

Algorithmics: Basic definitions and concepts

The course

Algorithms:
our context

Asymptotic
notation

Graphs

Data structures

Algorithms

P and NP

Reductions



The Algorithmics course

Already known (EDA level)

- Algorithms cost and Asymptotic notation

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- Basics on graph theory, graph data structures
- Graph and digraph traversals (BFS, DFS) and applications.

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- Backtracking algorithms

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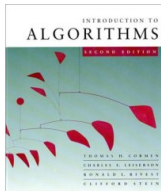
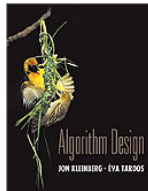
Topics to cover:

- Divide and conquer: Linear Selection
- Sorting in linear time (when? how?)
- Greedy algorithms
- Dynamic programming
- Distances in graphs
- Flow networks: problems, algorithms and applications
- Linear Programming
- Approximation algorithms
- Streaming algorithms

Provide models to solve real problems

References

Main references:



"The algorithmic lenses: C. Papadimitriou"

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- In 1936 Alan Turing demonstrated the universality of computational principles with his mathematical model: the Turing machine.

"The algorithmic lenses: C. Papadimitriou"

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Reductions

- In 1936 Alan Turing demonstrated the universality of computational principles with his mathematical model: the Turing machine.
- Algorithms themselves have evolved into a complex set of techniques, self-learning, Web services, concurrent, distributed or parallel, etc... Each of them with ad-hoc relevant computational limitations and social implications.

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Reductions

- In 1936 Alan Turing demonstrated the universality of computational principles with his mathematical model: the Turing machine.
- Algorithms themselves have evolved into a complex set of techniques, self-learning, Web services, concurrent, distributed or parallel, etc... Each of them with ad-hoc relevant computational limitations and social implications.
- However, this course will be a course on classical algorithms, which are the core needed to understand more advanced computational material.

Algorithms

Algorithm: a step-by-step set of precise instructions or rules designed to perform a specific task or solve a defined problem. Each step of the process must be clear and unambiguous, and it should always yield a correct answer.

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Sqrt (n)

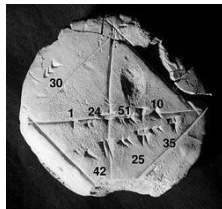
$x_0 = 1$

for $i = 1$ to 6 **do**

$x_i = (x_{i-1} + n/x_{i-1})/2$

end for

Babilònia (XVI BC)



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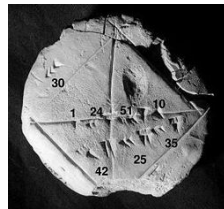
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Babilònia (XVI BC)

For $n = 20$, x 's are 1 10.5 6.2023 4.7134 4.4783



We have designed an algorithm to solve a computational problem

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We have designed an algorithm to solve a computational problem

What do we want to know?

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We have designed an algorithm to solve a computational problem

What do we want to know?

- Correctness, it always does what it should?

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We have designed an algorithm to solve a computational problem

What do we want to know?

- Correctness, it always does what it should?
- Performance,
 - **computing time**,
 - memory use
 - communication cost, ...

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For an algorithm $\mathcal{A}$, $t_{\mathcal{A}}(x)$ is the computing time on input x .

In this course, we use a **worst case analysis**: Given a problem, for which you designed an algorithm, you assume that your meanest adversary gives you the worst possible input.

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We use as measure of **time complexity** or **cost** the function

$$T(n) = \max_{|x|=n} t_{\mathcal{A}}(x)$$

Time complexity

The time complexity must be independent of the "used" machine?

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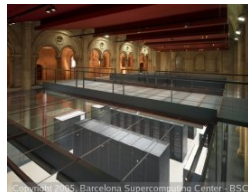
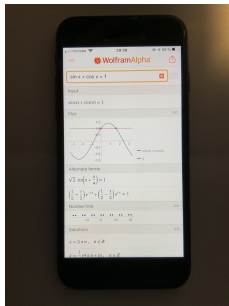
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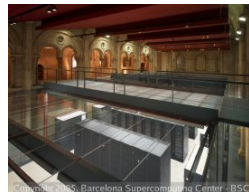
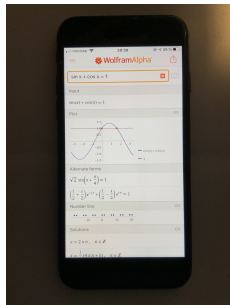
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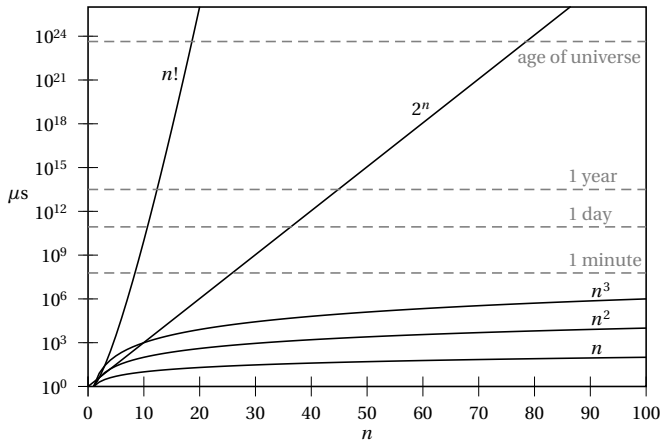
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Must we consider carefully how operations scale with respect to size?

Computation time assuming that an input with size $n = 1$ can be solved in $1 \mu\text{second}$:



From: Moore-Mertens, The Nature of Computation

Be careful

- Assume that the input to an algorithm is an integer x that uses 64 bits.

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Be careful

- Assume that the input to an algorithm is an integer x that uses 64 bits.
- The cost of the algorithm is $O(x)$ and the time units are nanoseconds.

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- Assume that the input to an algorithm is an integer x that uses 64 bits.
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- Thus, processing this input takes more than

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Reductions

- Assume that the input to an algorithm is an integer x that uses 64 bits.
- The cost of the algorithm is $O(x)$ and the time units are nanoseconds.
- Thus, processing this input takes more than 500 years

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Reductions

- Assume that the input to an algorithm is an integer x that uses 64 bits.
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- Thus, processing this input takes more than 500 years

Note that the cost of this algorithm is a polynomial function on the input value not on the input size.

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Note that the cost of this algorithm is a polynomial function on the input value not on the input size.

Such algorithms are classified as **pseudopolynomial**.

Efficient algorithms and practical algorithms

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Reductions

- We say that an algorithm is **feasible** if its cost is polynomial.

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Reductions

- We say that an algorithm is **feasible** if its cost is polynomial.
- However $n^{10^{10}}$ is a polynomial function but such computing time could be prohibitive!

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- In the same way, if we have cn^2 for constant $c = 10^{64}$, then c dominates inputs up to a size of $n > 10^{64}$.

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- In this course, we will not enter in the analysis up to constants, but keep in mind that constants matter!!!!
- In practice, even a feasible algorithms with time complexity of for example n^4 could be too slow for $n \geq 1000$.

Asymptotic notation

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Symbol	$L = \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$	intuition
$f(n) = O(g(n))$	$L < \infty$	$f \leq g$
$f(n) = \Omega(g(n))$	$L > 0$	$f \geq g$
$f(n) = \Theta(g(n))$	$0 < L < \infty$	$f = g$
$f(n) = o(g(n))$	$L = 0$	$f < g$
$f(n) = \omega(g(n))$	$L = \infty$	$f > g$

Names used for specific function classes

Name	Definition
polylogarithmic	$f = O(\log^c n)$ (c constant)
polynomial	$f = O(n^c)$ (c constant) or $n^{O(1)}$
subexponential	$f = o(2^{n^\epsilon})$ ($0 < \epsilon < 1$)
exponential	$f = 2^{\text{poly}(n)}$
double exponential	$f = 2^{\exp(n)}$

Notation:

$\lg \equiv \log_2$; $\ln \equiv \log_e$; $\log \equiv \log_{10}$.

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Some math you should remember

Given an integer $n > 0$ and a real $a > 1$ and $a \neq 0$:

- Arithmetic summation: $\sum_{i=0}^n i = \frac{n(n+1)}{2}$.
- Geometric summation: $\sum_{i=0}^n a^i = \frac{1-a^{n+1}}{1-a}$.

Logarithms and Exponents: For $a, b, c \in \mathbb{R}^+$,

- $\log_b a = c \Leftrightarrow a = b^c \Rightarrow \log_b 1 = 0$
- $\log_b ac = \log_b a + \log_b c, \log_b a/c = \log_b a - \log_b c.$
- $\log_b a^c = c \log_b a \Rightarrow c^{\log_b a} = a^{\log_b c} \Rightarrow 2^{\log_2 n} = n.$
- $\log_b a = \log_c a / \log_c b \Rightarrow \log_b a = \Theta(\log_c a)$

Stirling: $n! = \sqrt{2\pi n}(n/e)^n + O(1/n) + \gamma \Rightarrow n! + \omega((n/2)^n).$

n -Harmonic: $H_n = \sum_{i=1}^n 1/i \sim \ln n.$

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Graphs

See for ex. Chapter 3 of Dasgupta, Papadimitriou, Vazirani (DPV).

Graph: $G = (V, E)$, where V is the set of vertices, $n = |V|$, and $E \subset V \times V$ is the set of edges, $m = |E|$,

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- Graphs: *undirected graphs (graphs)* and *directed graphs (digraphs)*
- The *degree* of v ($d(v)$) is the number of edges which are incident to v .
- A *clique* on n vertices (K_n) is a *complete graph* (with $m = n(n-1)/2$).
- A undirected G is said to be connected if there is a path between any two distinct vertices.
- If G is connected, then $m \geq n - 1$.

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Directed graphs

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- Edges are directed.
- The connectivity concept in digraphs is the so called **strong connectivity**: There is a directed path between any two vertices.
- In a digraph $m \leq n(n - 1)$.

Density of a graph

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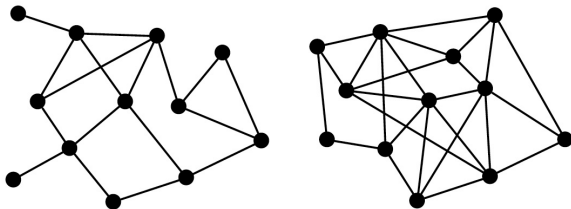
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A G with n vertices is said to be **dense** when $m = \Theta(n^2)$.
When $m = o(n^2)$, G is said to be **sparse**.



Common graph's data structures

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Reductions

Let $G = (V, E)$ be a graph.

Adjacency list uses $O(|V| + |E|)$ space.

Adjacency matrix uses $O(|V|^2)$ space.

Adjacency matrix

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- If G is **undirected**, its adjacency matrix $A(G)$ is **symmetric**.
- If A is the adjacency matrix of G , then A^2 gives, for $i, j \in V$, whether there is a path between i and j in G , with **length 2**.
For $k > 0$, A^k indicates if there is a path with **length k** from i to j .
- If G has **weights** on edges, i.e. $w_{i,j}$ for each $(i,j) \in E$, $A(G)$ keeps **w_{ij} in position (i,j)** .
- Adjacency matrices allow the use of tools from linear algebra.

Complexity issues between matrix and list DS

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Complexity issues between matrix and list DS

- Adding a new edge to G :

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- Adding a new edge to G : In both data structures, we need $\Theta(1)$.

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Complexity issues between matrix and list DS

- Adding a new edge to G : In both data structures, we need $\Theta(1)$.
- Edge query, for u and v in $V(G)$, $(u, v) \in E(V)$?:
For matrix representation:

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For list representation: $O(n)$.

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- Explore all neighbours of vertex v :
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For list representation: $O(n)$.
- Explore all neighbours of vertex v :
For matrix representation: $\Theta(n)$
For list representation: $\Theta(|d(v)|)$
- Erase an edge in G :

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For list representation: $O(n)$.
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For matrix representation: $\Theta(n)$
For list representation: $\Theta(|d(v)|)$
- Erase an edge in G : The same as Edge query.

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- Adding a new edge to G : In both data structures, we need $\Theta(1)$.
- Edge query, for u and v in $V(G)$, $(u, v) \in E(V)$?:
For matrix representation: $\Theta(1)$.
For list representation: $O(n)$.
- Explore all neighbours of vertex v :
For matrix representation: $\Theta(n)$
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- Erase an edge in G : The same as Edge query.
- Erase a vertex in G :
For matrix representation:

Complexity issues between matrix and list DS

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- Erase an edge in G : The same as Edge query.
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For matrix representation: $O(n^2)$.
For list representation:

Complexity issues between matrix and list DS

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For matrix representation: $O(n^2)$.
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Basic graph algorithms

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Reductions

- 1 Breadth First Search
- 2 Depth First Search
- 3 Topological sort in directed acyclic graphs
- 4 Connected components in undirected graphs
- 5 Strongly connected components in directed graphs

Basic graph algorithms

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Reductions

- 1 Breadth First Search
- 2 Depth First Search
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All of them have cost $O(|V| + |E|)$ on graphs given by adjacency lists.

Searching a graph: Breadth First Search

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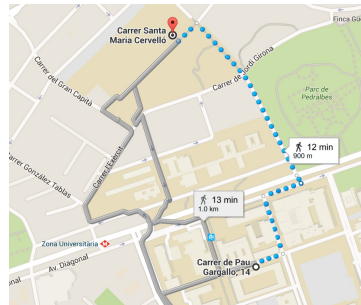
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- 1 Start with vertex v , visit v and all its neighbors.
- 2 Then, the non-visited neighbors of visited ones.
- 3 Repeat until all vertices are visited.



BFS use a QUEUE, (FIFO) to keep the neighbors of visited vertices.

Recall that vertices are labeled to avoid visiting them more than once.

Searching a graph: Depth First Search

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explore

- 1 From current vertex, move to a neighbor.
- 2 Until you get stuck.
- 3 Then backtrack till new place to explore.



DFS use a STACK, (LIFO)

Time Complexity of DFS and BFS

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For graphs given by adjacency lists: $O(|V| + |E|)$

For graphs given by adjacency matrix: $O(|V|^2)$

Therefore, both procedures can be implemented in linear time with respect to the size of the input graph.

Connected components in undirected graphs

A connected component is a **maximal** connected subgraph of G .

A connected graph has a unique connected component.

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Connected components in undirected graphs

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Connected Components Problem

INPUT: undirected graph G

GOAL: Find all the connected components of G .

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Connected components in undirected graphs

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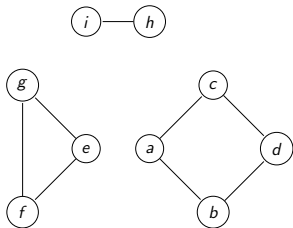
A connected graph has a unique connected component.

Connected Components Problem

INPUT: undirected graph G

GOAL: Find all the connected components of G .

To find connected components in G use DFS/BFS and keep track of the set of vertices visited in each **explore** call.



The problem can be solved in $O(|V| + |E|)$.

Strongly connected components in a digraph

A digraph $G = (V, E)$, is *strongly connected*, if for all $u, v \in V$, there are paths $u \rightarrow v$ and $v \rightarrow u$.

A strongly connected component is a maximal strongly connected graph.

Strongly Connected Components Problem

INPUT: digraph G

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Kosharaju-Sharir's algorithm: Uses DFS (twice). Complexity
 $T(n) = O(|V| + |E|)$

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Strongly Connected Components Problem

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GOAL: Find the strongly connected components of G .

Kosharaju-Sharir's algorithm: Uses DFS (twice). Complexity
 $T(n) = O(|V| + |E|)$

Tarjan's algorithm: Using DFS (once). Complexity
 $T(n) = O(|V| + |E|)$

Strongly connected components in a digraph

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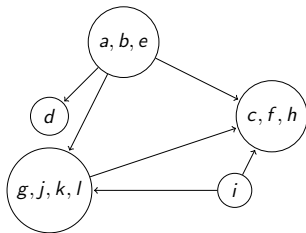
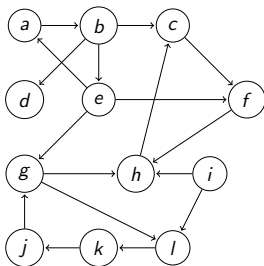
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A nice property: For every digraph, the graph on its strongly connected components is *acyclic*.



The classes P and NP

- Recall that a problem belongs to the class P if there exists an algorithm that is polynomial in the worst-case analysis, (for the worst input given by a malicious adversary)

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The classes P and NP

- Recall that a problem belong to the class P if there exists an algorithm that is polynomial in the worst-case analysis, (for the worst input given by a malicious adversary)
- A problem given in **decisional form** belong to the class NP **non-deterministic polynomial time** if, given a certificate of a solution, we can verify in polynomial time that indeed the certificate is a valid solution to the problem and those certificates have polynomial size

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The classes P and NP

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- It is easy to see that $P \subseteq NP$, but it is an open problem to prove that $P=NP$ or that $P \neq NP$.

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The classes P and NP

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- Recall that a problem belongs to the class P if there exists an algorithm that is polynomial in the worst-case analysis, (for the worst input given by a malicious adversary)
- A problem given in **decisional form** belongs to the class NP **non-deterministic polynomial time** if, given a certificate of a solution, we can verify in polynomial time that indeed the certificate is a valid solution to the problem and those certificates have polynomial size
- It is easy to see that $P \subseteq NP$, but it is an open problem to prove that $P=NP$ or that $P \neq NP$.
- The class NP-complete is the class of most difficult problems in decisional form that are in NP. Most difficult in the sense that if one of them is proved to be in P then $P=NP$.

Beyond worst-case analysis

- Under the hypothesis that $P \neq NP$, if the decision version of a problem is NP-complete, then the optimization problem will require at least exponential time, for some inputs.
- The classification of a problem as NP-complete is a case of worst-case analysis, and for many problems the "expensive inputs" are few, and far from practical typical inputs. We will see some examples through the course.
- Therefore, there are alternative ways to get in practice, solutions for NP-complete problems, with the use of alternative algorithmic techniques, as approximation (we will see some examples), heuristics and self-learning algorithms, that are deferred to other courses.

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A powerful tool to solve problems: Reductions

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You have been introduced in previous courses to the concept of reduction between decision problems, to define the class NP-complete.

A powerful tool to solve problems: Reductions

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Reductions

You have been introduced in previous courses to the concept of reduction between decision problems, to define the class NP-complete.

A polynomial time **reduction** $A \leq_m B$ is a function f , computable in polynomial time such that f maps any input x to A , to an input $f(x)$ to B in such a way that x is a yes input for A iff $f(x)$ is a yes input for B .

We have to extend the concept to function problems.

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Given problems A and B , assume we have an algorithm $\mathcal{A}_B$ to solve the problem B on any input y .

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Given problems A and B , assume we have an algorithm $\mathcal{A}_B$ to solve the problem B on any input y .

A polynomial time **reduction** $A \leq B$ is an algorithm $\mathcal{A}$ solving A that uses calls to $\mathcal{A}_B$ such that $\mathcal{A}$ runs in polynomial time assuming that any call to $\mathcal{A}_B$ takes polynomial time.

Reductions

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A polynomial time **reduction** $A \leq B$ is an algorithm $\mathcal{A}$ solving A that uses calls to $\mathcal{A}_B$ such that $\mathcal{A}$ runs in polynomial time assuming that any call to $\mathcal{A}_B$ takes polynomial time.

Therefore if we have that $A \leq B$, if there is an algorithm to solve problem B in polynomial time, then we have an algorithm to solve A in polynomial time.

Evaluating a Boolean circuit

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Reductions

Evaluating a Boolean circuit

- A Boolean circuit can be represented by a DAG C in which in-degree 0 vertices are the input gates, out-degree 0 vertices are the output gates. Each vertex that is not an input has a label indicating the type Boolean function implemented by the gate (and,or,not).

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- A gate can be evaluated provided all its input values have been evaluated or it is an input gate.

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- A gate can be evaluated provided all its input values have been evaluated or it is an input gate.
- We can solve the problem using the following algorithm with input (C, x) :

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Evaluating a Boolean circuit

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- A gate can be evaluated provided all its input values have been evaluated or it is an input gate.
- We can solve the problem using the following algorithm with input (C, x) :
 - Sort the vertices in C in such a way that any vertex is after their predecessors.
 - Assign to the input gates, the determined values
 - Evaluate gates in the computed order
 - Output the values of the output gates.

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Evaluating a Boolean circuit

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Evaluating a Boolean circuit

- We can solve the problem using the following algorithm, with input (C, x) :
 - Sort the vertices in C in such a way that any vertex is after their predecessors.
 - Assign to the input gates, the values determined by x
 - Evaluate gates in the computed order
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- But that is:

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- But that is:
 - Do a topological sort of the vertices in C .
 - Assign to the input gates, the determined values
 - Evaluate gates in the computed order
 - Output the values of the output gates.

Evaluating a Boolean circuit

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Reductions

- Do a topological sort of the vertices in C .
 - Assign to the input gates, the determined values
 - Evaluate gates in the computed order
 - Output the values of the output gates.
- Is this a polytime reduction from the problem of evaluating a circuit to the problem of computing a topological sort in a DAG?

Evaluating a Boolean circuit

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Reductions

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 - Assign to the input gates, the determined values
 - Evaluate gates in the computed order
 - Output the values of the output gates.
- Is this a polytime reduction from the problem of evaluating a circuit to the problem of computing a topological sort in a DAG? **Yes!**
 - Do we have a polynomial time algorithm that evaluates a Boolean circuit (given C and x)?

Evaluating a Boolean circuit

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- Do a topological sort of the vertices in C .
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-
- Is this a polytime reduction from the problem of evaluating a circuit to the problem of computing a topological sort in a DAG? **Yes!**
 - Do we have a polynomial time algorithm that evaluates a Boolean circuit (given C and x)? **Yes!**