Automatic Generation of Polynomial Invariants for System Verification

Enric Rodríguez-Carbonell

Introduction

- Need for program verification
- Invariants and abstract interpretation
- Polynomial invariants

- Introduction
- Generation of Invariant Polynomial Equalities (with D. Kapur: ISSAC'04, SAS'04)
 - Related work
 - Abstract domain of ideals
 - Particular case: loops without nesting

- Introduction
- Generation of Invariant Polynomial Equalities
- Applications of Polynomial Equality Invariants
 - Imperative programs (with D. Kapur: ICTAC'04)
 - Petri nets (with R. Clarisó, J. Cortadella: ATPN'05)
 - Hybrid systems (with A. Tiwari: HSCC'05)

- Introduction
- Generation of Invariant Polynomial Equalities
- Applications of Polynomial Equality Invariants
- Generation of Invariant Polynomial Inequalities (with R. Bagnara, E. Zaffanella: SAS'05)
 - Abstract domain of polynomial cones

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- Generation of Invariant Polynomial Inequalities
- Conclusions and Future Work

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- Critical systems
 - safety
 - security
 - economy

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Failure of the Ariane 5 launcher in 1996

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 Software Productivity Tools group: verification pays off

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 Software Productivity Tools group: verification pays off
- Invariants are crucial for program verification!

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Invariants in Verification





CORRECTNESS OF THE SYSTEM:

SYSTEM STATES \cap BAD STATES = \varnothing

Invariants in Verification





CORRECTNESS OF THE SYSTEM:

SYSTEM STATES \cap BAD STATES = \emptyset

SUFFICIENT CONDITION:

INVARIANT \cap BAD STATES = \emptyset

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intervals (Cousot & Cousot 1976, Harrison 1977)

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 linear inequalities (Cousot & Halbwachs 1978, Colón & Sankaranarayanan & Sipma 2003)

$$x + 2y - 3z \le 3$$

octagonal inequalities (Mine 2001)

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octahedral inequalities (Clariso & Cortadella 2004)

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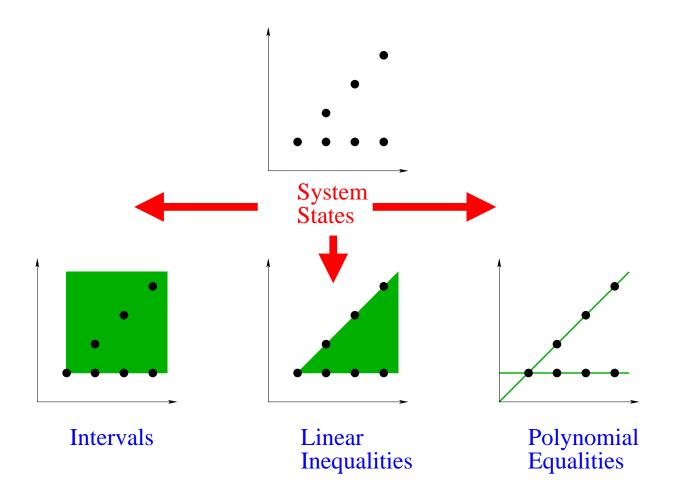
- **...**
- polynomial equalities and inequalities

$$x = y^2$$

$$(a+1)^2 > b^2 \ge a^2$$

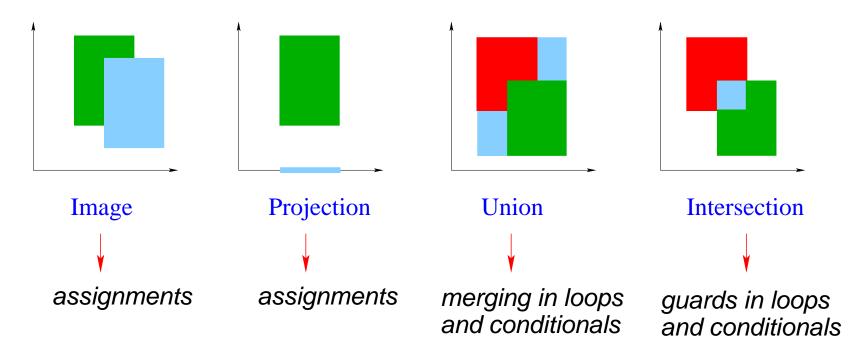
Abstract Interpretation: Overapproximation

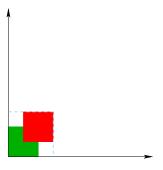
Sets of variable values overapproximated by abstract values



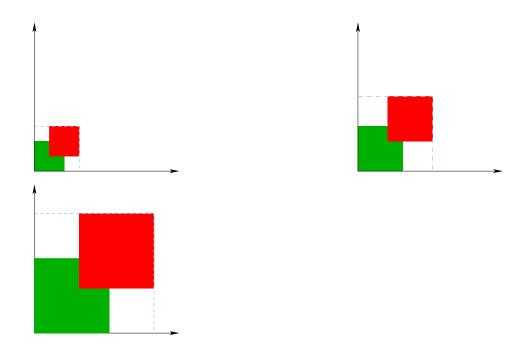
Abstract Interpretation: Operations

- Invariants generated by symbolic execution of system using abstract values
- Symbolic execution requires abstracting concrete operations on states:

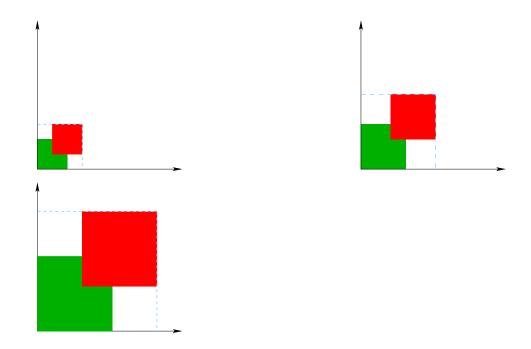






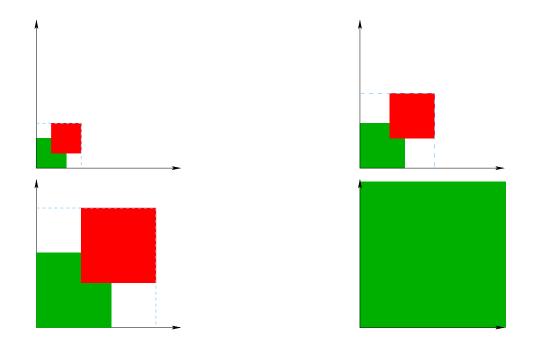


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Union in loops must be extrapolated:
 widening operator introduced to ensure termination

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Why Care about Polynomial Invariants?

- Linear invariants used to verify many classes of systems:
 - Imperative programs
 - Logic programs
 - Hybrid systems

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- Linear invariants used to verify many classes of systems:
 - Imperative programs
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 - Hybrid systems
 - <u>•</u>
- But some applications require polynomial invariants:

The abstract interpreter ASTRÉE employs polynomial invariants to verify absence of run-time errors in flight control software

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Related Work (1)

- Iterative fixpoint approaches
 - Forward propagation
 - Rodríguez-Carbonell & Kapur 2004
 - Colón 2004
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- Constraint-based approaches
 - Sankaranarayanan & Sipma & Manna 2004

Related Work (2)

Work	Restrictions	Conds =	Conds \neq	Complete
MOS, POPL'04	bounded deg	no	no	yes
SSM, POPĽ04	fi xed form	yes	no	no
MOS, IPĽ04	fi xed form	no	yes	yes
COL, SAS'04	bounded deg	yes	no	no
RCK, SAS'04	bounded deg	yes	yes	yes*
RCK, ISSAC'04	no restriction	no	no	yes

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 - ullet Polynomial assignments: variable := polynomial
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- Implementation successfully applied to many programs
- Ideals of polynomials represented by special finite bases of generators: Gröbner bases
- Many tools available manipulating ideals, Gröbner bases, e.g. Macaulay 2, Maple

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- An ideal is a set of polynomials I such that
 - $0 \in I$
 - If $p, q \in I$, then $p + q \in I$
 - If $p \in I$ and q any polynomial, $pq \in I$

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- E.g. multiples of a polynomial p, $\langle p \rangle$
 - $0 = 0 \cdot p \in \langle p \rangle$
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Hilbert's basis theorem: all ideals are finitely generated
 there is finite representation for ideals

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 - intersection: $I \cap J$
- All operations implemented using Gröbner bases
- These operations are used in abstract semantics

Our Widening Operator

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Termination guaranteed

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$$F_{0}(I) = \langle 0 \rangle$$

$$F_{1}(I) = (\langle a \rangle + \langle I_{0}(a \leftarrow a') \rangle) \cap \mathbb{C}[a, b, c]$$

$$F_{2}(I) = (\langle b \rangle + \langle I_{1}(b \leftarrow b') \rangle) \cap \mathbb{C}[a, b, c]$$

$$F_{3}(I) = I_{3} \nabla_{2}(I_{2} \cap I_{6})$$

$$F_{4}(I) = \langle I_{3} \rangle : \langle b - c \rangle$$

$$F_{5}(I) = I_{4}(a \leftarrow a - 2b - 1)$$

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In 6 steps found loop invariant:

$$a = b^2$$

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end while

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a := 0 ; b := 0 ; while b \neq c do a := a + 2b + 1 ; b := b + 1;
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Overview of the Method

• $(a_n, b_n, c) \equiv \text{program state after } n \text{ loop iterations}$

$$\begin{cases} a_{n+1} = a_n + 2b_n + 1 \\ b_{n+1} = b_n + 1 \end{cases}, \begin{cases} a_0 = 0 \\ s_0 = 0 \end{cases}$$

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- Quantifi er elimination: $b = n \Longrightarrow a = b^2$ is loop invariant
- Gröbner bases can be used to eliminate loop counters

```
x := R;
y := 0;
r := R^2 - N;
while ? do
     if ? then
          r := r + 2x + 1;
           x := x + 1;
     else
          r := r - 2y - 1;
          y := y + 1;
     end if
end while
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 - 1. Compute invariants for two distinct loops:

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- Finding common invariants ≡
 Finding intersection of invariant ideals

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 Finding intersection of invariant ideals
- But this is not sound!

2nd idea: take intersection as initial condition and repeat

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Program

$$ar{x}:=ar{lpha}; \hspace{1cm} I':=ar{lpha}; \hspace{1cm} while ? do \hspace{1cm} while $ar{x}:=f(ar{x}); \hspace{1cm} or \hspace{1cm} ar{x}:=g(ar{x});$$$

end while

Algorithm

$$I' := \langle 1 \rangle; I := \langle x_1 - \alpha_1, \cdots, x_m - \alpha_m \rangle;$$
while $I' \neq I$ do
$$I' := I;$$

$$I := \bigcap_{n=0}^{\infty} [I(\bar{x} \leftarrow f^{-n}(\bar{x}))$$

$$\bigcap I(\bar{x} \leftarrow g^{-n}(\bar{x}))];$$

end while

Properties of our Algorithm

- No widening employed!
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- No widening employed!
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- Implemented in Maple:
 - 1. Solving recurrences
 - 2. Eliminating variables3. Intersecting ideals

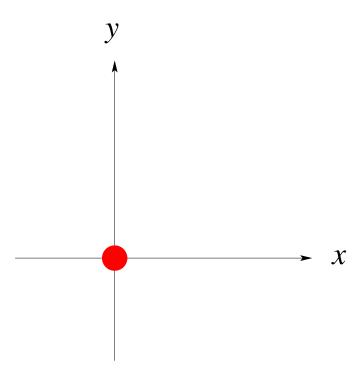
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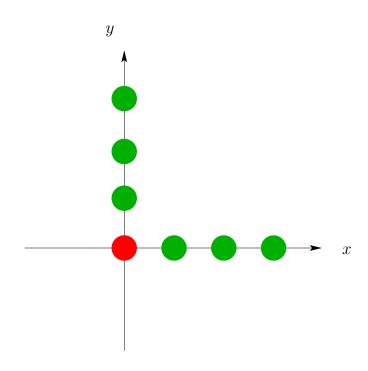
• Program states $\equiv \mathbb{N} \times \mathbb{N}$

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- Program states $\equiv \mathbb{N} \times \mathbb{N}$
- Initial state $(x,y)=(0,0)\longrightarrow$ initial ideal $\langle x,y\rangle$

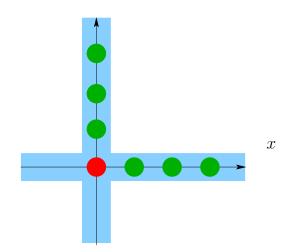


Step 0: $\langle x,y\rangle \rightarrow \{(0,0)\}$ dimension 0



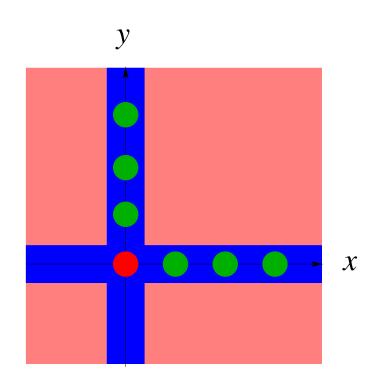
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Step 0: $\langle x,y\rangle \longrightarrow \{(0,0)\}$ dimension 0

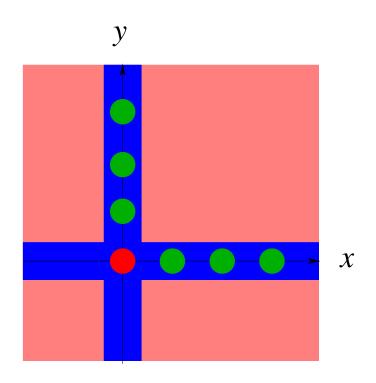
Step 1: $\langle xy \rangle \rightarrow \{(\alpha,0)\} \cup \{(0,\alpha)\}$ dimension 1



Step 0: $\langle x, y \rangle \rightarrow \{(0,0)\}$ dimension 0

Step 1: $\langle xy \rangle \rightarrow \{(\alpha,0)\} \cup \{(0,\alpha)\}$ dimension 1

Step 2: $\langle 0 \rangle \longrightarrow \mathbb{R}^2$ dimension 2



The dimension has increased at every step, and there is a fi nite number of variables!

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Invariant polynomial equality:

$$x^2 - y^2 = r + N$$

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```
Pre: \{ N \ge 1 \}
x := R; \ y := 0; \ r := R^2 - N;
Inv: \{ N \ge 1 \land x^2 - y^2 = r + N \}
while r \neq 0 do
     if r < 0 then
          r := r + 2x + 1;
          x := x + 1;
     else
          r := r - 2y - 1;
          y := y + 1;
     end if
end while
Post: \{x \neq y \land N \bmod (x - y) = 0\}
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$$N \ge 1 \Longrightarrow$$

$$R^2 - 0^2 = (R^2 - N) + N$$

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$$x^2 - y^2 = r + N \land r < 0 \Longrightarrow$$

 $(x+1)^2 - y^2 = (r+2x+1) + N$

```
Pre: \{ N \ge 1 \}
x := R; \ y := 0; \ r := R^2 - N;
Inv: \{ N \ge 1 \land x^2 - y^2 = r + N \}
while r \neq 0 do
     if r < 0 then
          r := r + 2x + 1:
          x := x + 1:
     else
          r := r - 2y - 1;
          y := y + 1;
     end if
end while
Post: \{x \neq y \land N \bmod (x - y) = 0\}
```

$$N \ge 1 \Longrightarrow$$

$$R^2 - 0^2 = (R^2 - N) + N$$

•
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- Introduction
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 - Imperative programs
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Petri Nets: Introduction

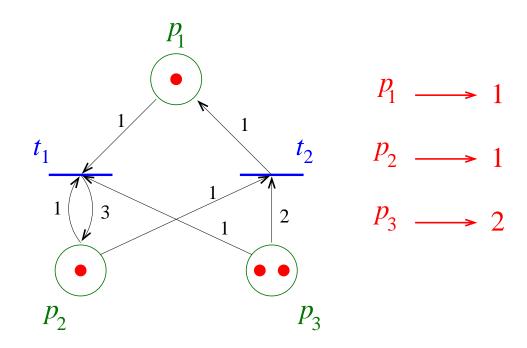
- Petri nets: mathematical model for studying systems
 - concurrency
 - parallelism
 - non-determinism

Petri Nets: Introduction

- Petri nets: mathematical model for studying systems
 - concurrency
 - parallelism
 - non-determinism
- Applications:
 - Manufacturing and Task Planning
 - Communication Networks
 - Hardware Design

Definitions

- A Petri net is a bipartite directed graph where:
 - Nodes partitioned into places (○) and transitions (|)
 - Arcs are labelled with a natural number
- A marking maps a number of tokens to each place



Dynamics (1)

- Dynamics of a Petri net described by
 - initial marking
 - firing of transitions

Dynamics (1)

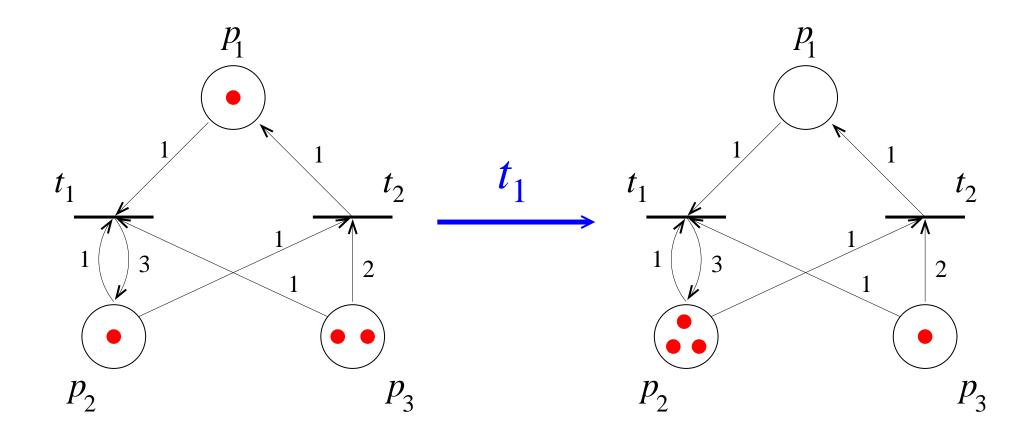
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Dynamics (1)

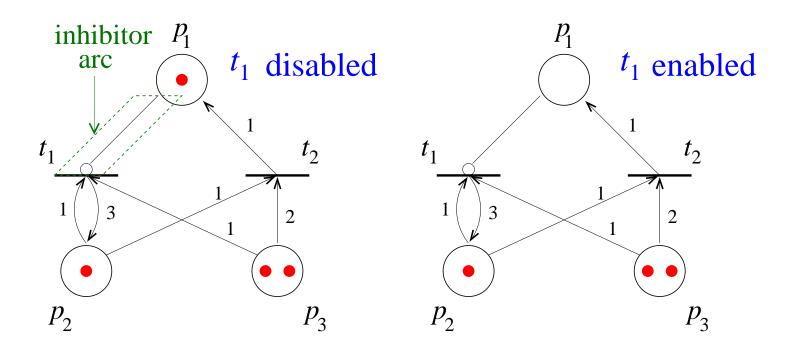
- Dynamics of a Petri net described by
 - initial marking
 - firing of transitions
- A transition is enabled if there are > tokens in each input place than indicated in the arcs
- When a transition is enabled, it can fire:
 - the number of tokens indicated in the arcs is removed from input places
 - 2. tokens are produced in output places according to arcs

Dynamics (2)

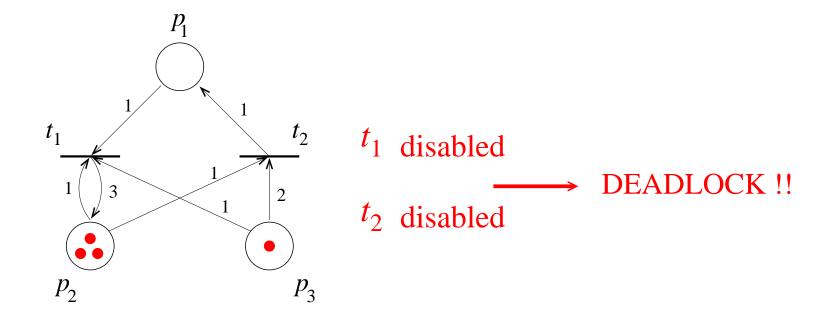


- Enabling of transitions may also depend on inhibitor arcs
- An inhibitor arc is an arc connecting place p to transition t so that there cannot be tokens in p for t to be enabled

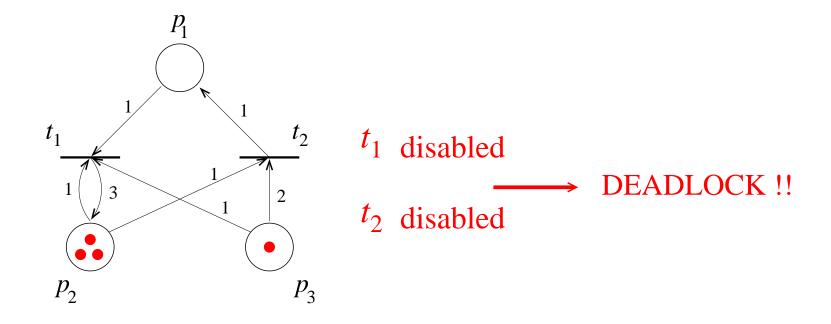
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Deadlocks are markings for which all transitions are disabled



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- Given a Petri net with an initial marking:
 - Invariant properties of reachable states ?
 - Any deadlocks?

• Define variable x_i meaning number of tokens at place p_i

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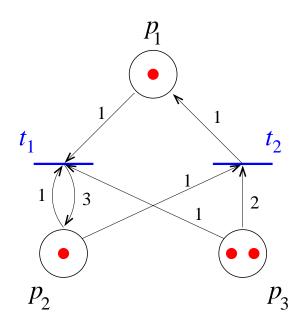
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- Enabling of a transition with inhibitor place p_i : $x_i = 0$
- Firing of a transition
 - with input place p_i and label c_i : $x_i := x_i c_i$;
 - with output place p_i and label c_i : $x_i := x_i + c_i$;

Translation into Programs (2)



$$x_{1} := 1; x_{2} := 1; x_{3} := 2;$$
while ? do
$$t_{1} : \text{if } x_{1} \neq 0 \land x_{2} \neq 0 \land x_{3} \neq 0 \rightarrow$$

$$x_{1} := x_{1} - 1;$$

$$x_{2} := x_{2} + 2;$$

$$x_{3} := x_{3} - 1;$$

$$t_{2} : [] x_{2} \neq 0 \land x_{3} \neq 0 \land x_{3} \neq 1 \rightarrow$$

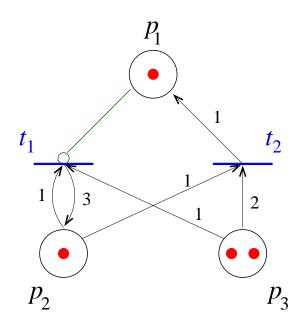
$$x_{1} := x_{1} + 1;$$

$$x_{2} := x_{2} - 1;$$

$$x_{3} := x_{3} - 2;$$

end if end while

Translation into Programs (3)



$$x_1 := 1; x_2 := 1; x_3 := 2;$$
while ? do
$$t_1 : \text{if } x_1 = 0 \land x_2 \neq 0 \land x_3 \neq 0 \rightarrow$$

$$x_1 := x_1 - 1;$$

$$x_2 := x_2 + 2;$$

$$x_3 := x_3 - 1;$$

$$t_2 : [] x_2 \neq 0 \land x_3 \neq 0 \land x_3 \neq 1 \rightarrow$$

$$x_1 := x_1 + 1;$$

$$x_2 := x_2 - 1;$$

$$x_3 := x_3 - 2;$$

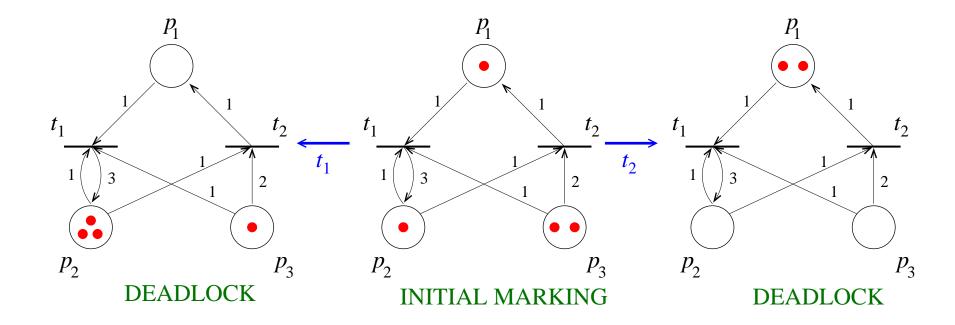
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Generating Polynomial Invariants (1)

 Abstract interpretation is applied to the loop program to obtain polynomial invariants of the Petri net

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- Example:



Generating Polynomial Invariants (2)

Polynomial invariants obtained:

$$Inv = \begin{cases} 5x_1 + 3x_2 + x_3 - 10 &= 0\\ 5x_3^2 + 2x_2 - 11x_3 &= 0\\ x_2x_3 + 2x_3^2 - 5x_3 &= 0\\ 5x_2^2 - 17x_2 + 6x_3 &= 0 \end{cases}$$

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In this example invariants characterize reachability set

$$Inv \Leftrightarrow (x_1, x_2, x_3) \in \{(0, 3, 1), (1, 1, 2), (2, 0, 0)\}$$

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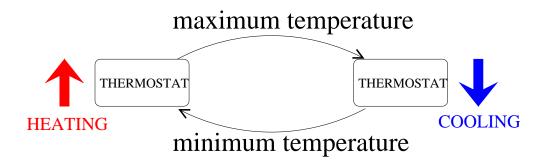
$$Inv \Leftrightarrow (x_1, x_2, x_3) \in \{(0, 3, 1), (1, 1, 2), (2, 0, 0)\}$$

In general overapproximation of reach set is obtained

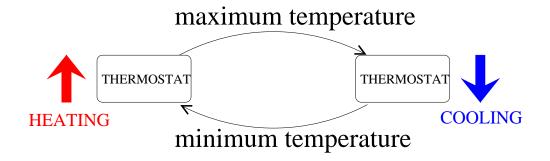
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Hybrid System: discrete system in analog environment

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- Examples:
 - A thermostat that heats/cools depending on the temperature in the room

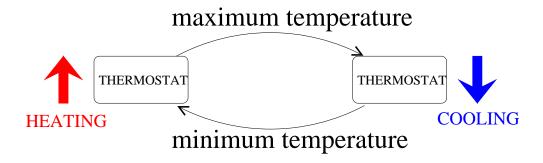


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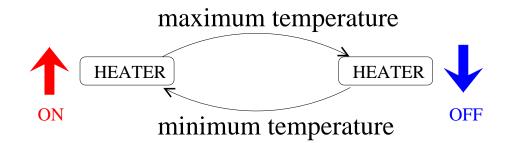
- A robot controller that changes the direction of movement if the robot is too close to a wall.
- A biochemical reaction whose behaviour depends on concentration of substances in environment

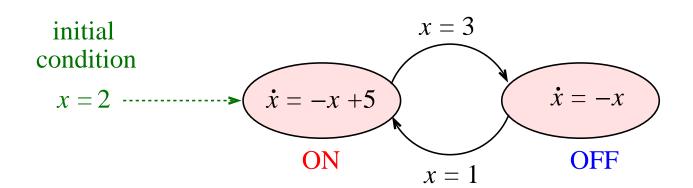
Definition

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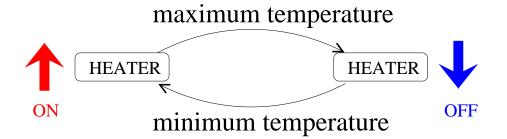
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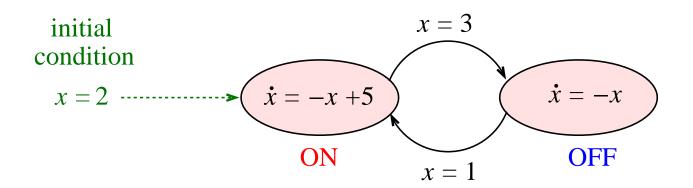




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Restricted to linear differential equations at locations

 A computation is a sequence of states (discrete location, valuation of variables)

$$(l_0, x_0), (l_1, x_1), (l_2, x_2), \dots$$

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such that

- 1. Initial state (l_0, x_0) satisfies the initial condition
- 2. For each consecutive pair of states $(l_i, x_i), (l_{i+1}, x_{i+1})$:
 - Discrete transition: there is a transition of the automaton (l_i, l_{i+1}, ρ) such that $(x_i, x_{i+1}) \models \rho$

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 - Discrete transition: there is a transition of the automaton (l_i, l_{i+1}, ρ) such that $(x_i, x_{i+1}) \models \rho$
 - Continuous evolution: there is a trajectory going from x_i to x_{i+1} along the flow determined by the differential equation $\dot{x} = Ax + B$ at location $l_i = l_{i+1}$

Goal: generate invariant polynomial equalities

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 - We know how to deal with discrete systems
 - How to handle continuous evolution?

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 - How to handle continuous evolution?
- Problem:

computing polynomial invariants of linear systems of differential equations

Form of the Solution

Solution to $\dot{x} = Ax + B$ can be expressed as polynomials in t, $e^{\pm at}$, $\cos(bt)$, $\sin(bt)$, where $\lambda = a + bi$ are eigenvalues of matrix A.

$$\begin{pmatrix} \dot{x} \\ \dot{y} \\ \dot{v_x} \\ \dot{v_y} \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & -1/2 \\ 0 & 0 & 1/2 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ v_x \\ v_y \end{pmatrix}$$

$$\begin{cases} x = x^* + 2\sin(t/2)v_x^* + (2\cos(t/2) - 2)v_y^* \\ y = y^* + (-2\cos(t/2) + 2)v_x^* + 2\sin(t/2)v_y^* \\ v_x = \cos(t/2)v_x^* - \sin(t/2)v_y^* \\ v_y = \sin(t/2)v_x^* + \cos(t/2)v_y^* \end{cases}$$

Elimination of Time

Idea: eliminate terms depending on *t* from solution:

- transform solution into polynomials using new variables
- eliminate by means of Gröbner bases using auxiliary equations

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SOLUTION

$$\begin{cases} x = x^* + 2zv_x^* + (2w - 2)v_y^* \\ y = y^* + (-2w + 2)v_x^* + 2zv_y^* \\ v_x = wv_x^* - zv_y^* \\ v_y = zv_x^* + wv_y^* \end{cases}$$

INITIAL CONDITIONS

$$\begin{cases} v_x^* &= 2 \\ v_y^* &= -2 \end{cases}$$

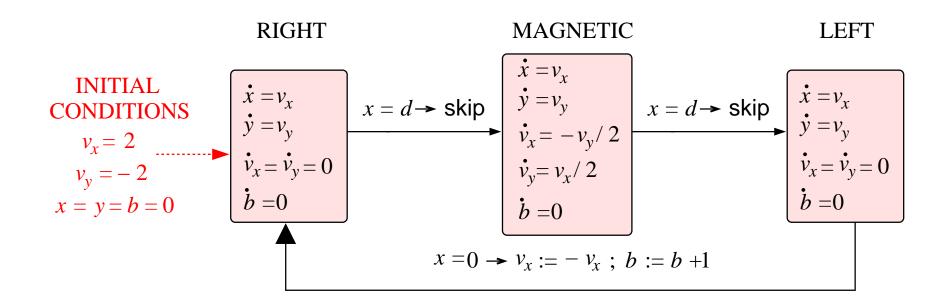
AUXILIARY EQUATIONS

$$\begin{cases} w^2 + z^2 = 1 \end{cases}$$



 $v_x^2 + v_y^2 = 8$ (conservation of energy)

Example



RIGHT
$$\rightarrow v_y=-2 \wedge v_x=2 \wedge 2db-8b+y+x=0$$
 MAGNETIC $\rightarrow x-2v_y-d=4 \wedge v_x^2+v_y^2=8 \wedge 2v_x+y+2db-8b+d=4$ LEFT $\rightarrow v_y=-2 \wedge v_x=-2 \wedge 2db-8b+y-x=8$

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Drawing a Parallel from Equalities

```
Linear equalities
[Karr'76]
```

Polynomial equalities [Colon'04]

Drawing a Parallel from Equalities

Linear equalities [Karr'76] Linear inequalities
[Cousot & Halbwachs'78]

Polynomial equalities [Colon'04]

Polynomial inequalities
[Bagnara & Rodríguez-Carbonell
& Zaffanella'05]

```
a := 0 ;
b := 0 ;
c := 1 ;
```

while ? do

$$a := a + 1 ;$$

 $b := b + c ;$
 $c := c + 2 ;$

```
a:=0\;; b:=0\;; c:=1\;; \{\;a=0\land b=0\land c=1\;\} while ? do
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```
a := 0 \; ; b := 0 \; ; c := 1 \; ; \left\{ \; a = b \wedge c = 2a + 1 \; \right\} while ? do
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 $c := c + 2 ;$

end while

Loop invariant

$$\{ c = 2a + 1 \}$$

$$a := 0 ;$$
 $b := 0 ;$
 $c := 1 ;$

Introduce new variable s standing for a^2

while ? do

$$a := a + 1 ;$$

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end while

Introduce new variable s standing for a^2

Extend program with new variable *s*

$$a:=0 \rightarrow s:=0$$

$$a:=a+1 \rightarrow s:=s+2a+1$$

```
a := 0;
b := 0;
c := 1;
s := 0;
\{ a = 0 \land b = 0 \land c = 1 \land s = 0 \}
while ? do
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end while

Loop invariant $\{\; b=a^2 \wedge c=2a+1\;\}$ is more precise

```
\{ \text{ Pre}: b \geq 0 \}
```

$$a := 0$$
;

while
$$(a+1)^2 \leq b$$
 do

$$a := a + 1$$
;

{ Post :
$$(a+1)^2 > b \land b \ge a^2$$
 }

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{ Post :
$$(a+1)^2 > b \land b \ge a^2$$
 }

Linear analysis cannot deal with the quadratic condition

$$(a+1)^2 < b$$

```
{ Pre : b \ge 0 } a := 0 ;  \{ a \ge 0 \land b \ge 0 \}  while (a + 1)^2 \le b do  a := a + 1 ;
```

Loop invariant $\{a \ge 0 \land b \ge 0\}$ not precise enough

{ Post :
$$(a+1)^2 > b \land b \ge a^2$$
 }

```
\{ \text{ Pre}: b \ge 0 \}
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$$a := 0$$
;

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;

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$$(a+1)^2 \le b$$
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$$a := a + 1 ;$$

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{ Post :
$$(a+1)^2 > b \land b \ge a^2$$
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Introduce new variable s standing for a^2

Extend program with new variable s

$$a := 0 \rightarrow s := 0$$

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{ Pre : b \ge 0 }
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s := 0;
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while (a+1)^2 \leq b do
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end while
```

Loop invariant $\{b \geq a^2 \wedge \cdots \}$ enough to prove partial correctness

{ Post : $(a+1)^2 > b \land b \ge a^2$ }

Linearization of Polynomial Constraints

- Abstract values = sets of constraints
- Given a degree bound d, all terms x^{α} with $\deg(x^{\alpha}) \leq d$ are considered as different and independent variables

Vector Spaces \leftrightarrow **Polynomial Cones**

$$polynomial = 0$$

- \forall polynomial p, $p \sim p = 0$
- Vector space =
 set of polynomials V s.t.
 - $0 \in V$
 - $\forall p,q \in V \text{ and } \lambda,\mu \in \mathbb{R},$ $\lambda p + \mu q \in V$

$$\frac{\overline{0} = 0}{p = 0 \quad q = 0 \quad \lambda, \mu \in \mathbb{R}}$$

$$\frac{\lambda p + \mu q = 0}{\sqrt{1 + \mu q}}$$

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$$\frac{\overline{0} = 0}{p = 0 \quad q = 0 \quad \lambda, \mu \in \mathbb{R}}$$

$$\lambda p + \mu q = 0$$

polynomial ≥ 0

- \forall polynomial $p, p \sim p \geq 0$
- Polynomial cone =set of polynomials *C* s.t.
 - $1 \in C$
 - $\forall p,q \in C \text{ and } \lambda,\mu \in \mathbb{R}_+,$ $\lambda p + \mu q \in C$

$$\frac{1 \ge 0}{p \ge 0 \quad q \ge 0 \quad \lambda, \mu \in \mathbb{R}_+}$$

$$\frac{\lambda p + \mu q \ge 0}{\lambda p + \mu q} = 0$$

Explicitly Adding Other Inference Rules

$$\begin{aligned} & \text{polynomial} = 0 \\ & \underline{p = 0 \quad \deg(pq) \leq d} \\ & \underline{pq = 0} \end{aligned}$$

Explicitly Adding Other Inference Rules

$$polynomial = 0$$

$$\frac{p=0 \quad \deg(pq) \le d}{pq=0}$$

polynomial ≥ 0

$$\frac{p \ge 0 \quad p \le 0 \quad \deg(pq) \le d}{pq = 0}$$

$$\frac{p \ge 0 \quad q \ge 0 \quad \deg(pq) \le d}{pq \ge 0}$$

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Extend the techniques to interprocedural analyses

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- Design specific widening operators for the context of polynomial invariants

Publications

- E. Rodríguez-Carbonell, D. Kapur. An abstract interpretation approach for automatic generation of polynomial invariants. SAS'04.
- E. Rodríguez-Carbonell, D. Kapur. Automatic generation of polynomial loop invariants: algebraic foundations. ISSAC'04.
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