightarrow BC \in G$$

$$B \Rightarrow^* a_3 a_4$$

$$C \Rightarrow^* a_5 a_6 a_7$$

$$A \Rightarrow^* BC \Rightarrow^* a_3 a_4 a_5 a_6 a_7$$



# Cocke-Younger-Kasami Algorithm

Input : A CFG in *Chomsky Normal Form*  $G$ , and a word  $w = a_1 \dots a_n$

Only rules of the form  $A \rightarrow a$  or  $A \rightarrow BC$

Output : An  $n \times n$  table  $T$ , where  $T[i, l]$  is the set of nonterminals  $A$  that generate the substring  $a_i \dots a_{i+l-1}$

Algorithm :

for all  $i \in [1..n]$  :  $T[i, 1] := \{A \mid A \rightarrow a_i \in G\}$

for all  $l \in [2..n]$  :

for all  $i \in [1..n-l]$  :

for every  $A \rightarrow BC \in G$  :

if there is a  $l_1 \in [1..l-1]$  such that

$B \in T[i, l_1]$  and  $C \in T[i+l_1, l-l_1]$

then

$T[i, l] := T[i, l] \cup \{A\}$

Using dynamic programming, each table entry can be filled in  $O(n)$  time.

The algorithm runs in  $O(n^3)$  time.



## Parsing expressions

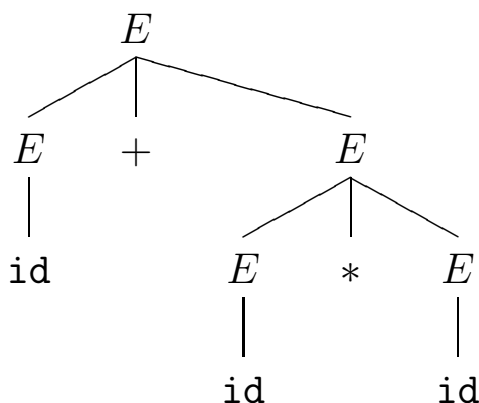
$G : E \rightarrow E + E \mid E * E \mid (E) \mid id$

$w = id_1 + id_2 * id_3$

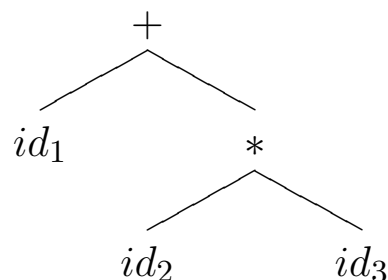
(Leftmost) Derivation #1 :

$E \Rightarrow_{id} E + E \Rightarrow_{id} id + E \Rightarrow_{id} id + E * E \Rightarrow_{id} id + id * E \Rightarrow_{id} id + id * id$

Parse Tree:



$id_1 + (id_2 * id_3)$   
Abstract Syntax Tree (AST):



# Parsing expressions

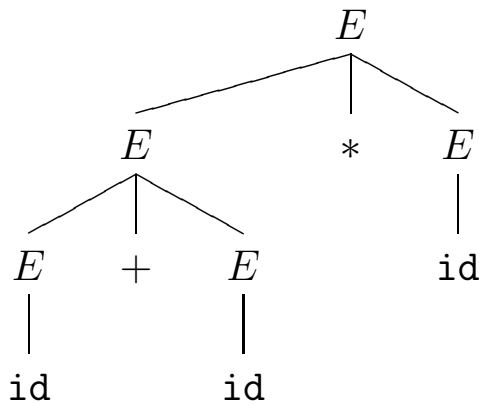
$G: E \rightarrow E + E \mid E * E \mid (E) \mid \text{id}$

$w = \text{id}_1 + \text{id}_2 * \text{id}_3$

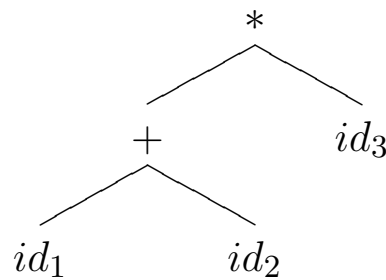
(Leftmost) Derivation #2:

$E \Rightarrow_{\text{id}} E * E \Rightarrow_{\text{id}} E + E * E \Rightarrow_{\text{id}} \text{id} + E * E \Rightarrow_{\text{id}} \text{id} + \text{id} * E \Rightarrow_{\text{id}} \text{id} + \text{id} * \text{id}$

Parse Tree:



$(\text{id}_1 + \text{id}_2) * \text{id}_3$   
Abstract Syntax Tree (AST):



# Ambiguous grammar

A grammar  $G$  is said to be *ambiguous* if there is some string  $w$  that has more than one parse tree or more than one leftmost derivation.

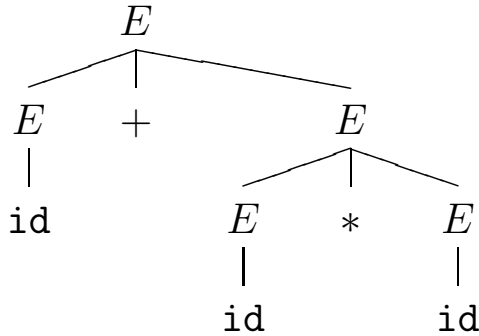




# Ambiguous grammar

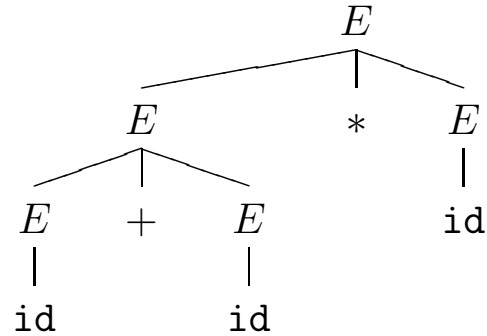
$G: E \rightarrow E + E \mid E * E \mid (E) \mid \text{id}$   
 $w = \text{id}_1 + \text{id}_2 * \text{id}_3$

Parse Tree A:



$\text{id}_1 + (\text{id}_2 * \text{id}_3)$

Parse Tree B:



$(\text{id}_1 + \text{id}_2) * \text{id}_3$



# Operator's precedence

•  $\text{id}_1 + (\text{id}_2 * \text{id}_3 * \text{id}_4) + \text{id}_5$

• Precedence:  $+ \prec_p *$

• This other grammar  $G'$  reflects the operator's precedence:

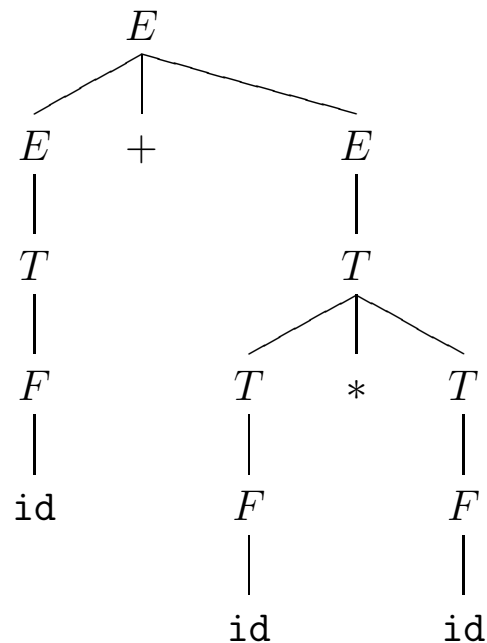
$E \rightarrow E + E \mid T$

$T \rightarrow T * T \mid F$

$F \rightarrow (E) \mid \text{id}$

• Now  $w = \text{id}_1 + \text{id}_2 * \text{id}_3$  only has this parse tree:

$\text{id}_1 + (\text{id}_2 * \text{id}_3)$



# Operator's precedence

- $id_1 + (id_2 * id_3 * id_4) + id_5$

- Precedence:  $+ \prec_p *$

- This other grammar  $G'$  reflects the operator's precedence:

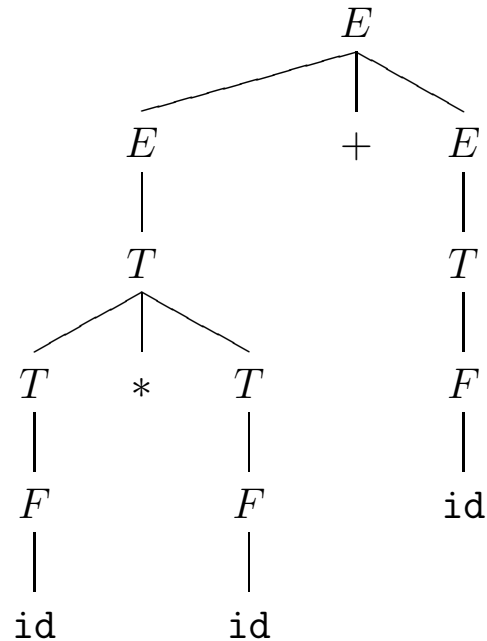
$$E \rightarrow E + E \mid T$$

$$T \rightarrow T * T \mid F$$

$$F \rightarrow ( E ) \mid id$$

- Now  $w = id_1 * id_2 + id_3$  only has this parse tree:

$$(id_1 * id_2) + id_3$$



# Operator's precedence

- $id_1 + (id_2 * id_3 * id_4) + id_5$

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- This other grammar  $G'$  reflects the operator's precedence:

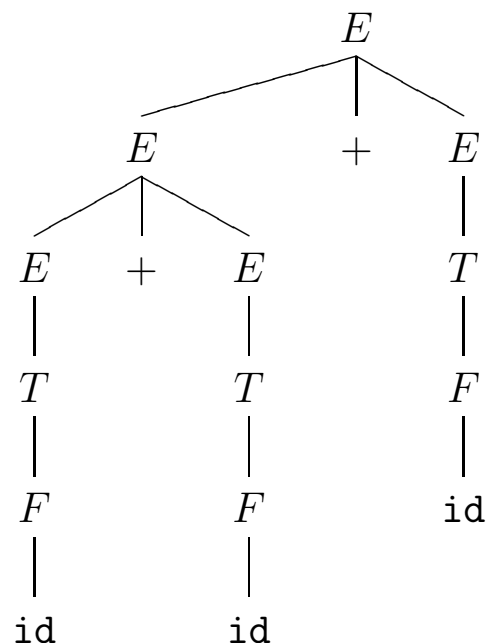
$$E \rightarrow E + E \mid T$$

$$T \rightarrow T * T \mid F$$

$$F \rightarrow ( E ) \mid id$$

- But  $w = id_1 + id_2 + id_3$  still has two parse trees:

$$(id_1 + id_2) + id_3$$



# Operator's precedence

- $id_1 + (id_2 * id_3 * id_4) + id_5$

- Precedence:  $+ \prec_p *$

- This other grammar  $G'$  reflects the operator's precedence:

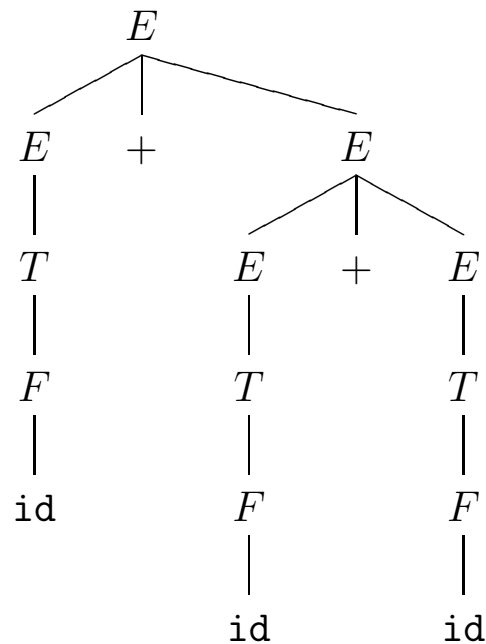
$$E \rightarrow E + E \mid T$$

$$T \rightarrow T * T \mid F$$

$$F \rightarrow ( E ) \mid id$$

- But  $w = id_1 + id_2 + id_3$  still has two parse trees:

$$id_1 + (id_2 + id_3)$$



# Operator's associativity

Left-associative:

$$e_1 \otimes e_2 \otimes e_3 \text{ means } (e_1 \otimes e_2) \otimes e_3$$

Right-associative:

$$e_1 \otimes e_2 \otimes e_3 \text{ means } e_1 \otimes (e_2 \otimes e_3)$$

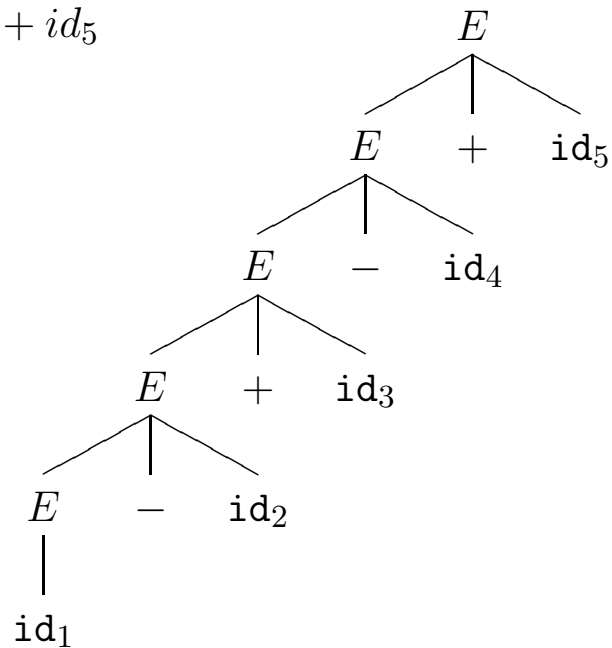
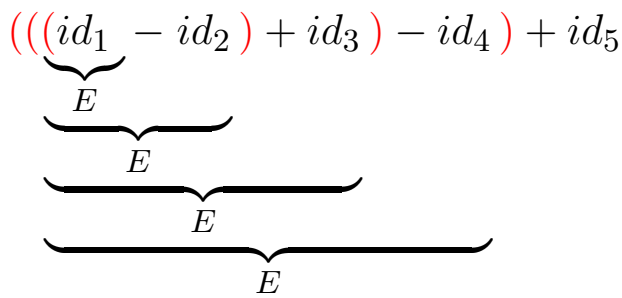
Non-associative:

$$e_1 \otimes e_2 \otimes e_3 \text{ is not correct}$$

Usually arithmetic (+, −, \*, /) and logical operators (&&, ||) are left-associative, comparison operators (>, =, <, ...) are non-associative, and exponentiation (^) is right-associative.



# Operator's associativity



# Operator's associativity

**Left-associativity** ( $+$ ,  $-$ ):

$$\begin{array}{l}
 E \rightarrow E + id \\
 \quad | \quad E - id \\
 \quad | \quad id
 \end{array}$$

**Right-associativity** ( $+$ ,  $-$ ):

$$\begin{array}{l}
 E \rightarrow id + E \\
 \quad | \quad id - E \\
 \quad | \quad id
 \end{array}$$

**Left-associativity** ( $+$ ,  $*$ ):

$$\begin{array}{l}
 E \rightarrow E + T \\
 \quad | \quad T \\
 T \rightarrow T * F \\
 \quad | \quad F \\
 F \rightarrow (E) \\
 \quad | \quad id
 \end{array}$$

**Non-associativity** ( $>$ ):

$$\begin{array}{l}
 E \rightarrow T > T \quad | \quad T \\
 T \rightarrow id \quad | \quad num
 \end{array}$$



# Grammar for expressions

Grammar  $G$ :

$$E \rightarrow E + T$$

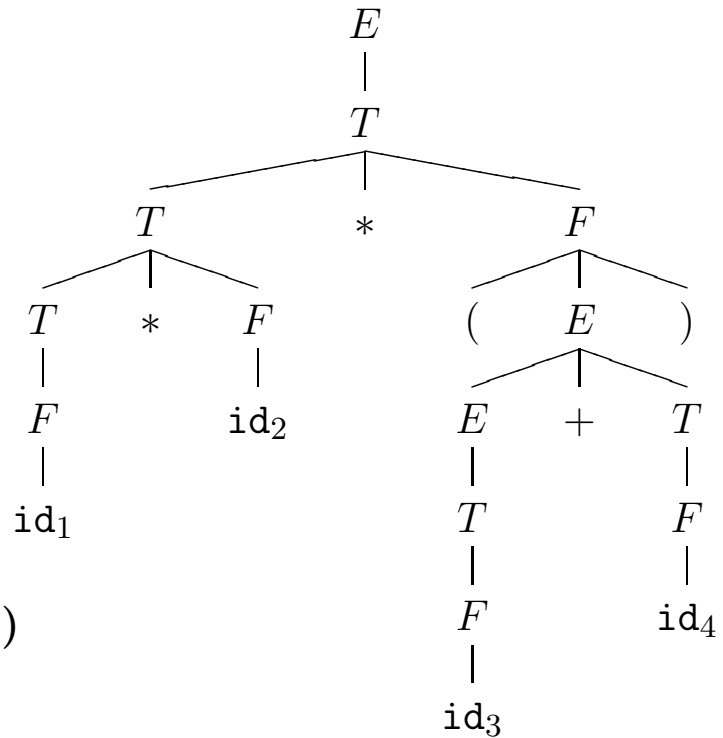
$$| T$$

$$T \rightarrow T * F$$

$$| F$$

$$F \rightarrow (E)$$

$$| \text{id}$$



$$w = \underbrace{id_1 * id_2}_{T} * \underbrace{(id_3 + id_4)}_{F}$$



# Grammar for expressions

Grammar  $G$ :

$$E \rightarrow E + T$$

$$| T$$

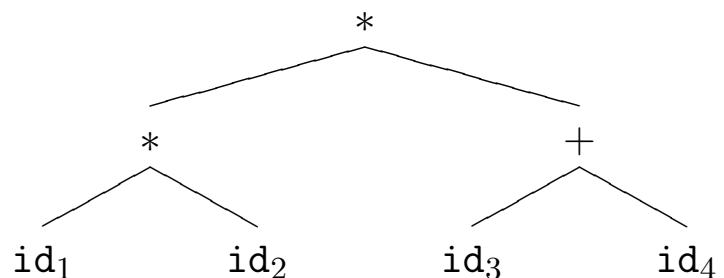
$$T \rightarrow T * F$$

$$| F$$

$$F \rightarrow (E)$$

$$| \text{id}$$

Abstract Syntax Tree  
(AST):



$$w = \underbrace{id_1 * id_2}_{T} * \underbrace{(id_3 + id_4)}_{F}$$



# Exercises

- Extend the grammar for expressions with the following operators and precedence:

$$\{>, <, =\} \prec_p \{+, -\} \prec_p \{*, /\} \prec_p \{-u\}$$

where  $-u$  denotes unary minus ( $-e_1$ ).

All the binary operators are left-associative.

- Extend the previous grammar incorporating the access-to-array operator  $[]$  (with the usual syntax  $(e_1[e_2])$ ), the access-to-struct operator  $.$ , and the postfix access-to-pointed operator  $\hat{\ } (e_1\hat{\ })$ .

All of them have maximal precedence.

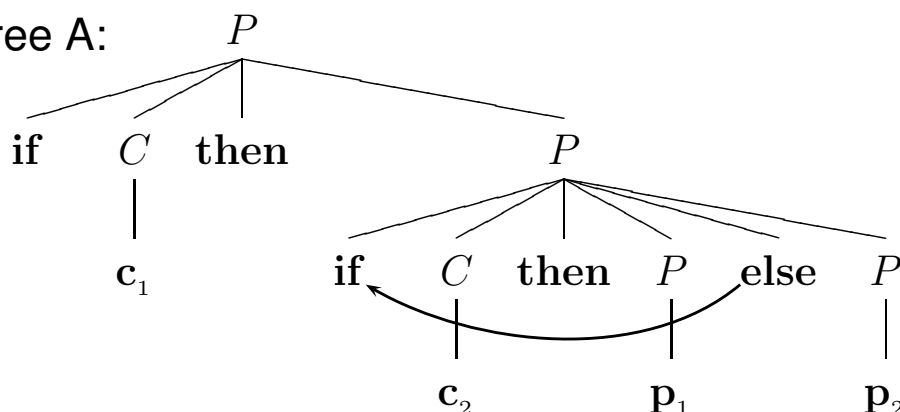


## Ambiguous If-then-else (dangling else)

Grammar:  $w = \text{if } c_1 \text{ then if } c_2 \text{ then } p_1 \text{ else } p_2$

$$\begin{aligned}
 P &\rightarrow \text{if } C \text{ then } P \\
 &\quad | \text{if } C \text{ then } P \text{ else } P \\
 &\quad | p \\
 C &\rightarrow c
 \end{aligned}$$

Parse Tree A:

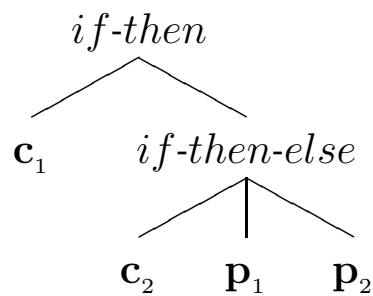


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$P \rightarrow \text{if } C \text{ then } P$   
 $\quad | \text{if } C \text{ then } P \text{ else } P$   
 $\quad | p$   
 $C \rightarrow c$

Abstract Syntax Tree (AST) A:

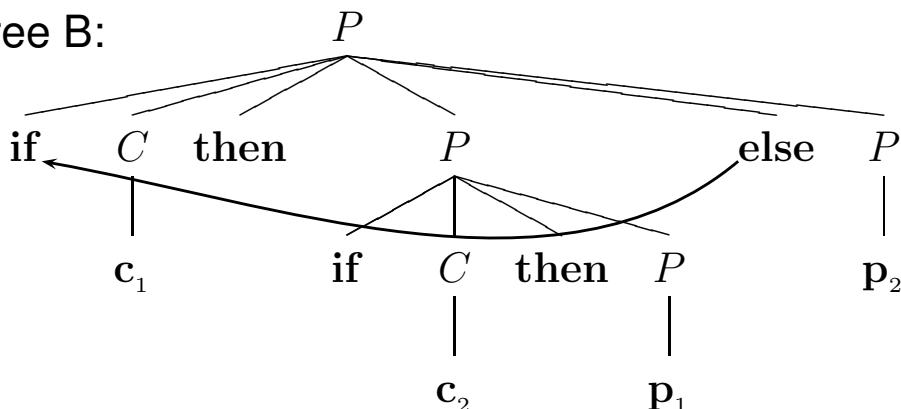


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$P \rightarrow \text{if } C \text{ then } P$   
 $\quad | \text{if } C \text{ then } P \text{ else } P$   
 $\quad | p$   
 $C \rightarrow c$

Parse Tree B:

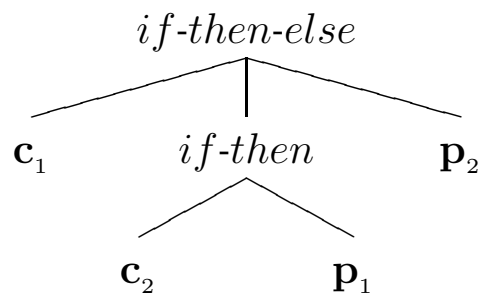


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Grammar:  $w = \text{if } c_1 \text{ then if } c_2 \text{ then } p_1 \text{ else } p_2$

$$\begin{aligned} P &\rightarrow \text{if } C \text{ then } P \\ &\quad | \text{if } C \text{ then } P \text{ else } P \\ &\quad | p \\ C &\rightarrow c \end{aligned}$$

Abstract Syntax Tree (AST) B:



## Exercise

- Find an alternative non-ambiguous grammar for *this* language.
- Give a clue?  
There are two kind of propositions:
  - *closed*, where a following `else` cannot correspond to them.
  - *open*, where a following `else` will correspond to them (to their `if`).

