



Basic issues on Parsing ¹

- Introduction
- Parsing issues
- Parsing CFG
- TN, RTN, ATN
- Charts

Basic issues on Parsing ₂

- Parsing goals
 - Syntactic structure
 - Logic and basic semantic structure
- Syntax/semantics interaction
 - Only syntax
 - Only semantics
 - Performing in sequence
 - Performing in parallel.

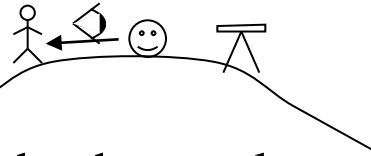
Basic issues on Parsing ³

- Parsing as searching in a search space
 - Characterizing the states
 - (if possible) enumerate them
 - Define the initial state (s)
 - Define (if possible) final states or the condition to reach one of them

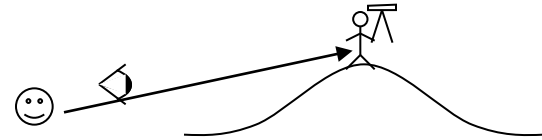
Basic issues on Parsing ⁴

- Factors in parsing
 - Grammar expressivity
 - Coverage
 - Involved Knowledge Sources
 - Parsing strategy
 - Parsing direction
 - Production application order
 - Ambiguity management
 - (in)determinism
 - Parsing engineering

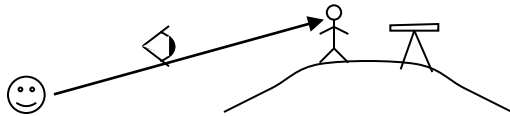
Basic issues on Parsing ⁵



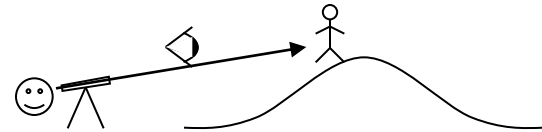
“I was on the hill that has a telescope when I saw a man.”



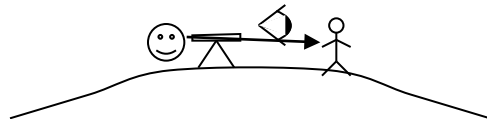
“I saw a man who was on a hill and who had a telescope.”



“I saw a man who was on the hill that has a telescope on it.”



“Using a telescope, I saw a man who was on a hill.”



“I was on the hill when I used the telescope to see a man.”

...

I saw the man on the hill with the telescope

☺ Me → See → 🧑 A man → 📡 The telescope → 🏞 The hill

Basic issues on Parsing ⁶

A bit of history of Parsing

- pattern matching
 - TN \Rightarrow RTN \Rightarrow ATN
 - WFST, Charts (M. Kay)
 - Dynamic programming methods: CKY, Earley
 - Phrase structure grammars: LSP (Sager),
Diagram (Robinson)
 - Deterministic parsers: LL, LR
 - Parsifal (Marcus)
 - Unification-based systems: DCG
(Pereira, Warren), Patr II (Shieber)
-
- The diagram consists of several rectangular boxes containing names and years, connected to the list items by arrows. The connections are as follows:
- Woods, 1970 is connected to "TN \Rightarrow RTN \Rightarrow ATN".
 - Kay, 1980 is connected to "WFST, Charts (M. Kay)".
 - Younger, 1967 and Earley, 1970 are connected to "Dynamic programming methods: CKY, Earley".
 - Sager, 1981 and Robinson, 1982 are connected to "Phrase structure grammars: LSP (Sager), Diagram (Robinson)".
 - Aho et al, 1990, Chapman, 1987, and Tomita, 1986, 1987 are connected to "Deterministic parsers: LL, LR".
 - Marcus, 1980 is connected to "Parsifal (Marcus)".
 - Pereira, Warren, 1980 and Shieber, 1986 are connected to "Unification-based systems: DCG (Pereira, Warren), Patr II (Shieber)".

Basic issues on Parsing ⁷

- Parsers today
 - CFG (extended or not)
 - Tabular
 - Charts
 - LR
 - Unification-based
 - Statistical
 - Dependency parsing
 - Robust parsing (shallow, fragmental, chunkers, spotters)

Basic issues on Parsing ⁸

Parsing strategy

- Top Down
 - Guided by goals
 - Starts with a goal (or set of goals) to be built.
 - Tries to solve one of the pending goals
 - If more than one production can be applied:
 - search problem
 - Pending goals can be reordered
 - Several search criteria (including heuristics) can be applied
 - The process ends when all the goals have been reached

Basic issues on Parsing ⁹

Parsing strategy

- Bottom up
 - Data driven
 - Starts from the sequence of words to be parsed (facts)
 - Proceeds bottom up
 - Several search criteria (including heuristics) can be applied
 - The process ends when the list of facts contains the initial symbol of the grammar.

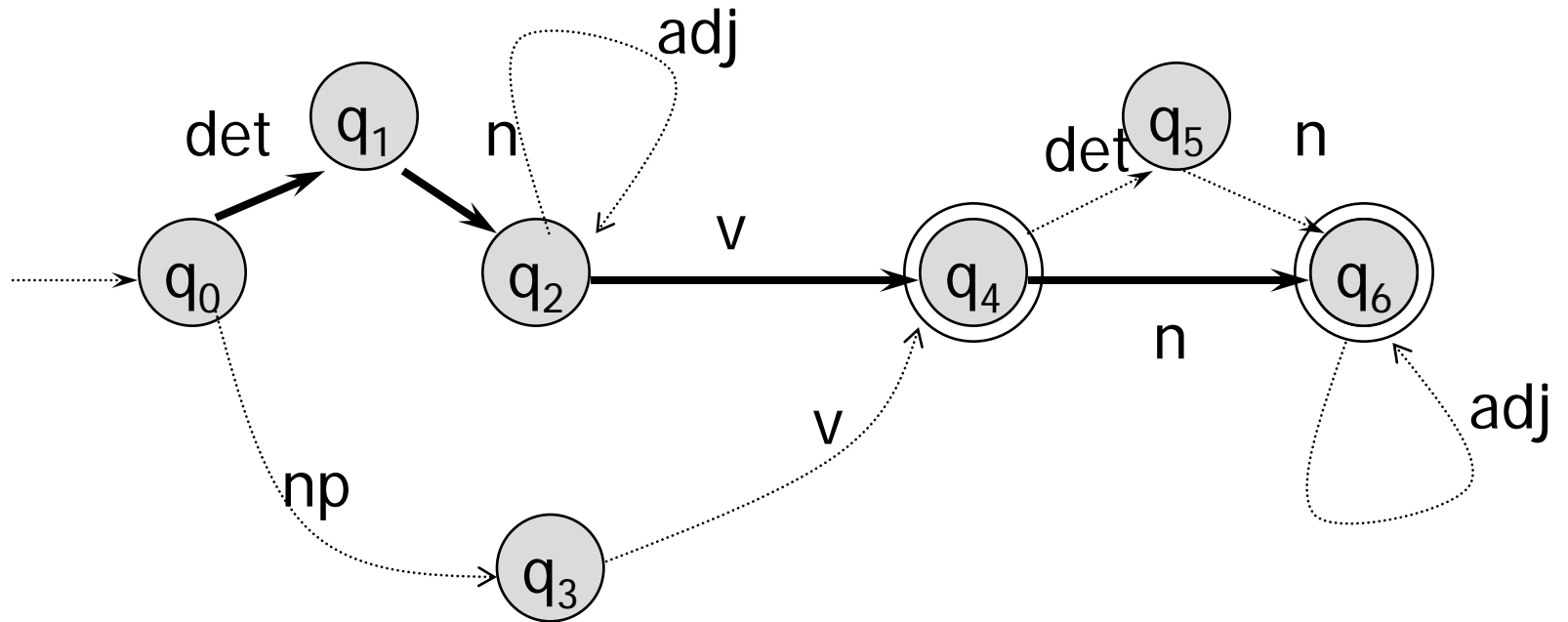
- Problems of TD strategy
 - Left recursivity
 - Many productions expanding the same non terminal
 - useless work
 - Search basically guided by the grammar
 - Repeated work
 - In general problems of backtracking algorithms

- Problems of BU parsing
 - empty (optional) categories
 - Useless work (locally possible but globally impossible)
 - Inefficient when there is a high lexical ambiguity
 - Repeated work

ATN₁

- FSA -> Transition Network TN
 - States associated to the positions in the sentence
 - Arcs (transitions)
 - Labeled with POS
 - An arc can be traversed if the current word has the same POS as the arc.
 - Non determinism
 - More than one initial state
 - Current word with more than 1 POS
 - More than one arc for the same POS

ATN₂



the cat eats fish
det n v n

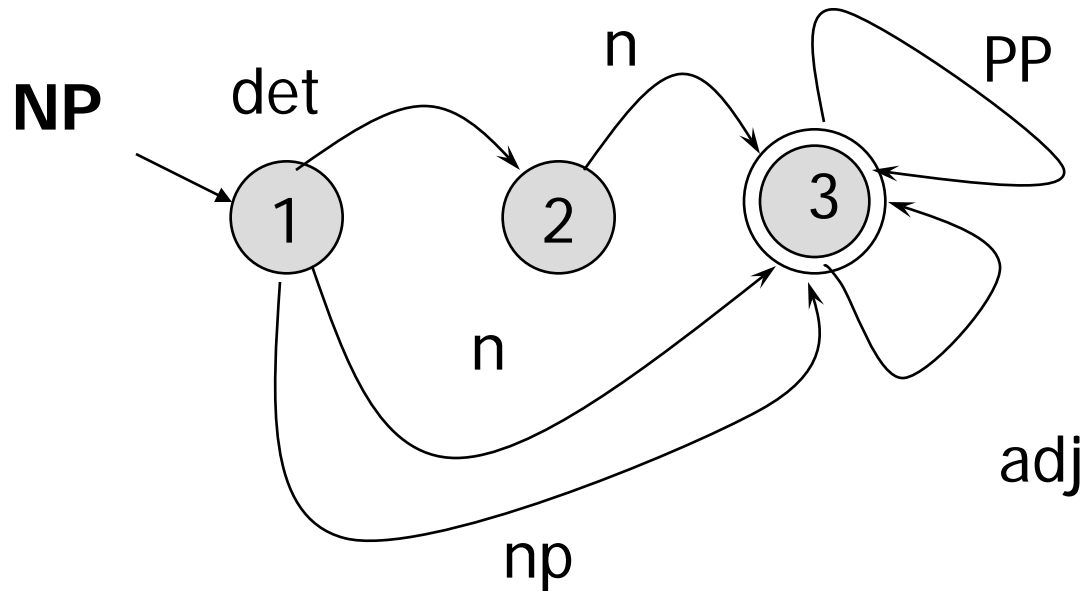
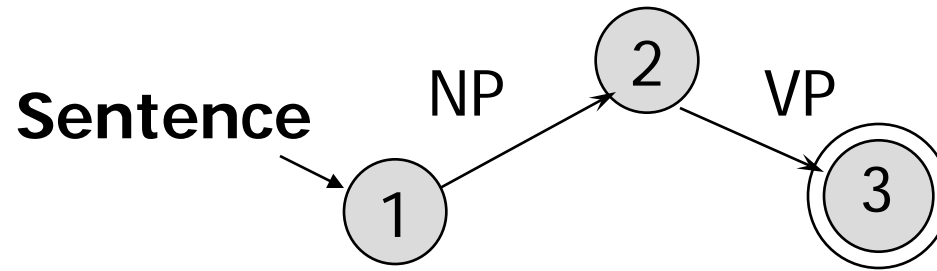
TN limitations

- Only RG
- Only recognition
- Non-determinism \Rightarrow backtracking
- No separation between grammar and parser
 - grammar \Rightarrow syntactic model description
 - parser \Rightarrow control

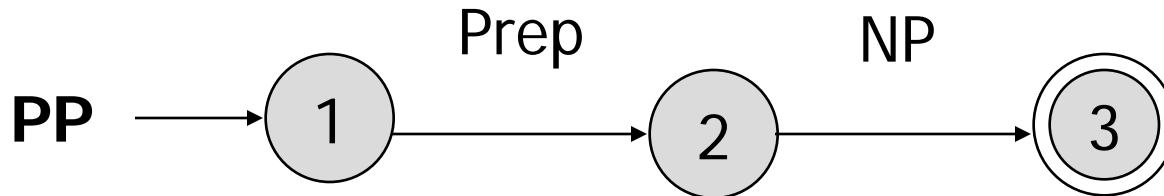
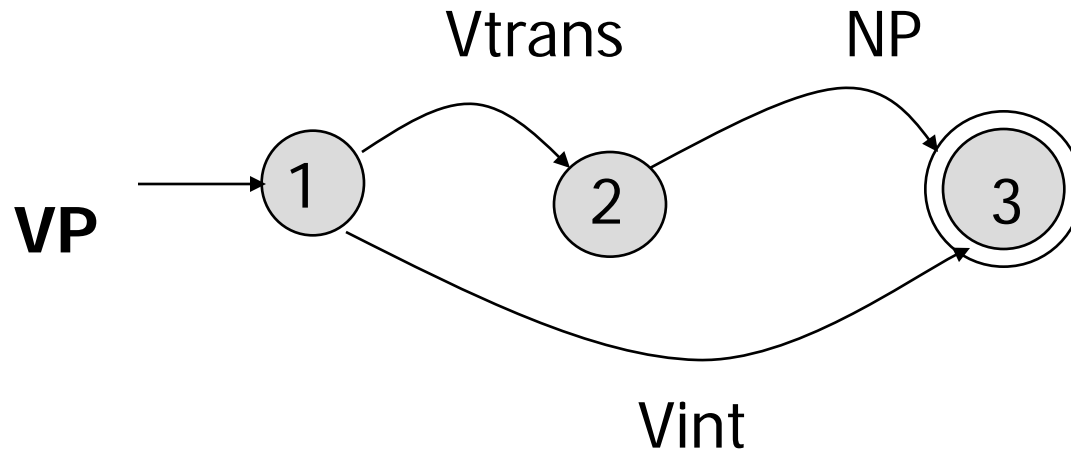
RTN

- Collection of TNs labeled with a name
 - Arcs
 - Labeled as in TN with POS
 - Terminal labels
 - Labeled with RTN identifiers
 - Non terminal labels
 - Final states in RTN produce coming back to the target state of the arc producing the call
- RTN are weakly equivalent to CFG

ATN₅



ATN₆



RTN limitations

- Transitions depend only on the categories
 - CFG
- Only recognizing
- In fact fixed TD strategy

ATN₈

- Woods (1970)
- ATN = RTN with *operations* attached to arcs and use of *registers*.

Operations

Conditions

Filter transitions between states

Actions

Building intermediate and output structures.

Initializations

- Allow expressing contextual constraints

ATN₉

Features

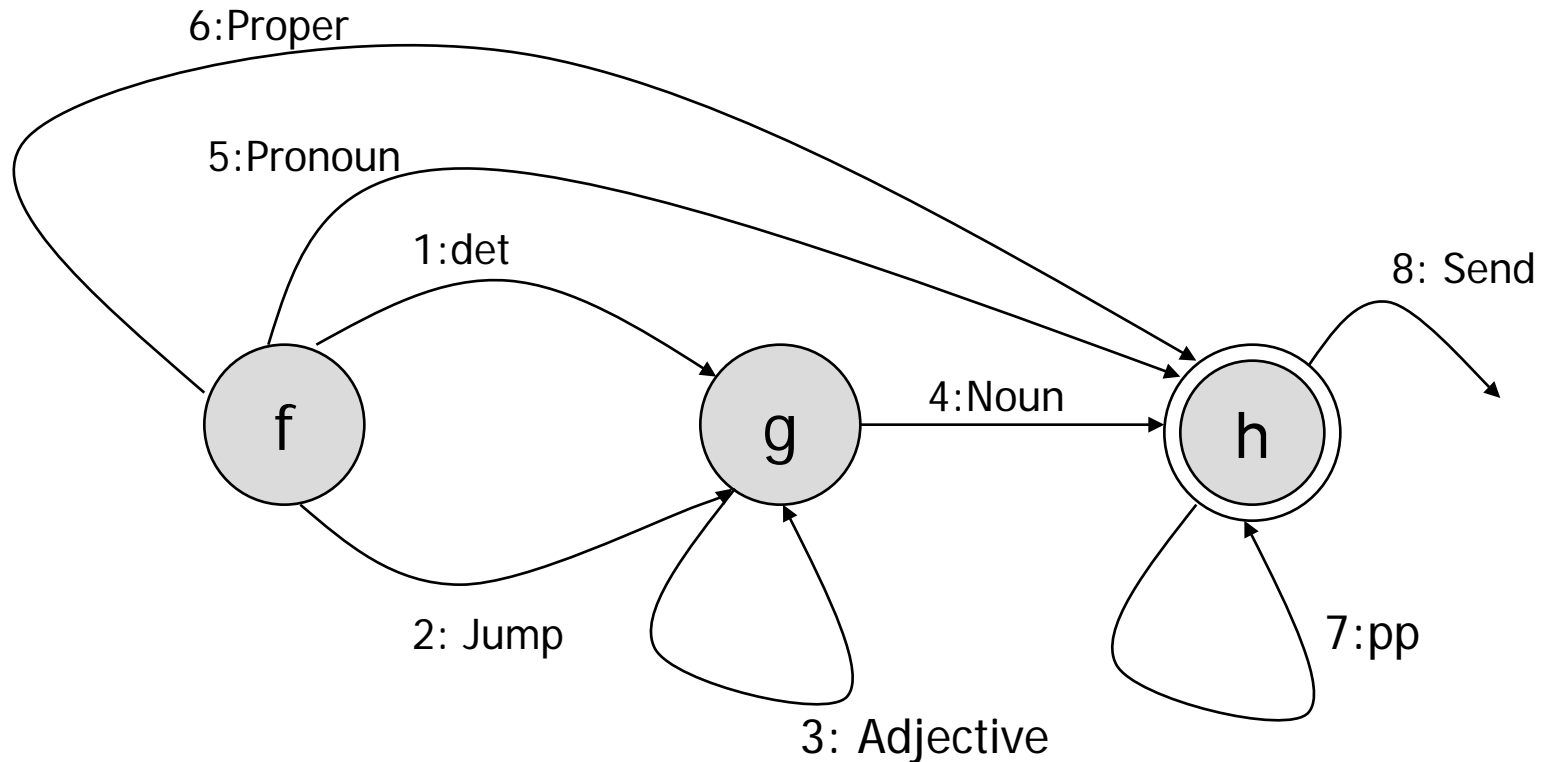
Number: Singular, Plural

Default: empty

Person: 1st, 2nd, 3rd

Default: 3rd

Rols: Subject



Taken from Winograd, 1983

Inicializations, Conditions and Actions

NP-1: _f **Determiner** _g

A: Set Number to the number of *

NP-4: _g **Noun** _h

C: Number is empty or number is the number of *

A: Set Number to the number of *
Set Subject to *

NP-5: _f **Pronoun** _h

A: Set Number to the number of *
Set Person to the Person of *
Set Subject to *

NP-6: _f **Proper** _h

A: Set Number to the number of *
Set Subject to *

ATN limitations

- Fixed TD strategy
- Redundancy in backtracking operations
- Problems of notational expressivity:
 - Very difficult to transport

Basic issues on Parsing ¹²

- Unified mechanism of parser description
 - Sikkel, 1997
- **Parser (schema):**
 - Given a sentence, an initial set of items is build
 - Given a grammar, a set of rules can be used for getting additional items
- **Parser (algorithm):**
 - Parsing schema
 - + data structures
 - + control structures
 - (+ communication structures)

Charts ₁

- A *Chart* is a directed graph built dynamically along parsing
- Extension of WFST
- Nodes correspond to the start and end of the sentence and to the positions between words.
- Active arcs (goals or hypothesis) and inactive arcs (facts)
 - Notation active arcs: dotted rules
 - inactive arcs : category

0 1 2 3 4
○ the ○ cat ○ eats ○ fish ○

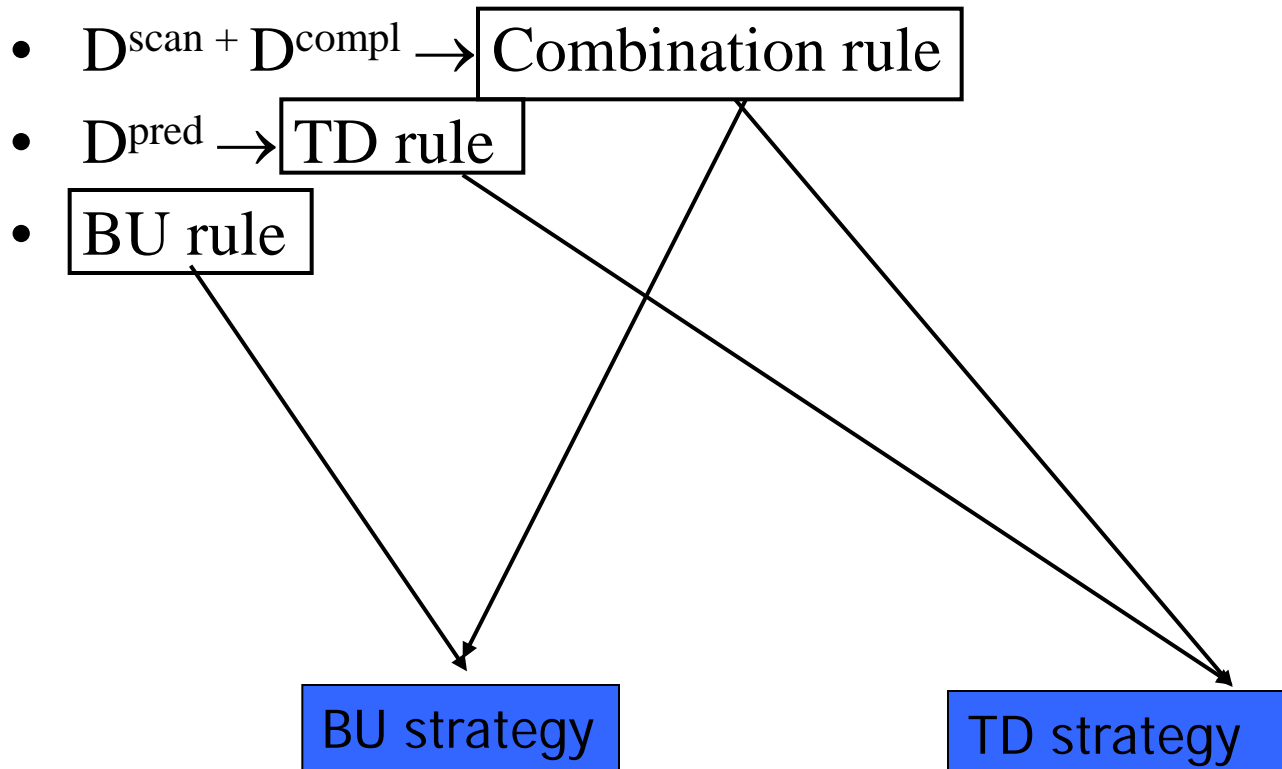
Charts 2

program chart

```
{ initialize the chart with H;  
  initialize the agenda with items which can be deduced without antecedents;  
  while not empty (agenda)  
  {extract current_item from agenda and put it on the chart;  
    foreach item which could be deduced with one step including current_item  
    {if item not in agenda and not in chart  
      then add item to agenda  
    }  
  }  
}
```

Charts ₃

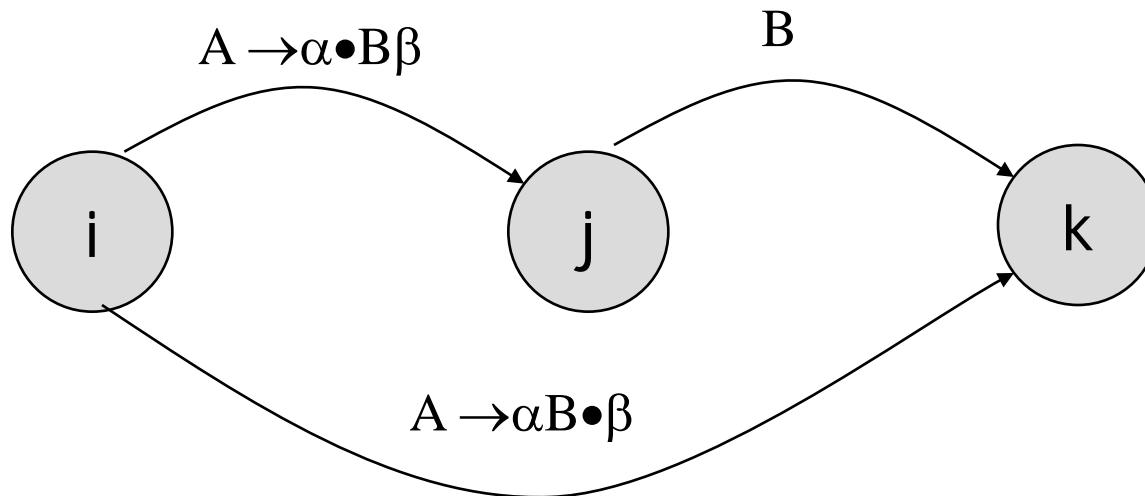
- A concrete Chart algorithm should:
 - define the structure of *agenda* and its scheduling criteria
 - define order of performing deductive steps



Charts ₄

Combination rule

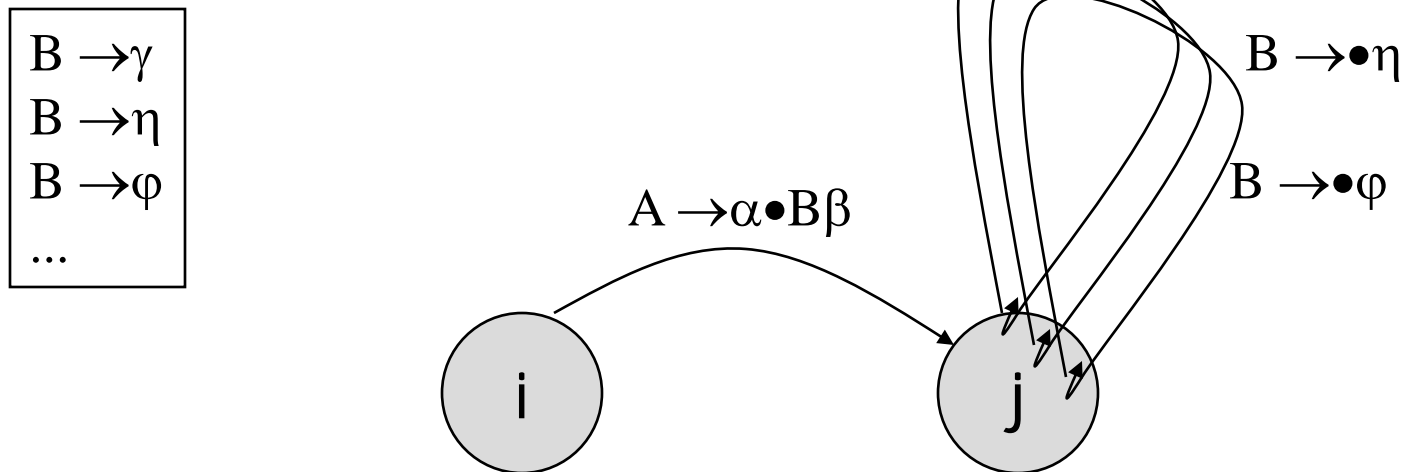
When an active arc of the Chart reaches a node j and from this node starts an inactive arc labeled with the category the active arc was waiting for, both arcs are combined for building a new arc (active or not) starting in the start node of the active arc and ending in the ending node of the inactive arc.



Charts ₅

TD rule

When an active arc of the Chart reaches a node j , for all the productions of the grammar expanding the category the active arc is waiting for a new active arc is built starting and ending in j corresponding to the dotted rule with dot in the initial position.

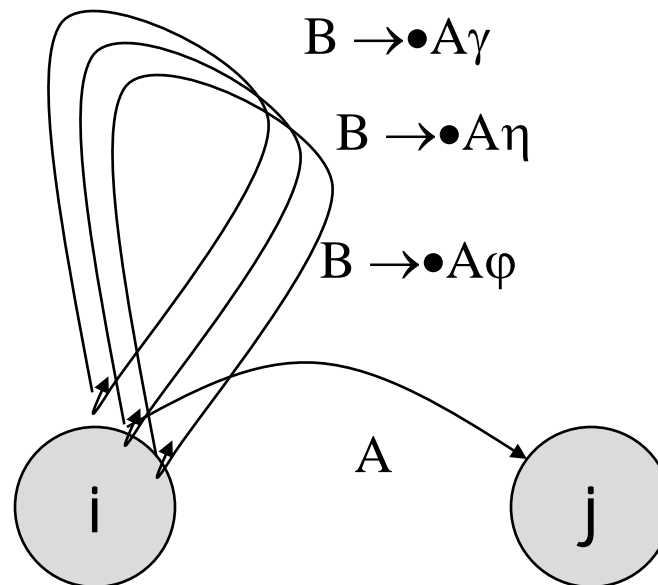


Charts ₆

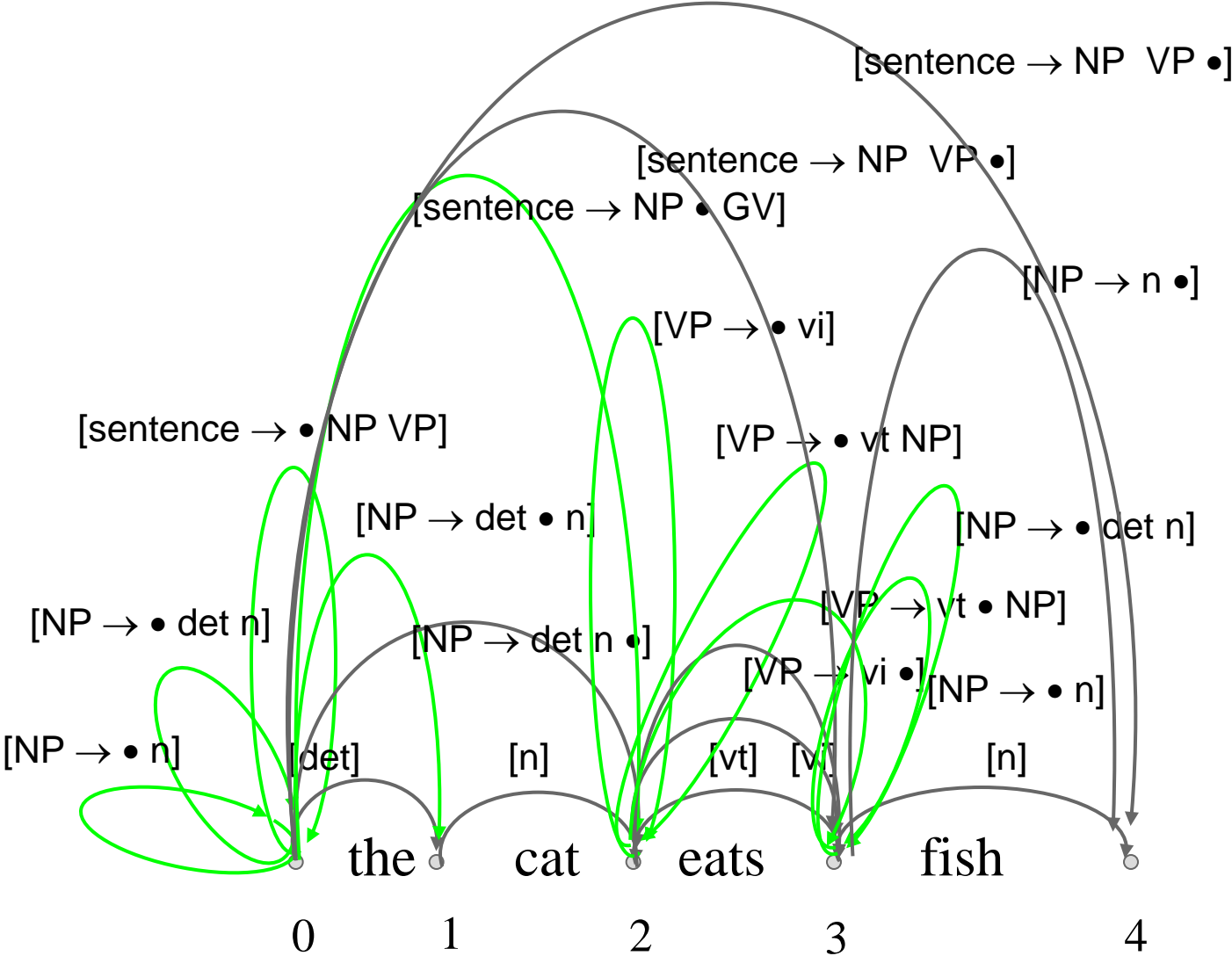
BU rule

When an inactive arc of the Chart starts in a node i , for each production of the grammar owning as first constituent of the right side the category of the inactive arc a new active arc is built starting and ending in i corresponding to the dotted rule with dot in the initial position.

$B \rightarrow A\gamma$
$B \rightarrow A\eta$
$B \rightarrow A\phi$
...



Charts 7



Charts ₈

- Problems
 - The size of the Chart grows with the size of the grammar making the algorithm difficult to scale up.
 - A lot of useless active and inactive arcs are built.
 - In practice, lacking appropriate knowledge, a fixed BU strategy, eventually corrected with TD predictions, is used