

# Parameterized Complexity

Maria Serna

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## FPT

- $\mathcal{A}$  is an **FPT** algorithm with respect to  $\kappa$  if there are a **computable** function  $f$  and a **polynomial** function  $p$  such that for each  $x \in \Sigma^*$ ,  $\mathcal{A}$  on input  $x$  requires time  $f(\kappa(x))p(|x|)$

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  - $\forall x \in \Sigma^* \quad x \in L \text{ iff } R(x) \in L'$
  - There is an FPT-algorithm with respect to  $\kappa$  computing  $R$  (in  $f(\kappa(x))p(|x|)$ )
  - There is a computable function  $g : \mathbb{N} \rightarrow \mathbb{N}$  such that  $\forall x \in \Sigma^* \quad \kappa'(R(x)) \leq g(\kappa(x))$

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- We note  $(L, \kappa) \leq^{fpt} (L', \kappa')$  when there is a FPT-reduction from  $(L, \kappa)$  to  $(L', \kappa')$

# FPT-reductions

## Lemma

*FPT is closed under FPT-reductions*



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Exercise

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- $(L, \kappa)$  is  $\mathcal{C}$ -complete if  $(L, \kappa) \in \mathcal{C}$  and  $(L, \kappa)$  is  $\mathcal{C}$ -hard.



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- $[(L, \kappa)]^{fpt}$  defines a class of parameterized problems for which  $(L, \kappa)$  is complete

- if  $(L, \kappa)$  is  $\mathcal{C}$ -complete and  $\mathcal{C}$  is closed under FPT reductions, then  $\mathcal{C} = [(L, \kappa)]^{fpt}$

# FPT-equivalent problems

# The class paraNP

- Let  $(L, \kappa)$  be a parameterized problem
- $(L, \kappa)$  belongs to paraNP if there is a **non-deterministic** algorithm  $\mathcal{A}$  that decides  $x \in L$  in time  $f(\kappa(x))p(|x|)$ ,  
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for some **computable** function  $f$  and **polynomial** function  $p$ .
- If  $L \in \text{NP}$ , for each parameterization  $\kappa$ ,  $(L, \kappa) \in \text{paraNP}$   
p-Clique, p-Vertex Cover, ... belong to paraNP.

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### Theorem

*If  $(L, \kappa) \in \text{paraNP}$  is not trivial and has a NP-complete slice, then  $(L, \kappa)$  is paraNP-complete under FPT reductions.*

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- paraNP-completeness separates *all slices* in P from *a slice* is NP-hard.

# The class XP

- Let  $(L, \kappa)$  be a parameterized problem.
- $(L, \kappa)$  belongs to (uniform) XP if there is an algorithm  $\mathcal{A}$  that decides  $L$  in time  $O(|x|^{f(\kappa(x))})$ ,  
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for some **computable** function  $f$ .
- P-CLIQUE, P-VERTEX COVER, P-HITTING SET, P-HITTING SET, P-DOMINATING SET belong to XP.
- XP is the counterpart of EXP in classic complexity.

# XP-complete problems

## P-EXP-DTM-HALT

Input: A deterministic TM  $\mathbb{M}$ ,  $x \in \Sigma^*$  and an integer  $k$ ,

Parameter:  $k$

Question: Does  $\mathbb{M}$  on input  $x$  stop in no more than  $|x|^k$  steps?

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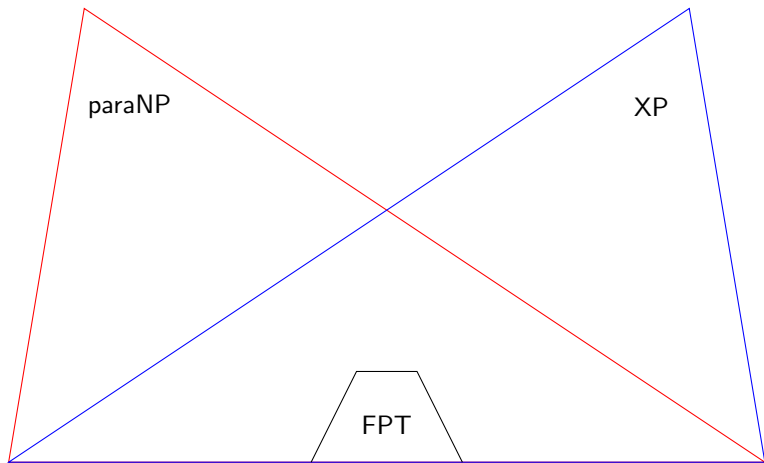
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## Theorem

*P-EXP-DTM-HALT is XP-complete but does not belong to FPT unless  $P = NP$ .*

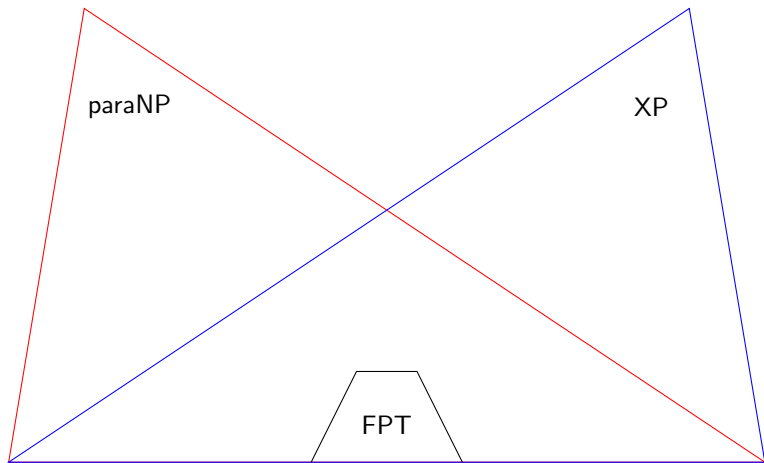
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# The W-hierarchy



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- Note that  $\text{depth}(C) \geq \text{weft}(C)$

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## P-WSAT(FAM)

Input: A circuit/formula  $C/F$  in family FAM and an integer  $k$ ,

Parameter:  $k$

Question: Is  $C/F$   $k$ -satisfiable?

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## Theorem

- $W[P] \subseteq \text{paraNP} \cap \text{XP}$
- $W[\text{SAT}] \subseteq W[P]$
- For  $i \geq 1$ ,  $W[i] \subseteq W[\text{SAT}]$  and  $W[i] \subseteq W[i + 1]$

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## Theorem

If  $FPT = W[P]$  then  $CIRCUITSAT$  for circuits with  $n$  inputs and  $m$  gates can be decided in  $2^{o(n)}m^{O(1)}$  time.

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In fact both problems are  $W[2]$ -complete

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If there is an  $f(k) n^{o(k)}$  time algorithm for problem XXX, then ETH fails.

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### Lemma

*If VERTEX COVER can be solved in time  $2^{o(k)} n^{O(1)}$ , then ETH fails.*

### Proof.

There is a polynomial-time reduction from  $m$ -clause 3SAT to  $m$ -vertex VERTEX COVER. The assumed algorithm would solve the latter problem in time  $2^{o(m)} n^{O(1)}$ , violating ETH. □

# Efficient approximation schemes

- Polynomial-time approximation scheme (PTAS):  
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- PTAS: running time is  $|x|^{f(1/\epsilon)}$
- **Efficient PTAS (EPTAS)** running time is  $f(1/\epsilon)|x|^{O(1)}$
- For some problems, there is a PTAS, but no EPTAS is known.  
Can we show that no EPTAS is possible?

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## Proof.

Suppose an  $f(1/\epsilon) n^{O(1)}$  time EPTAS exists.

Running this EPTAS with  $\epsilon = 1/(k + 1)$  decides if the optimum is at most/at least  $k$ . □

# Parameterized complexity

- Possibility to give evidence that certain problems are not FPT.
- Parameterized reduction.
- The W-hierarchy.
- ETH gives much stronger and tighter lower bounds.
- PTAS vs. EPTAS
- Kernel size lower bounds