

Some definitions:

- A *Boolean variable* x can take values 0,1.
- A *Boolean formula* is an expression constructed from Boolean variables and connectives, negation (\neg or $\bar{\phi}$), disjunction (\vee) and conjunction (\wedge).
- A Boolean formula ϕ is *satisfiable* if there is a *truth assignment* $T : X \rightarrow \{0,1\}$ to the variables in ϕ such that $T(\phi) = 1$.

For example, for $X = \{x_1, x_2, x_3\}$,

$$\phi = (x_1 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge (x_2 \vee x_3)$$

is satisfiable, take $T(x_1) = T(x_2) = 0, T(x_3) = 1$ then $T(\phi) = 1$.

- A *literal* is a Boolean variable x or a negation of a Boolean variable \bar{x} .
- A *clause* is a disjunction (conjunction) of literals.
- A Boolean formula ϕ in *Conjunctive Normal Form (CNF)* is a conjunction of (disjunction) clauses, $\phi = \bigwedge_{i=1}^m (C_i)$, where each clause $C_i = \bigvee_{j=1}^{k_i} \{l_j\}$.

For example, for $X = \{x_1, x_2, x_3\}$, a CNF formula is

$$\phi = (x_1 \vee \bar{x}_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3) \wedge (x_2 \vee x_3)$$

- A Boolean formula ϕ in *Disjunctive Normal Form (DNF)* is expressed as a disjunction of (conjunction) clauses, $\phi = \bigvee_{i=1}^m (C_i)$, where each clause $C_i = \bigwedge_{j=1}^{k_i} \{l_j\}$.

Some computational problems:

- SAT: Given a boolean formula ϕ in CNF, is ϕ satisfiable?
- k -SAT: Given a boolean formula in CNF where each clause has exactly k literals, is ϕ satisfiable?
- DNF-SAT: Given a boolean formula ϕ in DNF, is ϕ satisfiable?
- k -DNF-SAT: Given a boolean formula in DNF where each clause has exactly k literals, is ϕ satisfiable?

8. (DNF vs CNF)

- (a) Show that DNF-SAT and CNF-SAT belong to NP, and that DNF-SAT has a polynomial time algorithm.
- (b) Show that a CNF formula can be converted into an equivalent DNF formula on the same variables. How much time takes this computation?

9. Show that k -SAT belongs to NP, for each $k \in \mathbb{N}$.

10. Recall that the 3-SAT problem is a restricted version of SAT where each clause has exactly 3 literal. Let $\phi = \{C_i\}_{i=1}^m$ be a CNF formula on a set X of variables. let z_i be the literal x_i or \bar{x}_i . We construct a formula $\phi' = f(\phi)$ on a set X' of variables ($X \subseteq X'$) having all clauses with 3 literals.

For each clause in ϕ , f determines a set of clauses to be included in ϕ' replacing the clause in ϕ . We add variables when needed. The replacements depend on the size k of clause C_j .

- If $k = 1$, $C_j = z$, we add variables $\{y_{j1}, y_{j2}\}$ and clauses

$$C'_j = \{(z \vee y_{j1} \vee y_{j2}), (z \vee \bar{y}_{j1} \vee y_{j2}), (z \vee y_{j1} \vee \bar{y}_{j2}), (z \vee \bar{y}_{j1} \vee \bar{y}_{j2})\}.$$

- If $k = 2$, $C_j = z_1 \vee z_2$, we add variable y_j and clauses

$$C'_j = \{(z_1 \vee z_2 \vee y_j), (z_1 \vee z_2 \vee \bar{y}_j)\}.$$

- If $k = 3$, we add $C_j = (z_1 \vee z_2 \vee z_3)$ to ϕ' .
- If $k > 3$, $C_j = (z_1 \vee z_2 \vee \dots \vee z_k)$, add variables $\{y_{j1}, y_{j2}, \dots, y_{jk-3}\}$ and the clauses

$$C'_j = \{(z_1 \vee z_2 \vee y_{j1}), (\bar{y}_{j1} \vee z_3 \vee y_{j2}), \dots, (\bar{y}_{jk-3} \vee z_{k-1} \vee z_k)\}$$

Does this construction provide a reduction from SAT to 3-SAT? Can f be computed in polynomial time?

11. Consider a 2-SAT instance ϕ . Define an associated directed graph G_ϕ having one vertex for each literal appearing in ϕ . For each clause $(\ell_1 \vee \ell_2)$ in ϕ , add the edges $(\bar{\ell}_1, \ell_2)$ and $(\bar{\ell}_2, \ell_1)$ to G_ϕ . Show that ϕ is satisfiable iff there is no strongly connected component containing both x and \bar{x} in G_ϕ .

Can you use this result to show that 2-SAT belongs to P?