## Divide-and-conquer: Selection

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## Selection

The problem

## From 9.3 in CLRS

Selection Problem: Given an array $A$ of $n$ unordered distinct keys, and $i \in\{1, \ldots, n\}$, select the $i$ th-smallest element in $A$, that is the key that is larger than exactly $i-1$ other keys in $A$.

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We use the term rank for the position that occupies an element after sorting $A$.
Notice that $i$ can be any rank value, in particular when:
$1 i=1$, the MINIMUM element
$2 i=n$, the MAXIMUM element
$3 i=\left\lfloor\frac{n+1}{2}\right\rfloor$, the MEDIAN
$4 i=\lfloor 0.25 n\rfloor \Rightarrow$ order statistics

## A first algorithm

Sort $A$ in $(O(n \lg n))$ steps, then the $i$-th smallest key is $A[i]$.

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Can we do it faster? in linear time?

## A first algorithm

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Sort $A$ in $(O(n \lg n))$ steps, then the $i$-th smallest key is $A[i]$.
Can we do it faster? in linear time?
Yes, selection is easier than sorting

## The algorithm: High level

- Chose a split element $x$.

■ Let $k$ be the rank of $x$, if $k=i$, we found the $i$-th element. Otherwise,

■ Use $x$ to determine a partition of $A$, smaller than $x$ to the left and larger to the right.
■ Compute recursively the $i$-th element in the left part, when $i<k$, or the $i-k$-th element in the right part, when $i>k$.

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The algorithm is correct, independently of the rule used to determine $x$, as $x$ 's rank is correctly computed.

The time depends on the quality of the splitting element to divide fairly the elements

## Selection: Finding a splitting element

If $n \leq 5$ return their median.

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Otherwise, divide the $n$ elements in $\lceil n / 5\rceil$ groups, each with 5 elements except one group that might have $<5$ elements).

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Otherwise, divide the $n$ elements in $\lceil n / 5\rceil$ groups, each with 5 elements except one group that might have $<5$ elements).


## Selection: Finding a splitting element

Sort the elements in each group and find its median. (Each sort needs $\leq 25$ comparisons, i.e. $\Theta(1)$ ).
Call $x_{j}$ the median of the $j$-th group.

## Selection：Finding a splitting element

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Sort the elements in each group and find its median． （Each sort needs $\leq 25$ comparisons，i．e．$\Theta(1)$ ）．
Call $x_{j}$ the median of the $j$－th group．


The splitting element $x$ is the median of the set of medians， $\left\{x_{j} \mid 1 \leq j \leq\lceil n / 5\rceil\right\}$ ．

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## The algorithm

```
Select(A, i)
Divide A into m=\lceiln/5\rceil groups, all but at most one with 5
elements
X[j] = median of group j,j=1,\ldots,m
x = Select(X,\lfloor(m+1)/2\rfloor) i.e. the median of X
Let }k\mathrm{ be the rank of }x\mathrm{ in A
if }i=k\mathrm{ then
    return x
else
    L = the elements of A smaller than x (left)
    R= the elements of A bigger than x (right)
    if i<k then
        return Select(L,i)
    else
            return Select(R,i-k)
```


## Example: Find the median

Let $n=15$, we want to get the 5 -th element on the following input:

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$$
A=3139451151210261481711
$$

## An example

Let $n=15$, we want to get the 5 -th element on the following input:

$$
A=\begin{array}{|l|l|l|l|}
\hline 313945 & 11512102 & 61481711 \\
\hline
\end{array}
$$

## An example

Let $n=15$, we want to get the 5 -th element on the following input:

$$
A=\begin{array}{|l|l|l|l|}
\hline 313945 & 11512102 & 61481711 \\
\hline
\end{array}
$$

| 3 | 1 | 6 |
| :---: | :---: | :---: |
| 4 | 2 | 8 |
| 5 | 10 | 11 |
| 9 | 12 | 14 |
| 13 | 15 | 17 |

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The median of $X=(5,10,11)$ is 10 which has rank 9

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| 4 | 2 | 8 |
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| 9 | 12 | 14 |
| 13 | 15 | 17 |

The median of $X=(5,10,11)$ is 10 which has rank 9 As $5<9$, recursively ask for the 5 -th element in the left part with respect to $x=10$, i.e., $(3,9,4,5,1,2,6,8)$

## Example: Find the median

In the next call $n=8$, we look for the 5 -th element in the following input:

$$
A=39451268
$$

## An example

In the next call $n=8$, we look for the 5 -th element in the following input:

$$
A=\begin{array}{|c|}
\hline 39451 \\
268 \\
\hline
\end{array}
$$

## An example

In the next call $n=8$, we look for the 5 -th element in the following input:

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$$
A=39451268
$$

| 1 |  |
| :--- | :--- |
| 3 | 2 |
| 4 | 6 |
| 5 | 8 |
| 9 |  |

## An example

In the next call $n=8$, we look for the 5 -th element in the following input:

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| 5 | 8 |
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The median of $X=(4,6)$ is 4 which has rank 4.

## An example

In the next call $n=8$, we look for the 5 -th element in the following input:

$$
A=39451268
$$

| 1 |  |
| :--- | :--- |
| 3 | 2 |
| 4 | 6 |
| 5 | 8 |
| 9 |  |

The median of $X=(4,6)$ is 4 which has rank 4 . As $5>4$ the algorithm looks for the 1st element in the right part $(5,6,8,9)$, which is 5 .

## Selection algorithm: Cost

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```
Select \((A, i)\)
Divide \(A\) into \(m=\lceil n / 5\rceil\) groups, all but at most one with 5
elements \(O(n)\)
\(X[j]=\) median of group \(j, j=1, \ldots, m O(n)\)
\(x=\operatorname{Select}(X,\lfloor(m+1) / 2\rfloor)\) i.e. the median of \(X T(n / 5)\)
Let \(k\) be the rank of \(x\) in \(A\)
if \(i=k\) then
    return \(x\)
else
    \(L=\) the elements of \(A\) smaller than \(\times O(n)\)
    \(R=\) the elements of \(A\) bigger than \(\times O(n)\)
    if \(i<k\) then
        return Select \((L, i) T(?)\)
    else
        return Select \((R, i-k) T(?)\)
```


## Selection algorithm: elements smaller than $x$

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At least $3\left(\frac{1}{2}(\lceil n / 5\rceil-2)\right) \geq \frac{3 n}{10}-6$ of the elements are $<x$.

## Selection algorithm: elements smaller than $x$

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Right of $x$

## Selection algorithm：elements bigger than $x$

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Al least $3\left(\frac{1}{2}(\lceil n / 5\rceil-2)\right) \geq \frac{3 n}{10}-6$ of the elements are $>x$ ．

## Selection algorithm: elements bigger than $x$

## The problem

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Right of $x$

## Selection algorithm: the recurrence

- As at least $\geq \frac{3 n}{10}-6$ of the elements are $>x(<x)$, at most $n-\left(\frac{3 n}{10}-6\right)=6+7 n / 10$ elements are $\leq x(\geq x)$.
■ In the worst case, Select recursively calls on a vector with size $\leq 6+7 n / 10$. So, step 5 takes time $\leq T(6+7 n / 10)$.
Therefore, selecting 50 as the size to stop the recursion, we have

$$
T(n)= \begin{cases}\Theta(1) & \text { if } n \leq 50 \\ T(\lceil n / 5\rceil)+T(6+7 n / 10)+\Theta(n) & \text { if } n>50\end{cases}
$$

Solving we get $T(n)=\Theta(n)$

## Selection algorithm: the recurrence

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Solving we get $T(n)=\Theta(n)$ How?

## Solving the recurrence

- Use substitution.

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## Solving the recurrence

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■ Assume that $T(n) \leq c n$, for some constant $c$ and $n \leq 50$. Note that $6+7 n / 10<n$, for $n>12$.

## Solving the recurrence

- Use substitution.
- Assume that $T(n) \leq c n$, for some constant $c$ and $n \leq 50$. Note that $6+7 n / 10<n$, for $n>12$.
- Prove that $T(n) \leq c n$ by induction. As usual we replace a $\Theta(n)$ term by $d n$, for an adequate constant $d$.

$$
\begin{aligned}
T(n) & \leq T(\lceil n / 5\rceil)+T(6+7 n / 10)+d n \\
& \leq c\lceil n / 5\rceil+c(6+7 n / 10)+d n \\
& \leq c(n / 5+1)+c(6+7 n / 10)+d n \\
& \leq 9 c n / 10+7 c+d n \leq c n
\end{aligned}
$$

Taking $c=10 d$, for large $n$, the inequality holds.

## Remarks on the cardinality of the groups

## Notice:

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- If we make groups of 7 , the number of elements $\geq x$ is $\frac{2 n}{7}$, which yield $T(n) \leq T(n / 7)+T(5 n / 7)+O(n)$ with solution $T(n)=O(n)$.
■ However, if we make groups of 3 , then

$$
\begin{aligned}
& T(n) \leq T(n / 3)+T(2 n / 3)+O(n), \text { which has a solution } \\
& T(n)=O(n \ln n)
\end{aligned}
$$

